

# A review of (sub)mm band science and instruments in the ALMA era



Marcella Massardi

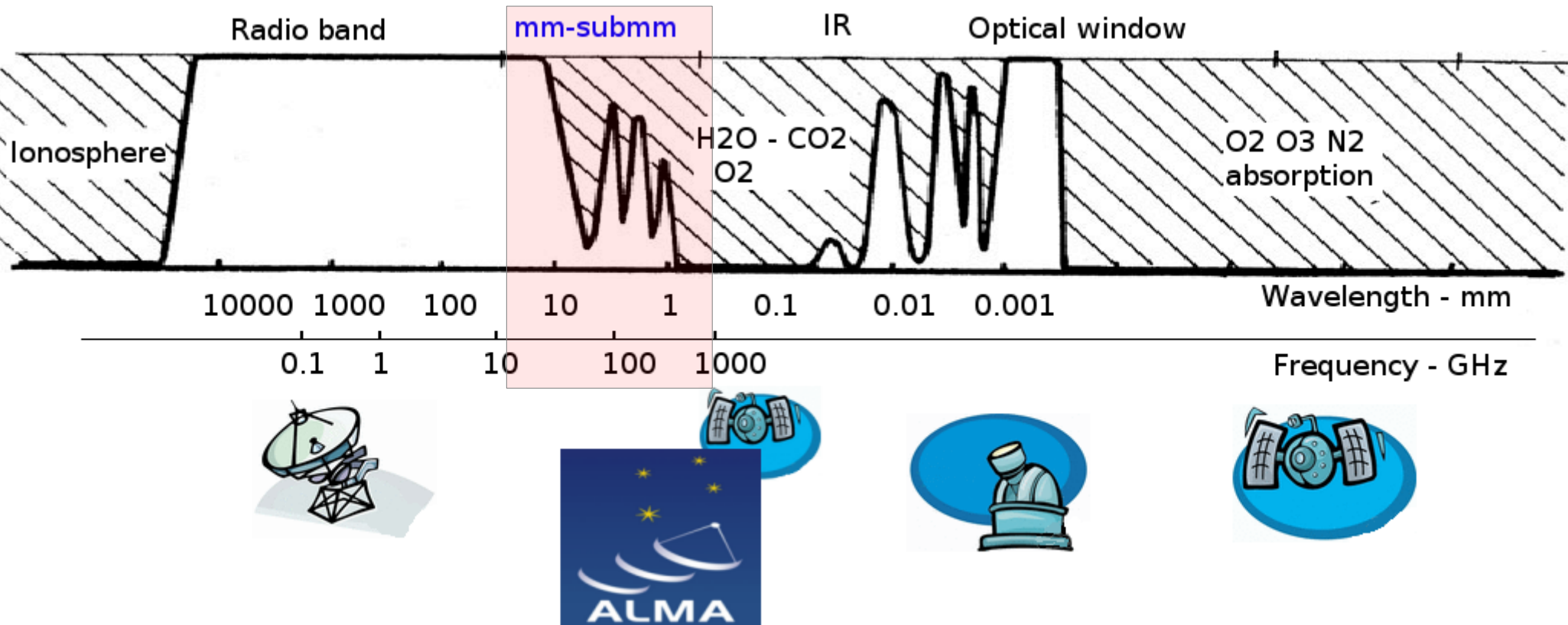
INAF- Istituto di Radioastronomia  
Italian node of European ALMA Regional Centre



EUROPEAN ARC  
ALMA Regional Centre || Italian

SISSA – March 2018

# Outline



Signals in the (sub)mm bands

Observing instruments: Interferometers (ALMA)

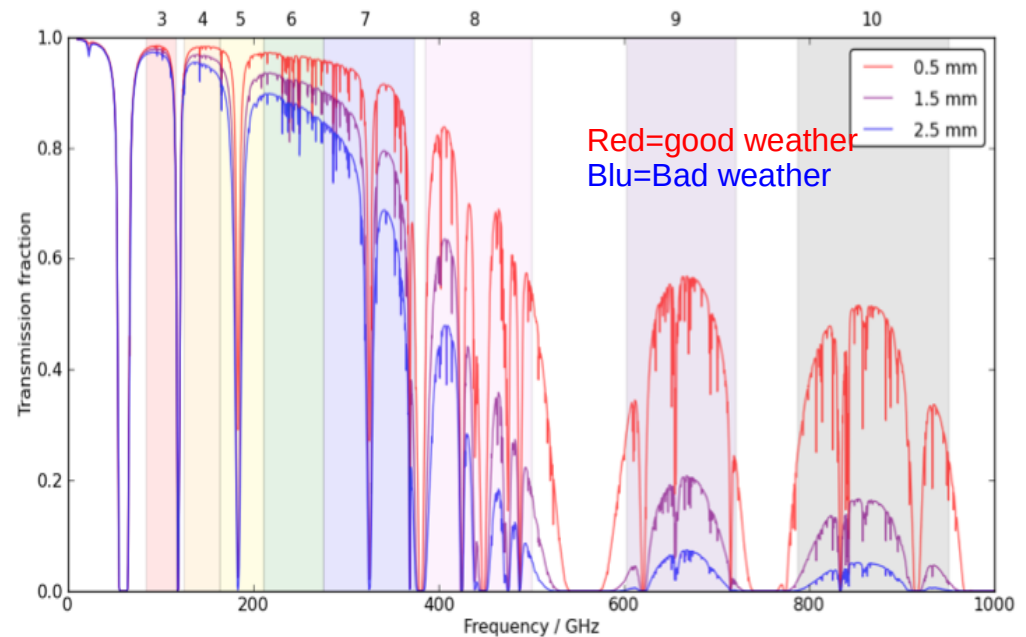
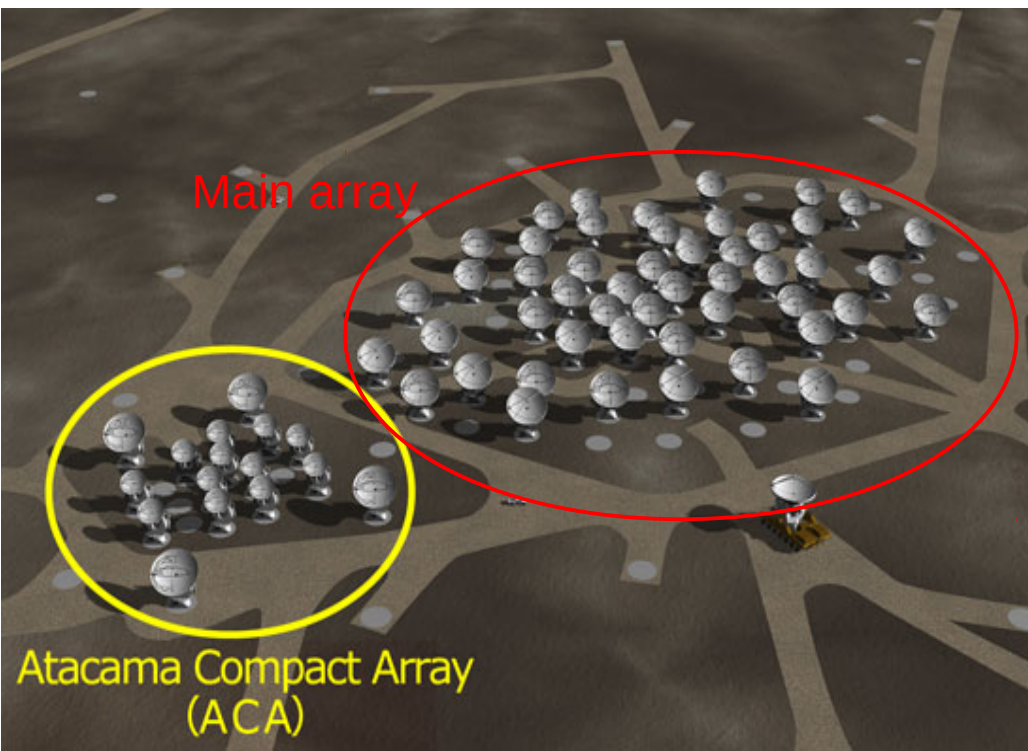
Science cases parade and proposals

Observing processes: archives & images  
(with hands-on tutorial)

# ALMA full array

The Atacama Large Millimeter Array is a **mm-submm reconfigurable interferometer**

- Antennas: **50x12m** main array + **12x7m** ACA + **4x12m** Total Power
- Baselines length: **15m ->150m-16km** + **9m->50m**
- Frequency range: **10 bands between 30-900 GHz** (0.3-10 mm)
- Bandwidth: **2 GHz x 4 basebands**
- Polarimetry: Full Stokes capability
- Velocity resolution: **As narrow as  $0.008 \times (300\text{GHz}/\text{Freq})$  km/s**  
~0.003 km/s @ 100 GHz, ~0.03 km/s @ 950 GHz



# ALMA full array

An interferometer reconstructs an image of the sky at fixed spatial scales (i.e. measures single points in the Fourier domain) corresponding to the projection of the baselines (i.e. distances among the antennas) on the sky.

## Sensitivity

$$\Delta S_{\nu} = 2k \frac{T_{\text{sys}}}{A_e \sqrt{2t \Delta\nu}}$$

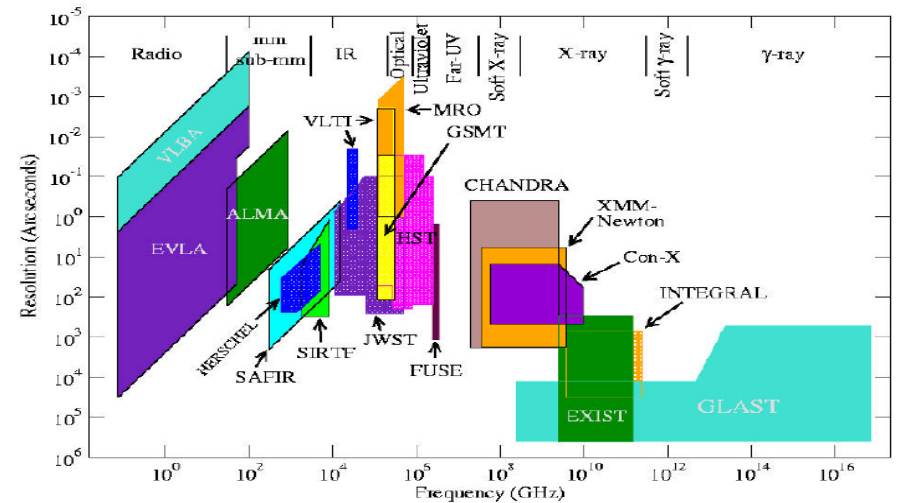
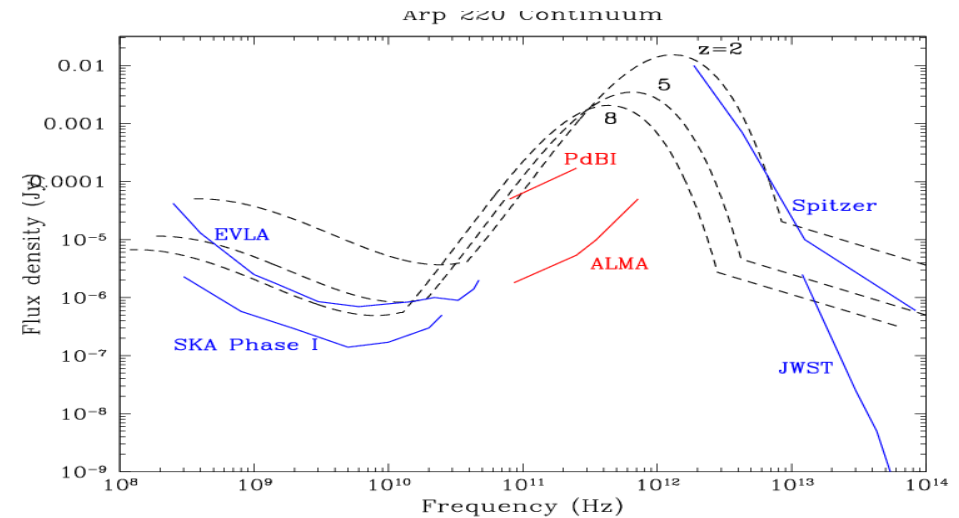
- 6500sqm of effective area and 1225 baselines for the 12m array + Short spacings with ACA
- Excellent instantaneous uv coverage

**<0.05mJy @100 GHz in 1 hr**

## Spatial scales

$$\theta = k \lambda / D$$

- Resolution:  
0.2" x (300GHz / freq) x (1km / max\_baseline)
- Largest angular scale:  
1.4" x (300GHz / freq) x (150m / min\_baseline)
- FOV 12m array: 21" / (300GHz / freq)
- FOV 7m array: 35" / (300GHz / freq)





# ALMA Early Science Cycles

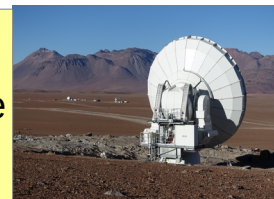


March  
Inauguration  
Ceremony



June  
Final antenna  
On site

September  
Long Baseline  
Campaign



Cycle 0  
March: call EoI  
June: deadline

Cycle 1  
May: call  
July: deadline

Cycle 2  
Oct: call  
Dec: deadline

Cycle 3  
March: call  
April: deadline

Cycle 4  
March: call  
April: deadline

Archive opens

ACA completed

B4 first light

First  
Pipeline release

Polarization

Open to public visit

mmVLBI

2011

2012

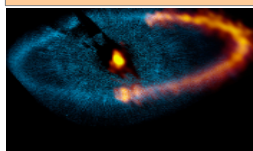
2013

2014

2015

2016

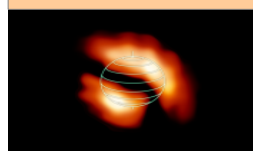
October  
First Science  
Observations



January  
Cycle 1 begins  
Observations



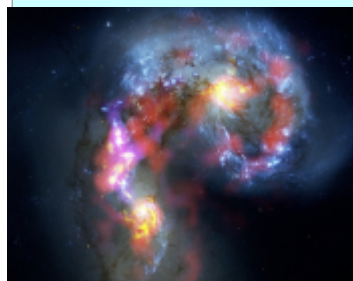
June  
Cycle 2 begins  
Observations



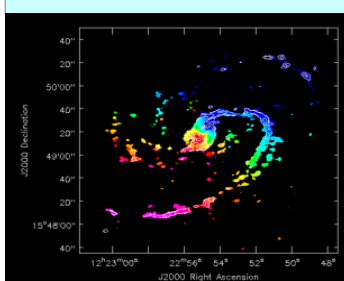
June  
Cycle 3 begins  
Observations



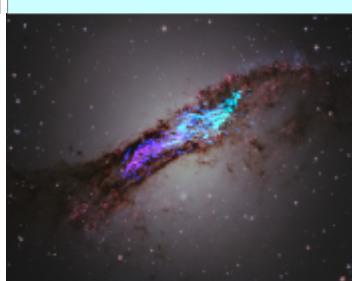
August  
First SV Release  
Antennae



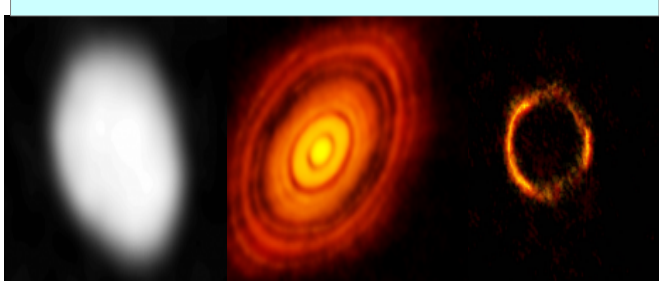
February  
SV Release  
M100, SgrA\*



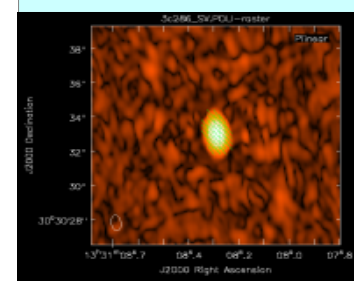
April  
3rd SV Release  
CenA



February  
5th SV Release  
CenA



July  
6th SV Release  
M100, 3C286



# General words: ALMA pros for science

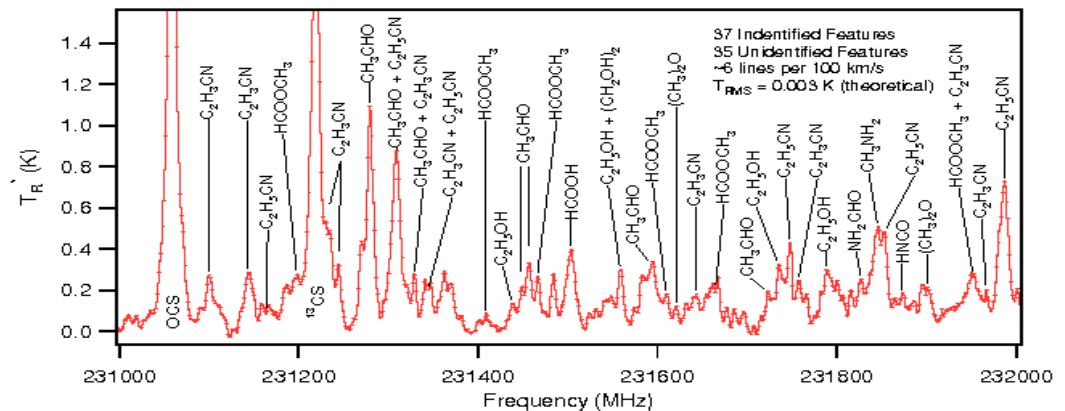
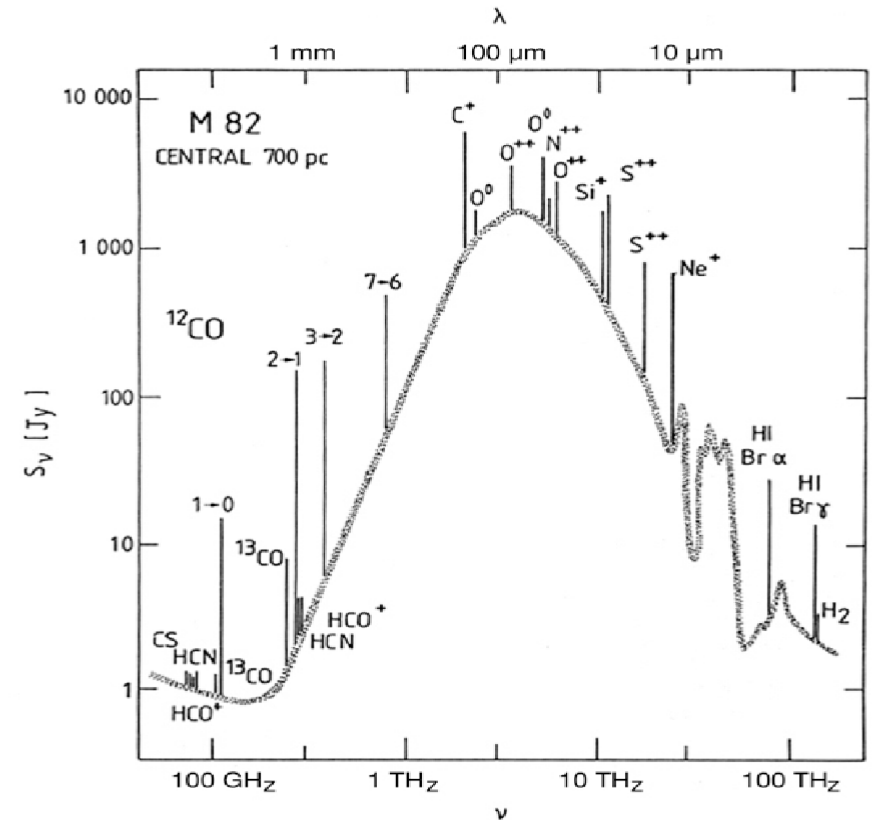
**Sub(mm) is characterized by dust and rich chemistry.**

Dust and molecules are mostly (but not only) associated with forming structures.

Hence **sub(mm) helps studying structure formation.**

**Higher resolution and sensitivity allows to go farther** so to investigate a deeper sky region, getting more sources and more statistics on populations.

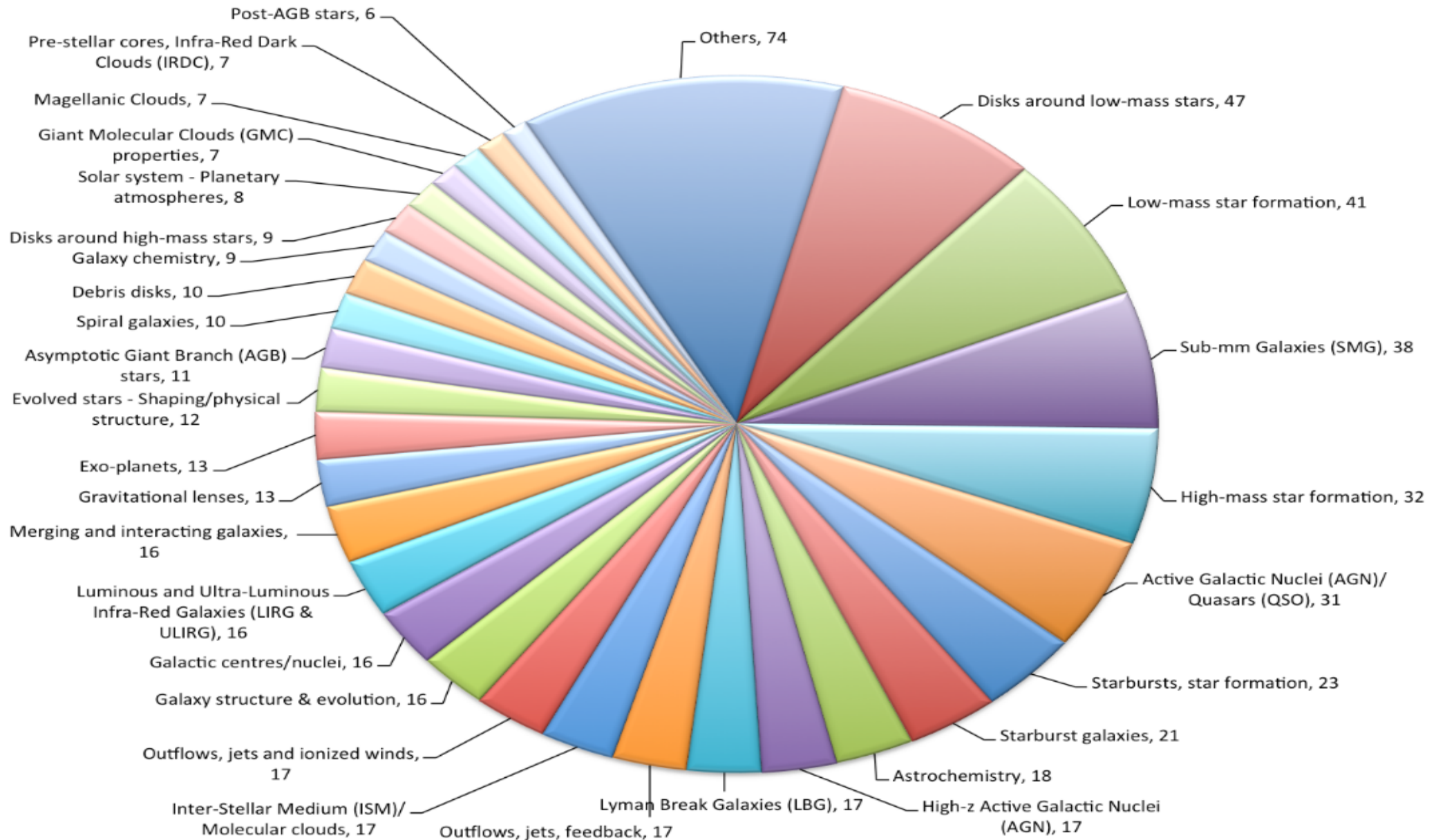
**Higher spectral resolution allows to detect more narrow lines and more details from broad lines,** and hence investigate chemical compositions, source dynamics and pressure and temperature structures.



# ALMA science fields

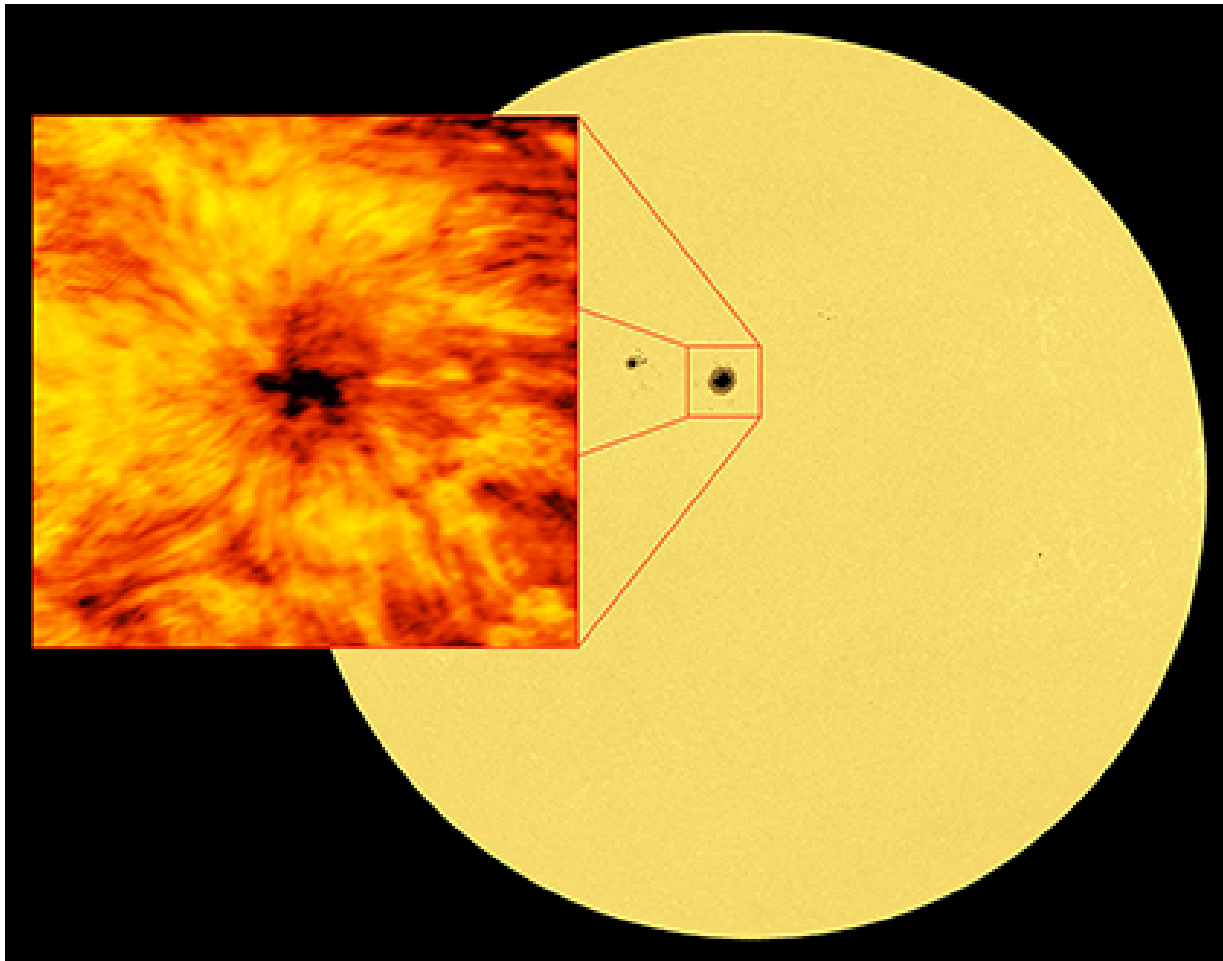
## Scientific keywords: Grade A and B projects

Cycle 3 projects



# The Sun

**Sunspots** are transient features occurring where the Sun's magnetic field is concentrated and powerful. They are lower in temperature than their surrounding regions, which is why they appear relatively dark. The ALMA image is essentially a **map of temperature differences in the chromosphere**. Observations at shorter wavelengths probe deeper into the solar chromosphere than longer wavelengths.





# Planets & small bodies

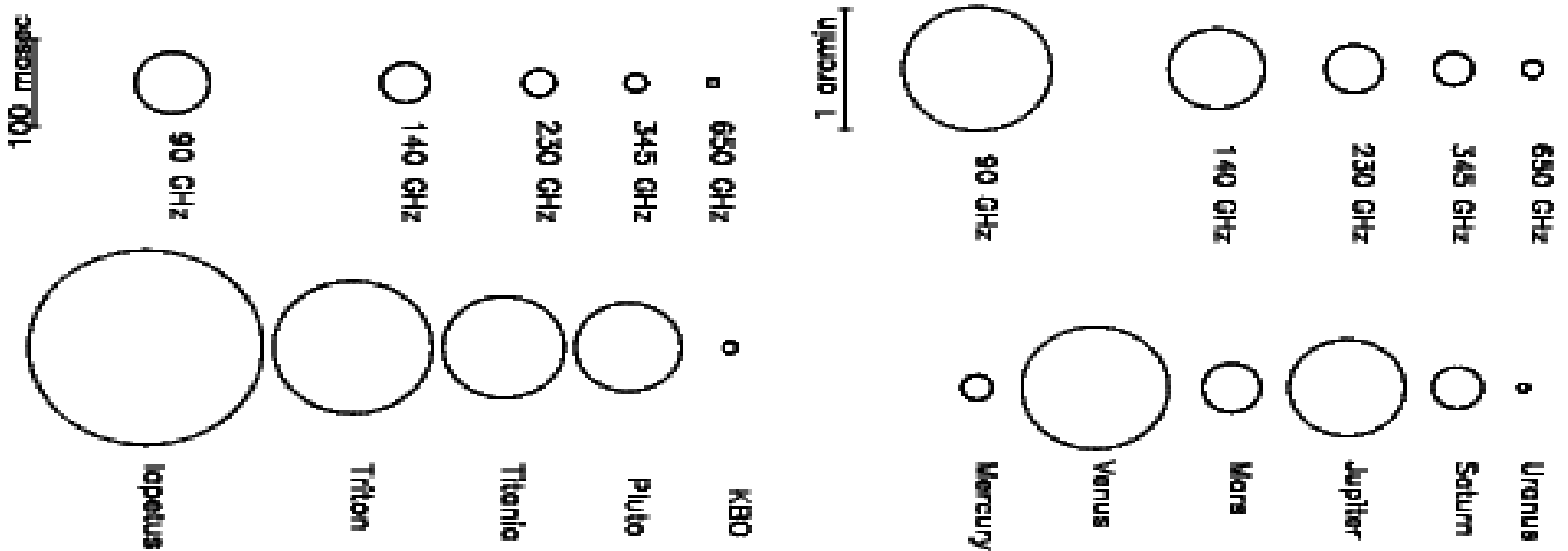
## Surface studies

- Mapping regions that may contain ice to determine the surface temperatures and **if the ice is stable** (e.g. Mars polar caps).
- Mapping the surface temperature vs wavelength to constrain the planet heat from the interior and **the planetary magnetic fields**. (e.g. to determine if Mercury has a molten core)

## Calibrations

- Planets & satellites are “relatively” stable, so are used as **flux calibrators at sub(mm)**. Proper models of flux density distribution (they are typically extended wrt to telescope beams) and time variability (e.g. seasonal variations) are crucial also for other science observations.

ALMA beam sizes



Solar System bodies sizes

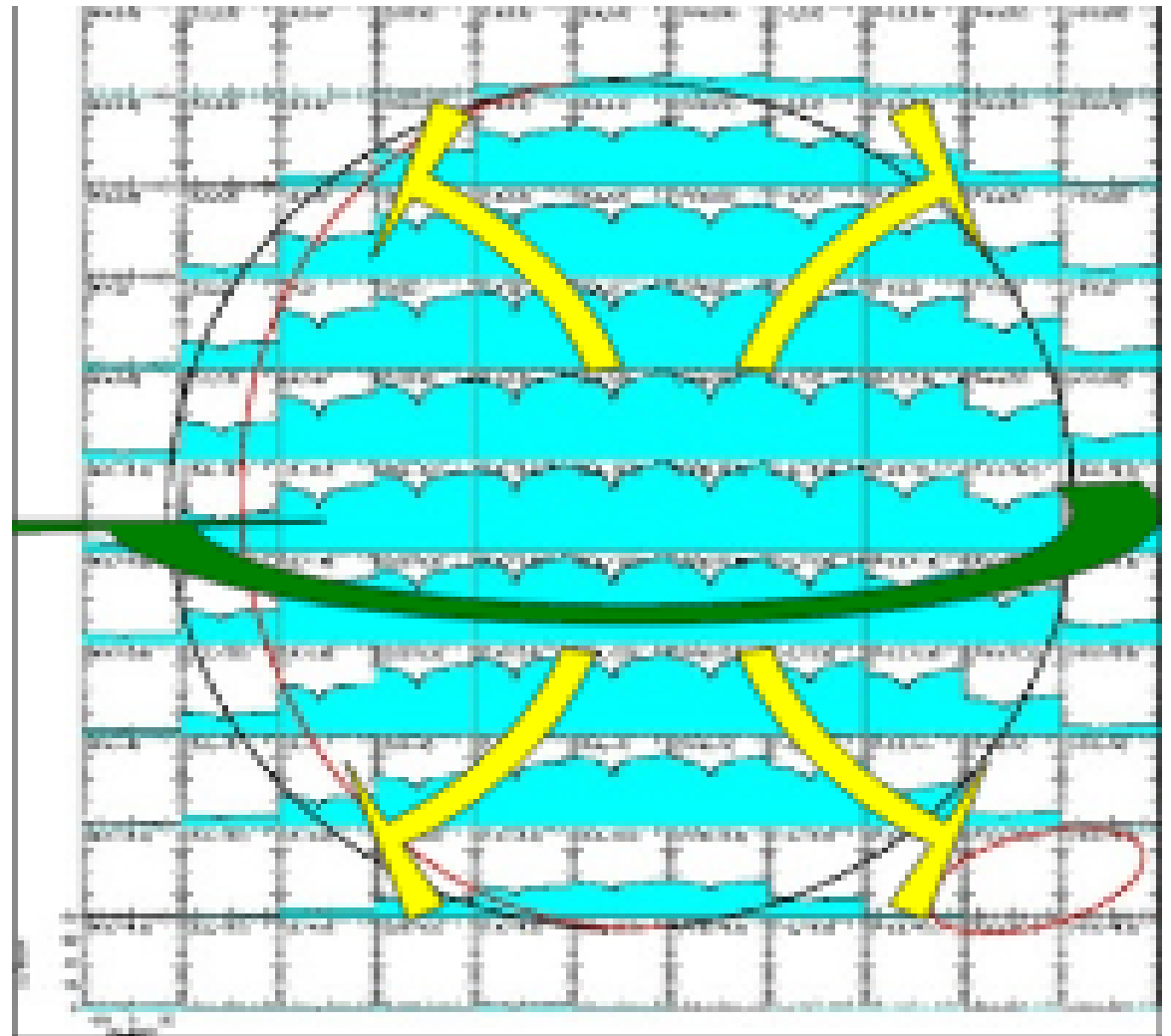
# Planets & small bodies

## Atmospheric studies - dynamics

From spectral profiles it is possible to reconstruct dynamics of planetary atmospheres, (wind maps, seasonal variations and climate models)

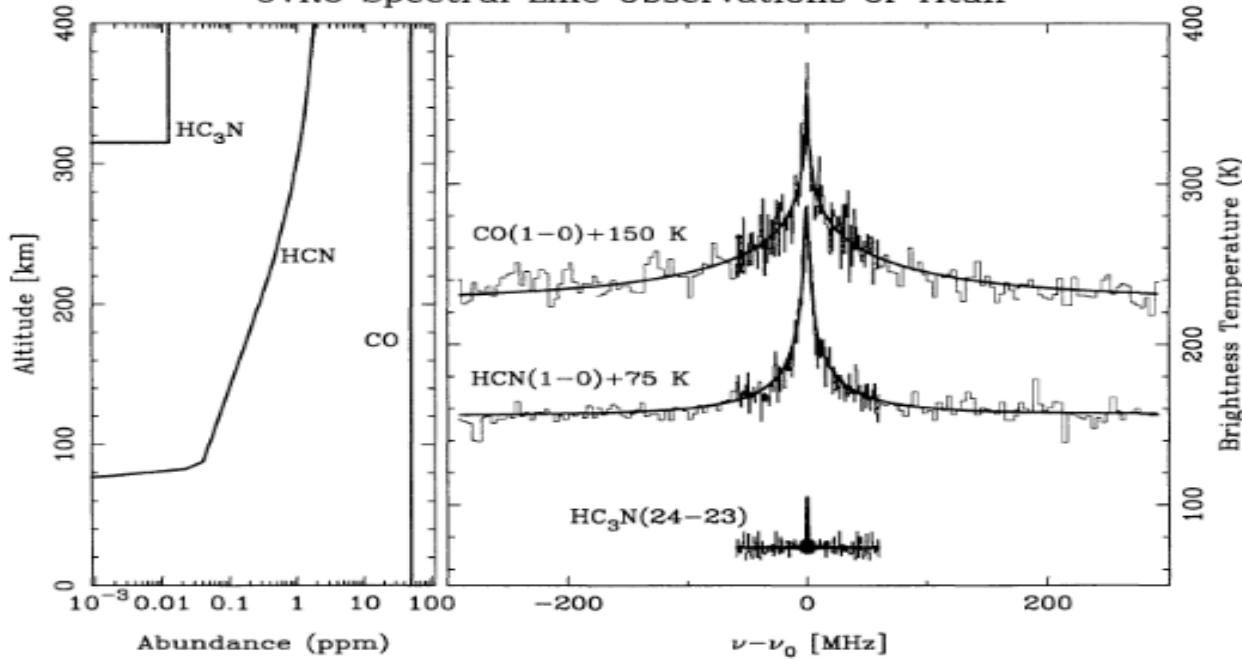
**Moulet et al. 2013 - Cycle0**

Venus wind field near the upper boundary of the mesosphere, through the CO(3-2) line's Doppler-shifts maps



# Planets & small bodies

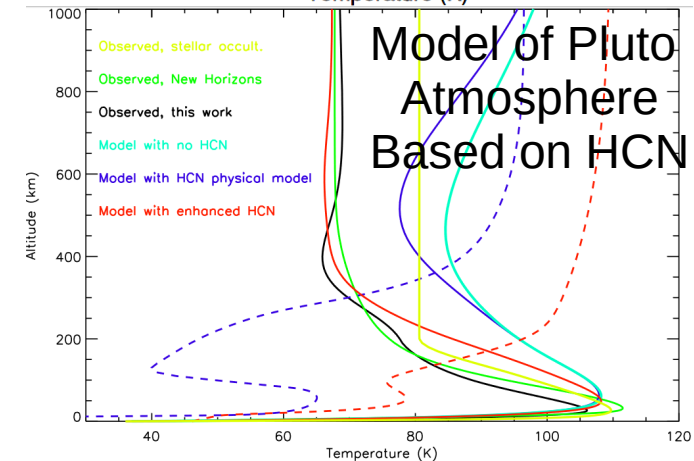
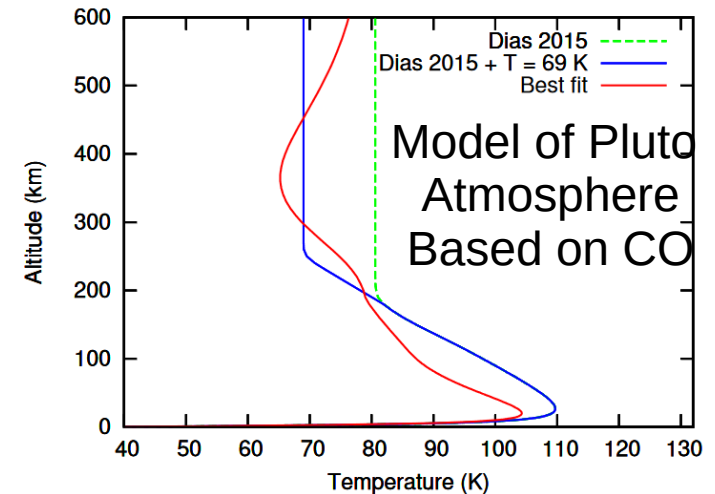
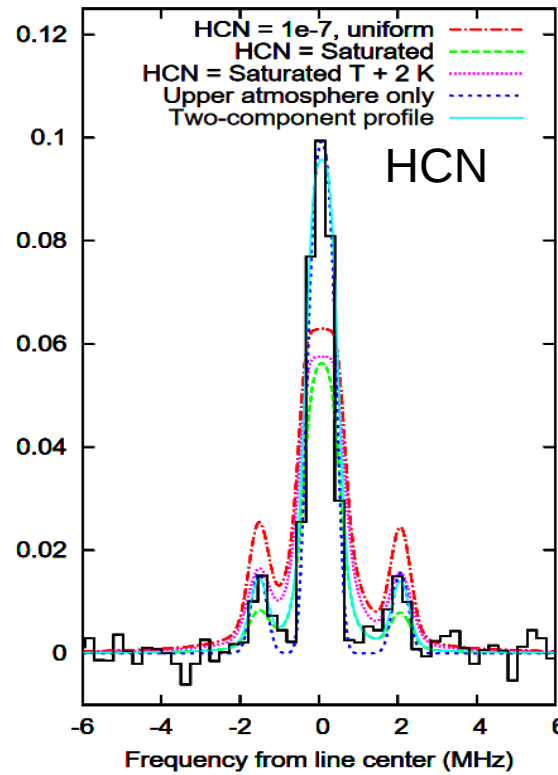
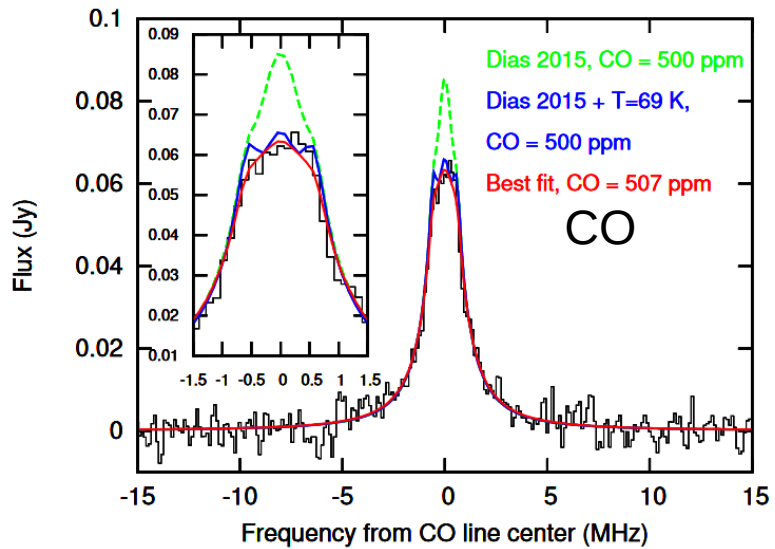
OVRO Spectral Line Observations of Titan



## Atmospheric studies - structure

- Since spectral line shape (i.e. Doppler and pressure broaden lines)

depends on molecular abundances and temperature profiles they can be used to reconstruct **vertical structures of planetary atmospheres, (chemical composition, pressure and temperature)**



Lellouch et al. 2017 - Cycle2

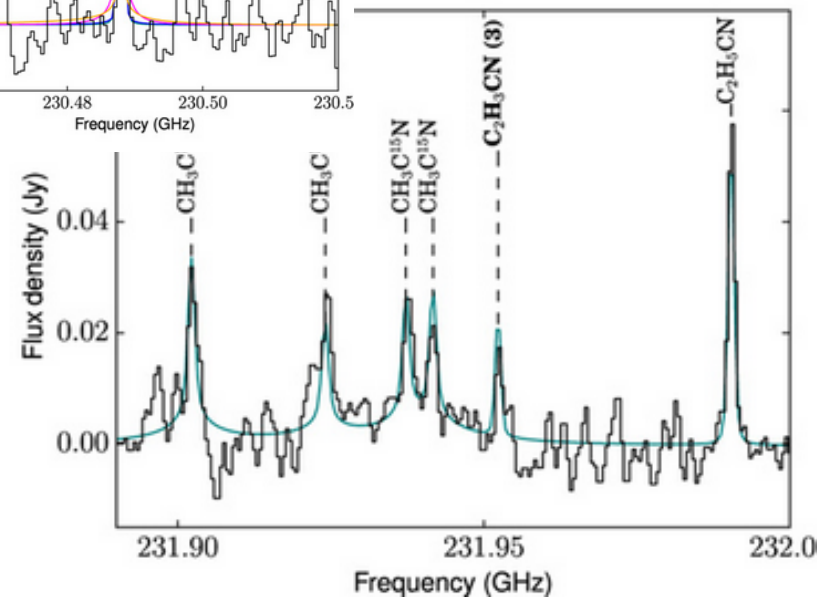
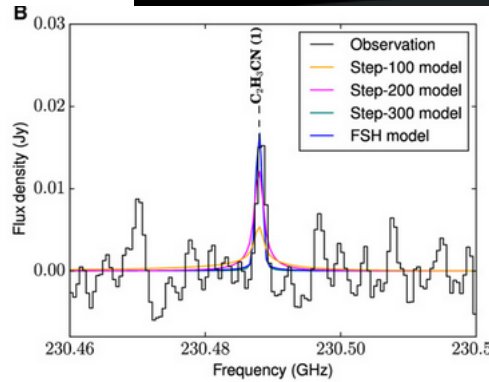
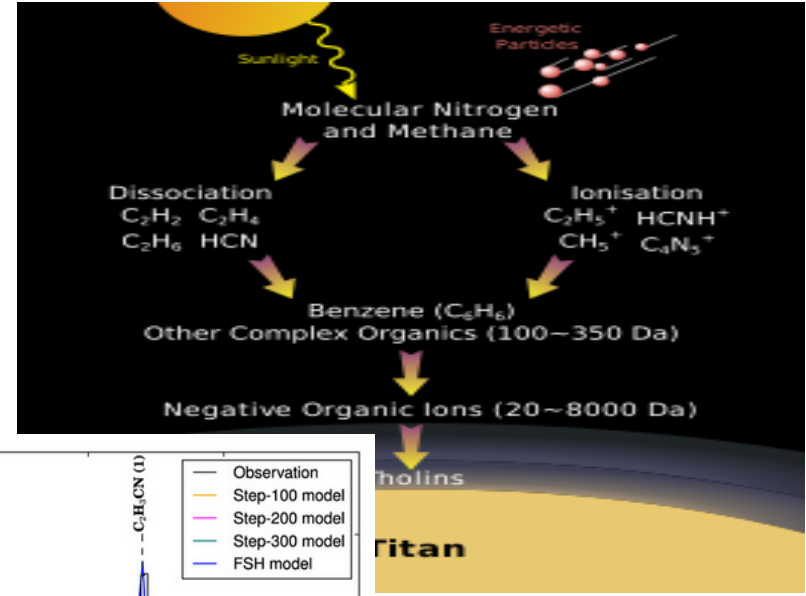
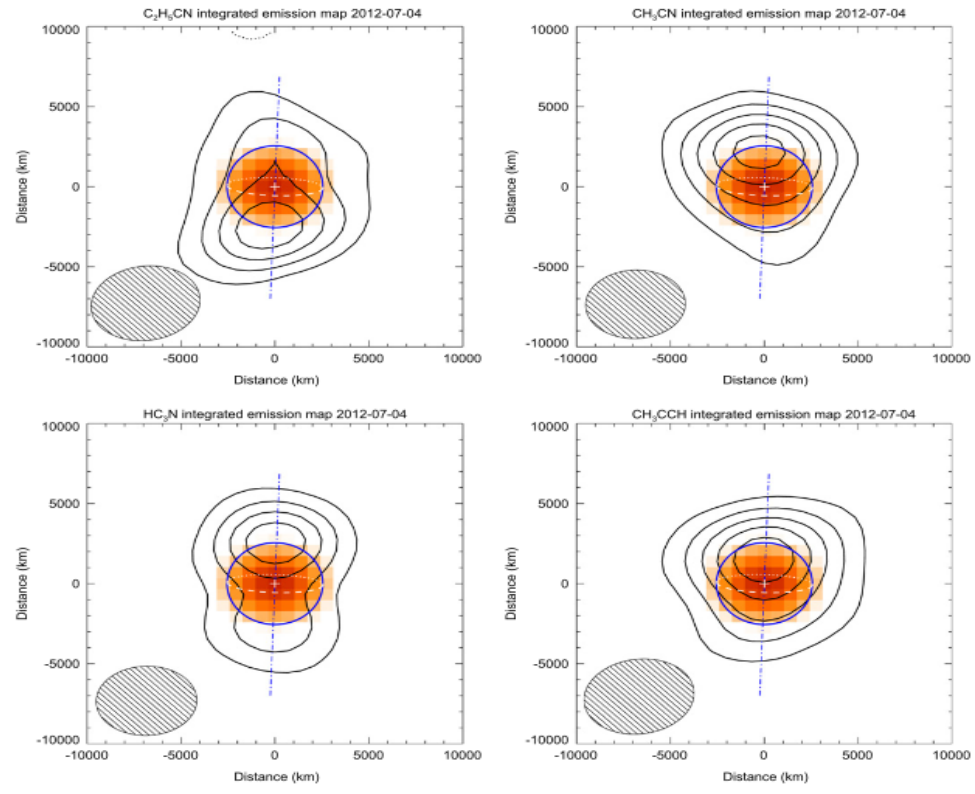
Pluto's lower atmosphere from CO and HCN line shapes

- Cycle 0 -20 antennas
- 8min on-source
- Band 6 (1.7 mm)
- Spectral res 1.3km/s
- Angular Res 0.7" (~5000km~Titan diameter)

# Ethyl Cyanide & HCN on Titan

Cordiner et al. 2015  
 Molter et al. 2016  
 Palmer et al. 2017

Titan has a thick atmosphere composed primarily of molecular nitrogen (98%) and methane (2%). Organic molecules form at various altitude from ionization and photodissociation processes.

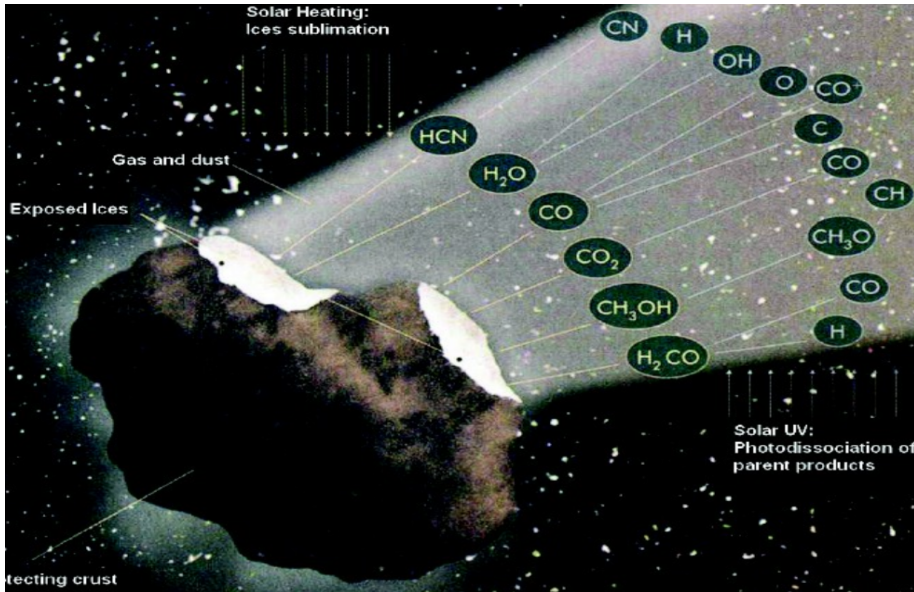


Ethyl Cyanide (C<sub>2</sub>H<sub>5</sub>CN) is detected on Southern hemisphere indicating a shorter lifetime (during northern winter-spring transition) than HC<sub>3</sub>N, CH<sub>3</sub>CN and CH<sub>3</sub>CCN which are found to the north. Comparison with models show that C<sub>2</sub>H<sub>5</sub>CN is produced in the moon's stratosphere and above 200km.

Vinyl Cyanide (C<sub>2</sub>H<sub>3</sub>CN) originates >200km. Abundances confirm the possibility of presence of cell membranes in Titan lakes.



# Comets & small bodies

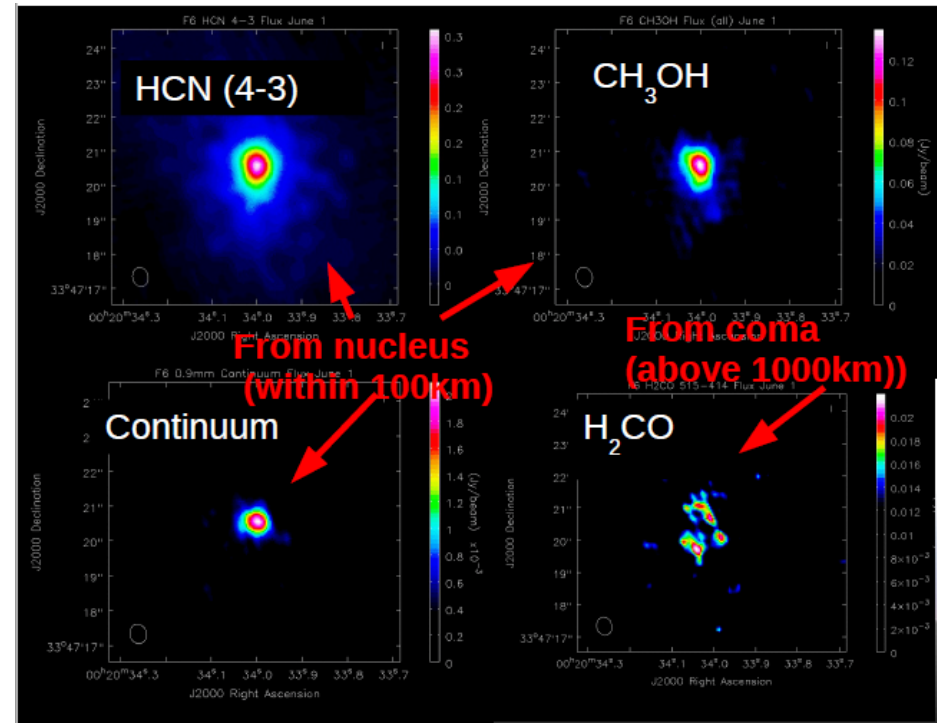


Observing **small bodies** will allow to **image their surfaces**, determine their sizes and orbits. At 3AU a 10km asteroid has flux  $1/\lambda^2$  mJy

**Comets come back as remnants of the Planet formation era. Comets preserve the material left from the protoplanetary Solar nebula. Cometary ices aggregated at the time the Solar System formed (c. 4.5 Gyr ago), and have remained in a frozen, relatively quiescent state ever since. Their composition and structure may provide information about the physical and chemical conditions in the Early Solar System.**

Getting closer to the Sun, dust and ice grains are released. mm observations can unveil the nuclear mechanisms, composition and evolution as function of distance from Sun. Spectroscopy reveals the composition of comae, and the dynamics of the emission. Typical lines are molecules of H, C, N, O, including prebiotic molecules

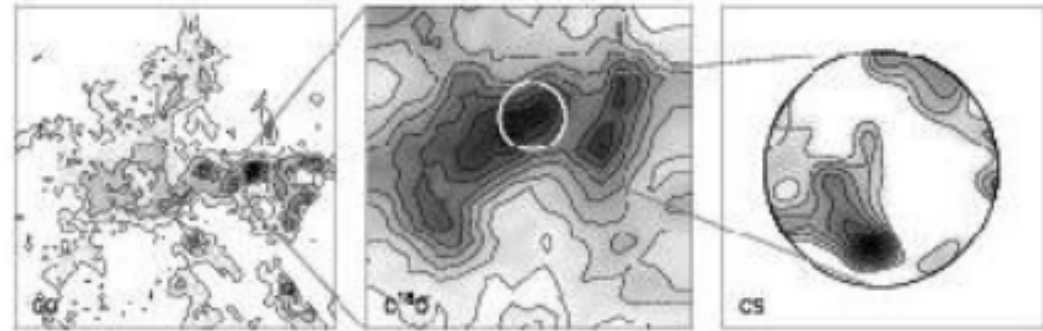
**Cordiner et al. 2014 -Cy1**  
Comet Lemmon



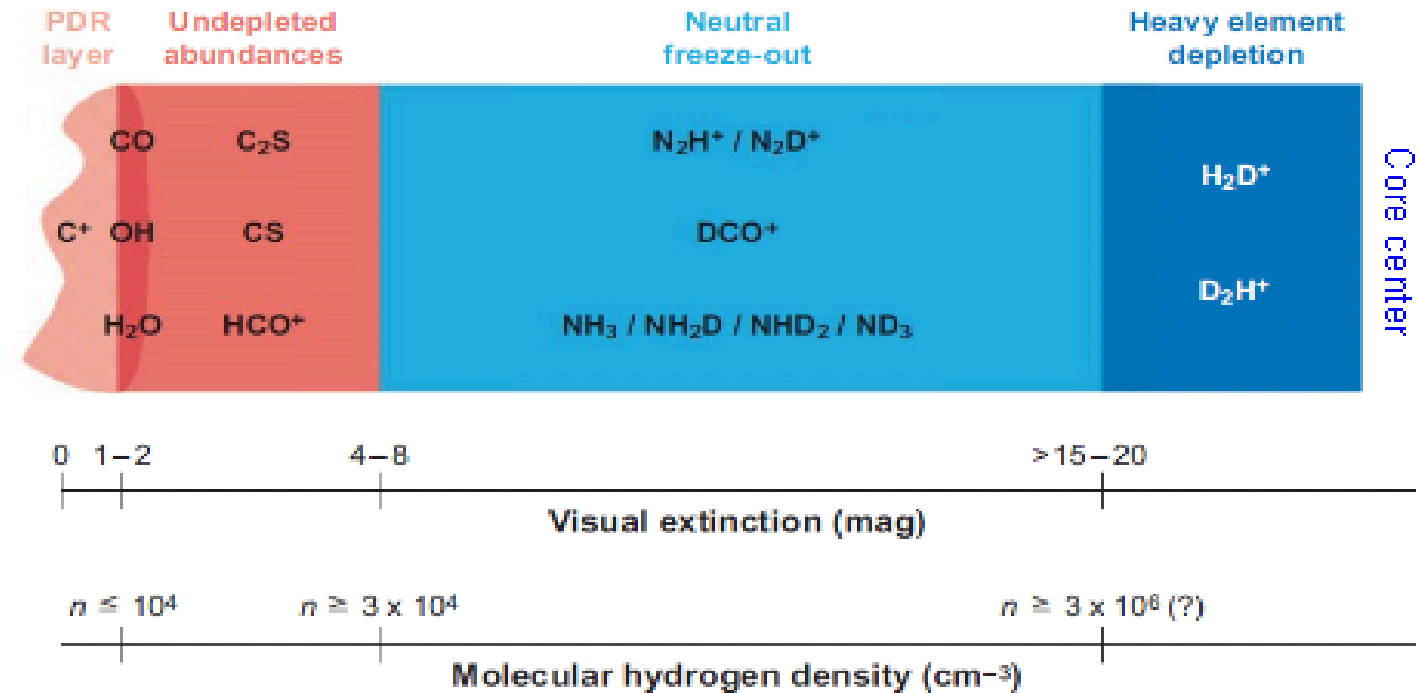
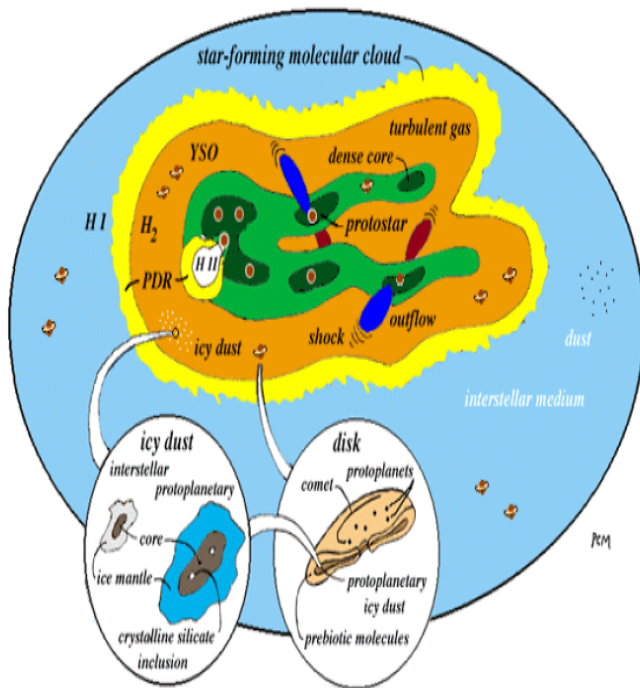
# ISM structure and chemical enrichment

The ISM is constituted by 90% of H, 9% of He, and traces of other components  
 80% of H<sub>2</sub> is in molecular clouds, peaking in the Galactic center.

Molecular clouds are highly structured complexes made of clumps (where clusters can form) and cores (where a single or binary star form).



	Clouds <sup>a</sup>	Clumps <sup>b</sup>	Cores <sup>c</sup>
Mass ( $M_{\odot}$ )	$10^3 - 10^4$	50-500	0.5-5
Size (pc)	2-15	0.3-3	0.03-0.2
Mean density ( $\text{cm}^{-3}$ )	50-500	$10^3-10^4$	$10^4-10^5$



# ISM structure and chemical enrichment

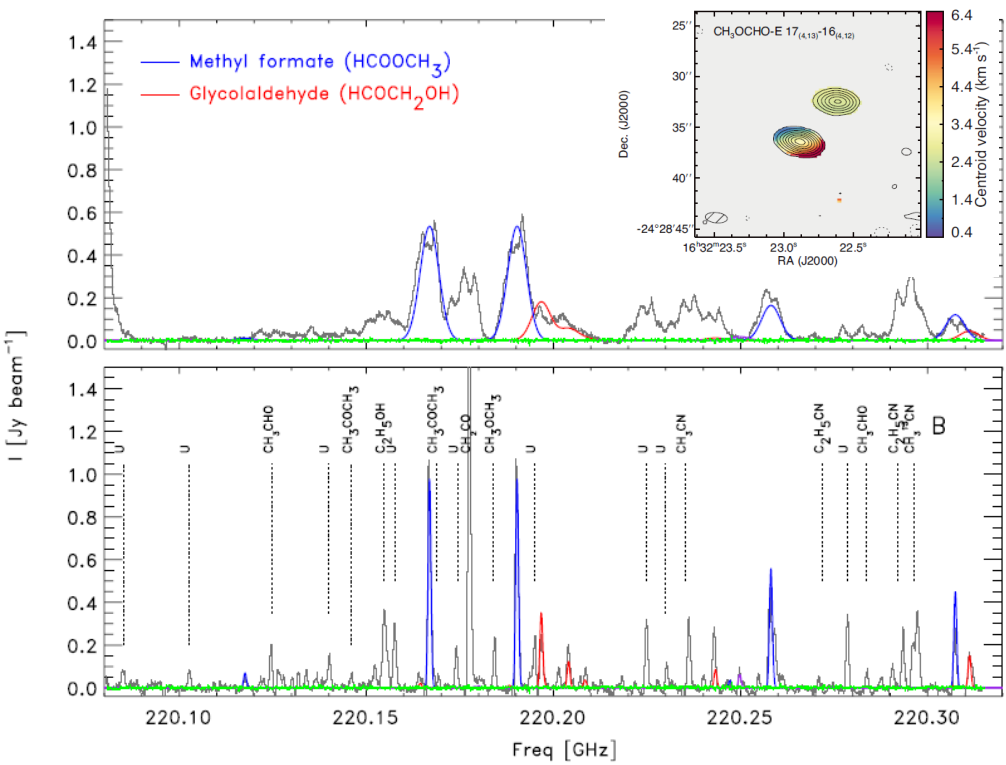
More than 80 amino acids have been identified in meteorites found on Earth. They are the building blocks of proteins.

This suggests that they or their direct precursors have an inter-stellar origin.

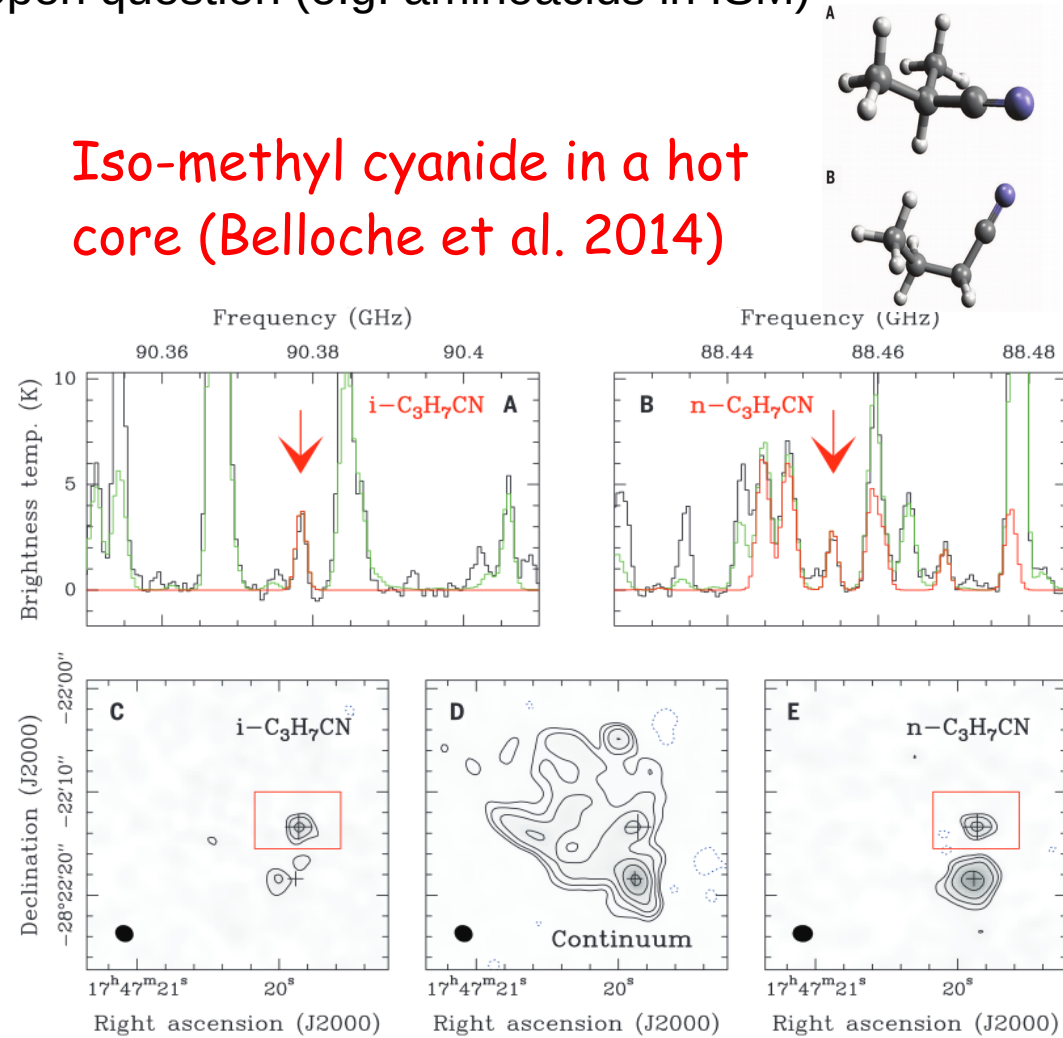
ISM chemistry might be capable of producing organic molecules more complex than those detected so far and thus of great importance to astrobiology.

The chemical complexity of ISM is still an open question (e.g. aminoacids in ISM)

## Glicolaldehyde in IRAS16293-2422 proto-binary (Pineda et al. 2012)



## Iso-methyl cyanide in a hot core (Belloche et al. 2014)



# Massive star formation

Accretion on the protostar  
Contraction of the protostar

$$t_{\text{acc}} = M_* / (dM_{\text{acc}}/dt)$$

$$t_{\text{KH}} = GM^2/R_*L_*$$

For  $M_* < 8M_{\text{sun}}$   $t_{\text{acc}} < t_{\text{KH}}$

For  $M_* > 8M_{\text{sun}}$   $t_{\text{acc}} > t_{\text{KH}}$

Hence massive stars enter MS while still accreting.

However they are crucial for ISM enrichment  
(via winds and supernovae explosions)  
and UV radiation.

High-mass stars are **rare**

- For each 1000 stars of 1 Msun, only a single 10 Msun star forms
- The nearest star with  $M > 10 M_{\text{sun}}$  is at  $d \sim 400 \text{ pc}$

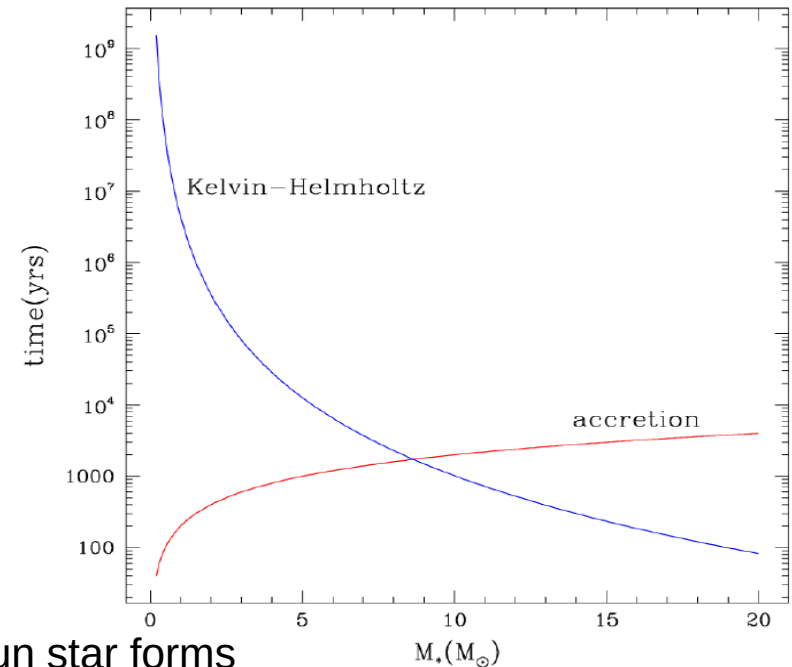
High-mass stars **evolve fast**

- The most massive stars go supernova in 3 Myr
- Fast evolution means there are only very few objects in each phase!

=> Observing each stage of evolution is difficult (resolution, distance, time...)

High-mass stars are frequently **obscured** or in dense clusters

- Need high-resolution observations to disentangle dense cluster cores
- Need deep infrared observations to penetrate the dust





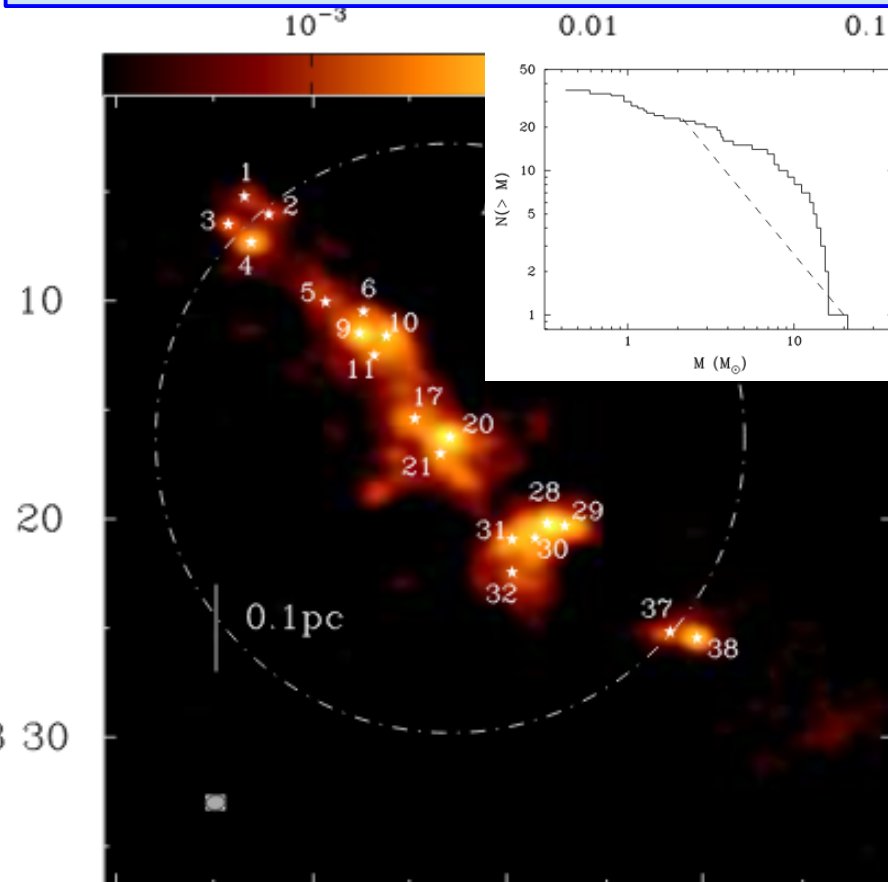
# Massive star formation

The earliest stages of star formation should be bound prestellar cores of which the mass can be measured via thermal dust emission.

High angular resolution can measure the dust fragments down to subsolar masses.

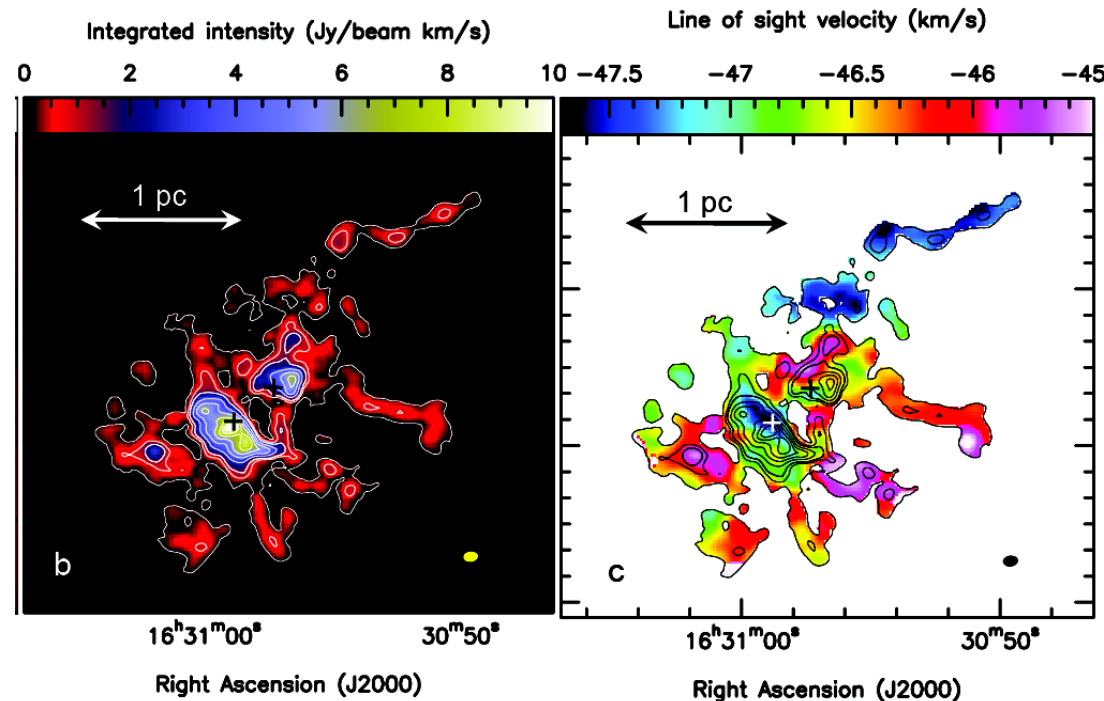
Fragmentation in G28.34 IR dark cloud  
Arbours massive star formation  
(Zhang et al. 2015)

- Cycle 0 – 29 antennas
- Band 6
- **Angular resolution ~ 0.8"**



Network of cold, dense, pc-long filaments  
in SDC335: a global collapse along  
filaments (Peretto et al. 2013)

- 3mm continuum,  $\text{CH}_3\text{OH}(13-12)$ ,  $\text{N}_2\text{H}^+(1-0)$
- 16 antennas, 11 mosaic points
- Beam = 5.6" x 4.0"
- **Vel. Resolution = 0.1 km/s**
- Continuum rms 0.40 mJy/beam
- Line rms 14 mJy/beam

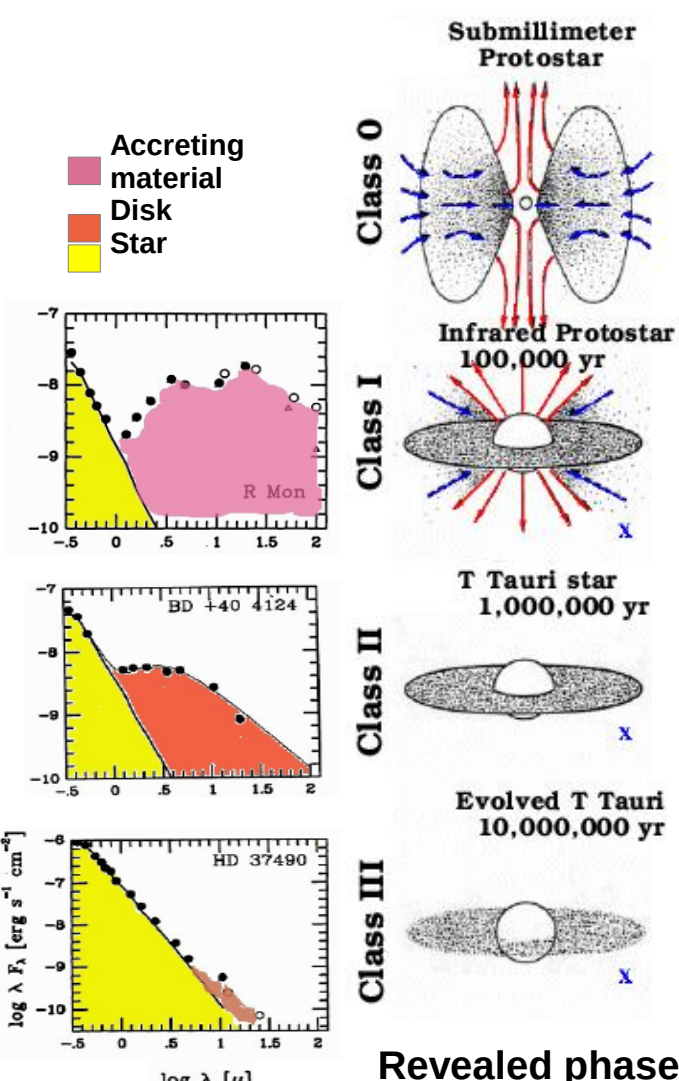
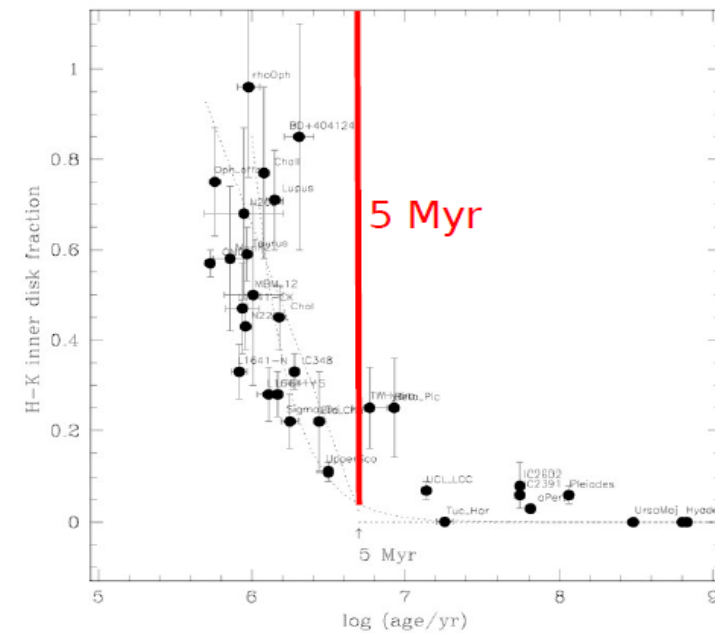


# Disks everywhere!

**Massive star loose disk more rapidly than low-mass star of same age.**  
 For star masses  $0.04 < M < 10 M_{\text{sun}}$  the disk is typically 1% of the star mass.

(Hillebrand et al. 2005)

**For O-type star no disk were detected (before ALMA) in submm indicating very short disk life or a different formation scenario.**



## Observables

Dusty environment  
 Infall  
 Outflows

Disk  
 Outflows  
 Infall

Disk without accretion

Protoplanetary disk

## NOTES on SCALES

Jeans scale 10000 AU

Planet formation 1-10 AU

Outflows < 10AU

Protostellar disk = 100 AU  
 PDR (HII regions) 1000 AU

Nearest Ttauri star 50 pc

Lowmass SF sites 150 pc

High mass SF sites 500 pc

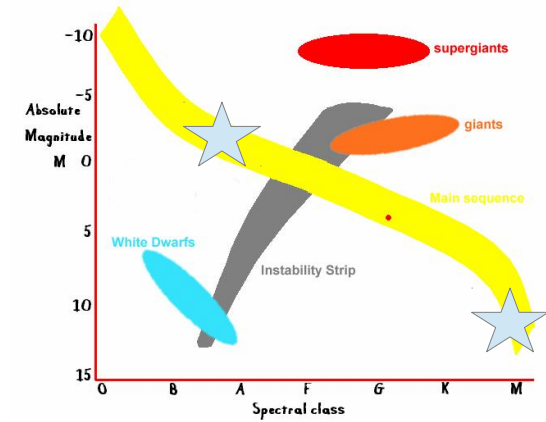
10 AU @ 100 pc -> 0.1arcsec

**ALMA reaches 20-100 mas  
 @ 200kpc (LMC) -> Jeans scale**

# Disks everywhere!

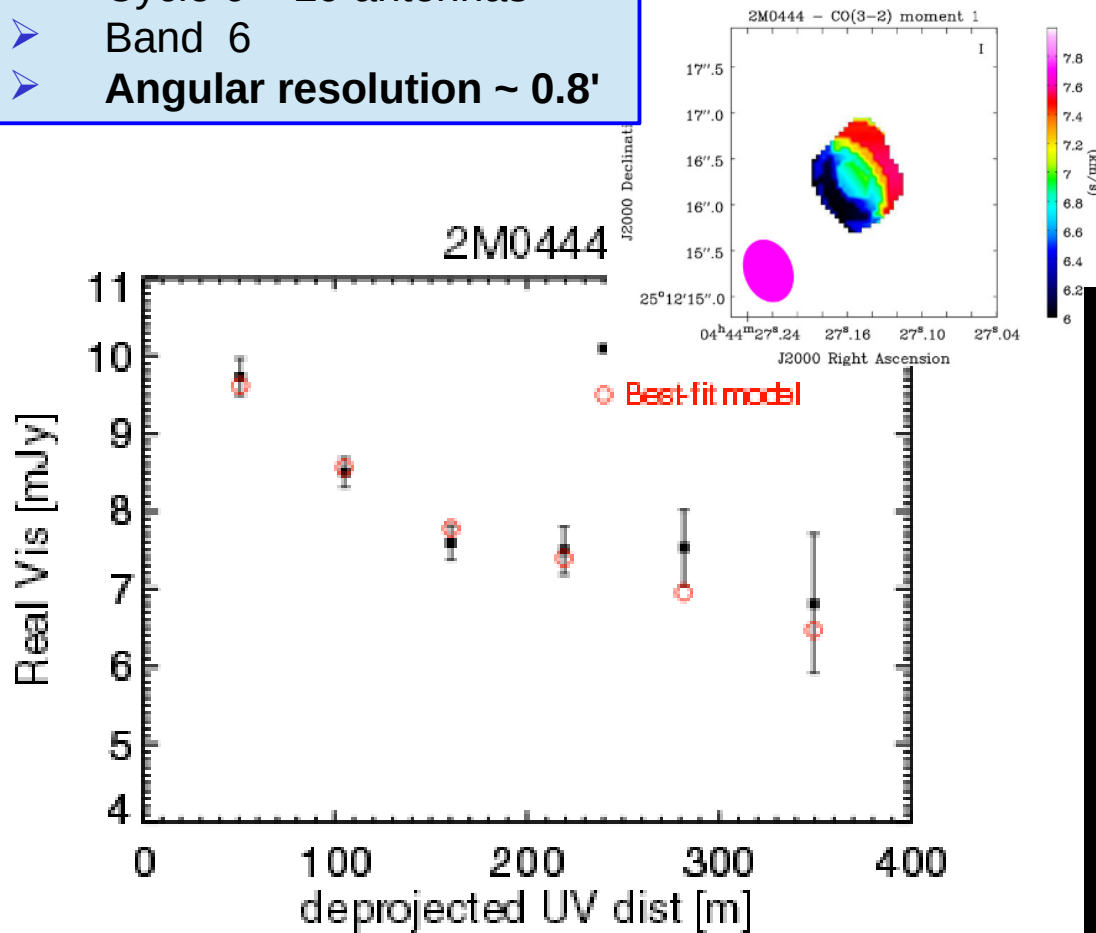
Massive star lose disc more rapidly than low-mass star of same age.  
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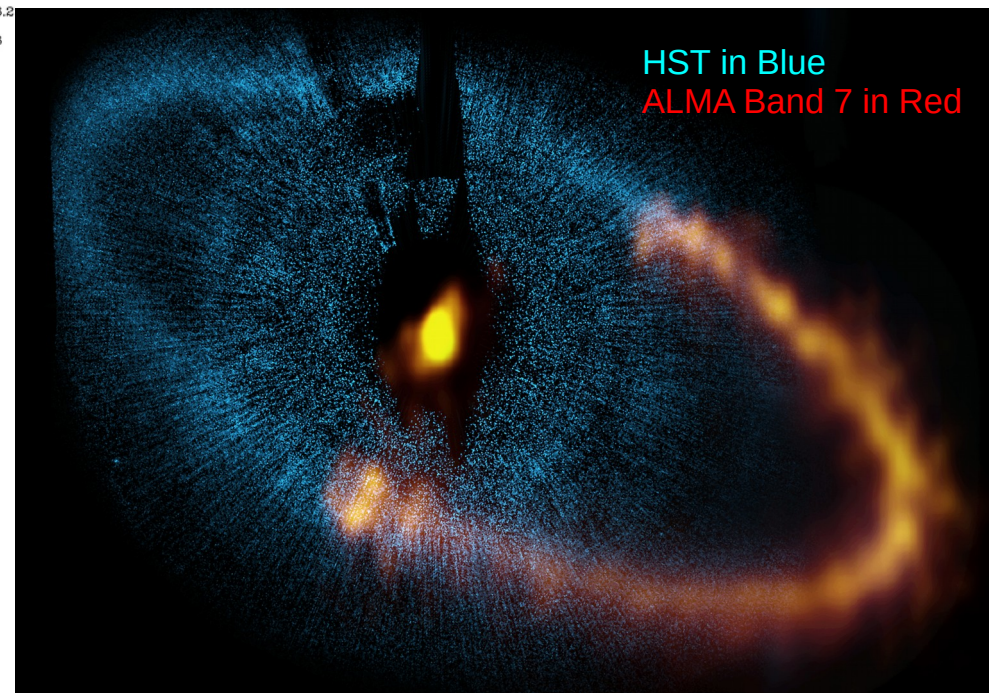
Disk around 3 brown dwarfs (Ricci et al. 2014)

- Cycle 0 – 29 antennas
- Band 6
- **Angular resolution ~ 0.8'**



Disk around Fomalhaut A3V (Boley et al. 2012, MacGregor et al. 2017)

- Band 7 – continuum
- 140 min on source
- rms ~ 0.06 mJy/beam
- **Angular resolution ~ 1.5"**

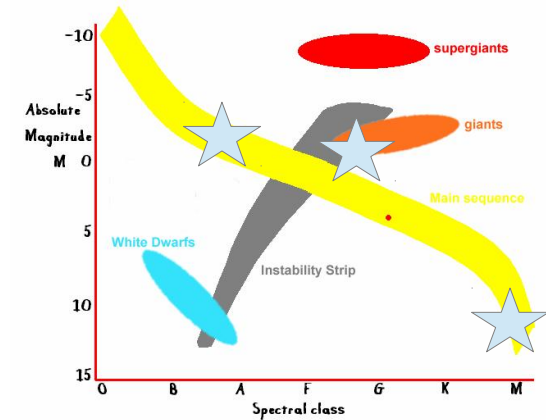




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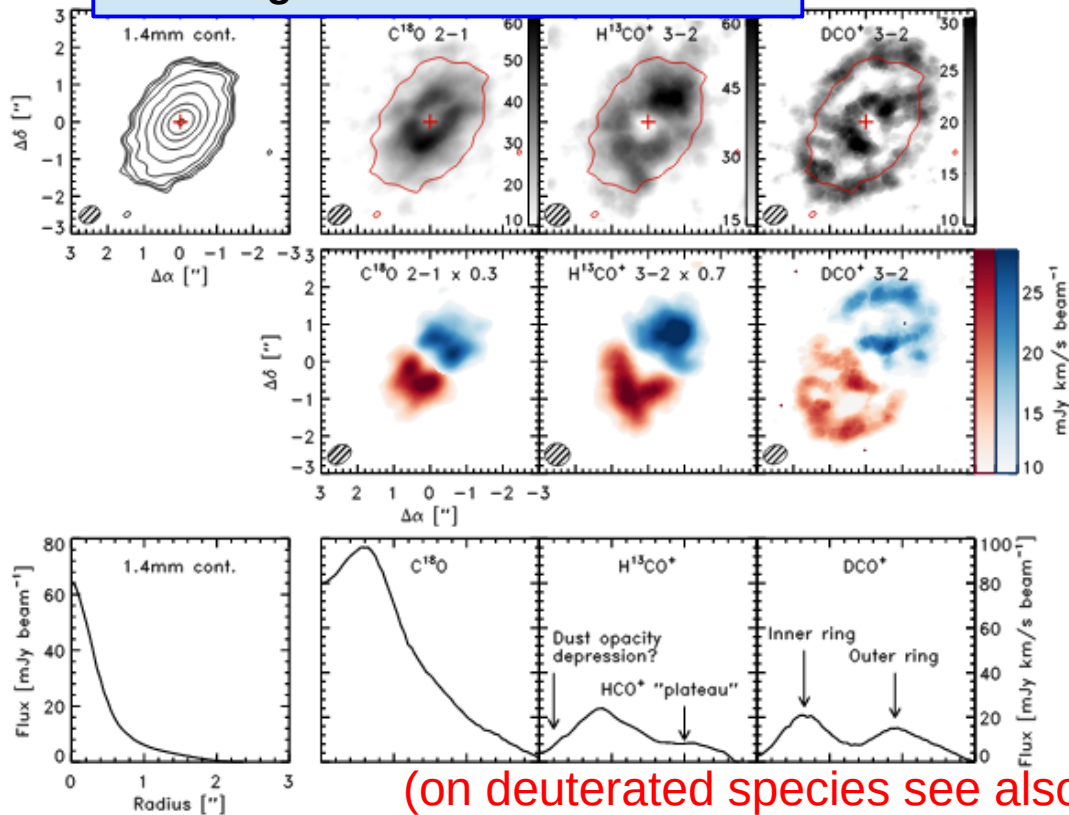


IM-Lup:T-Tauri disk (Oeberg et al. 2015)

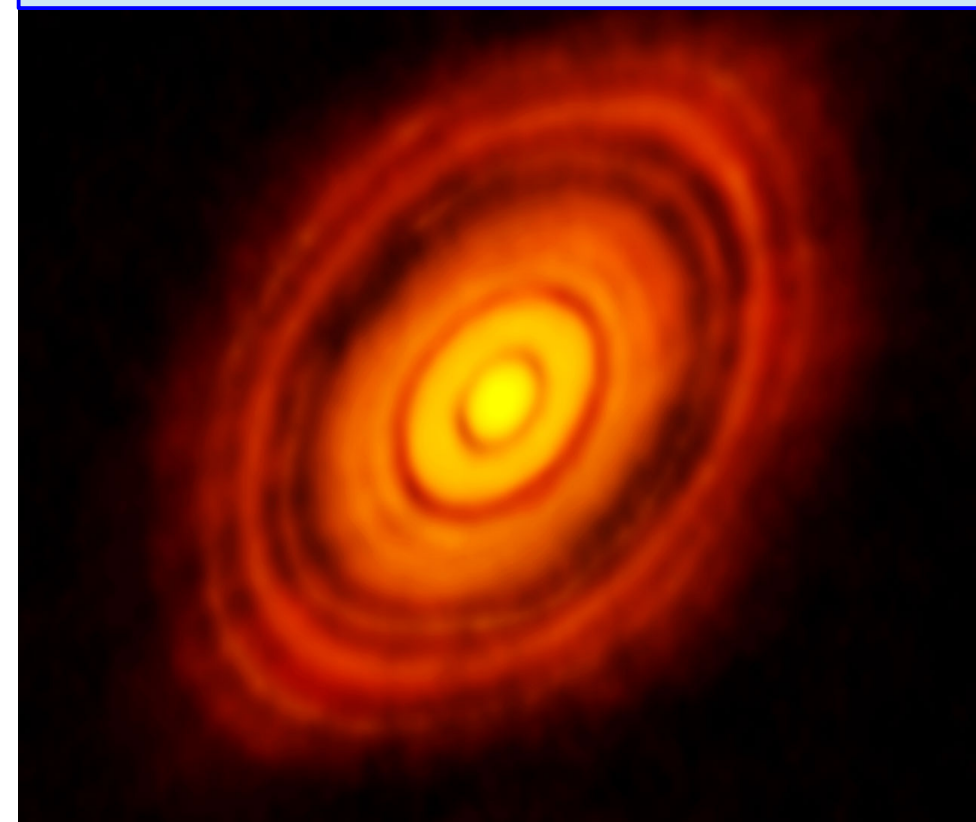
HL-Tau: young T-Tau star (ALMA Partnership 2015)

- Cycle 1 – 32 antennas
- Band 6
- **Angular resolution ~ 0.6"**

- Long Baseline Campaign SV
- Band 3, 6,7 – continuum
- **Angular resolution ~ 85 x 61 mas, 35 x 22 mas, and 30 x 19 mas**



(on deuterated species see also Huang et al. 2017, Salinas et al. 2017)

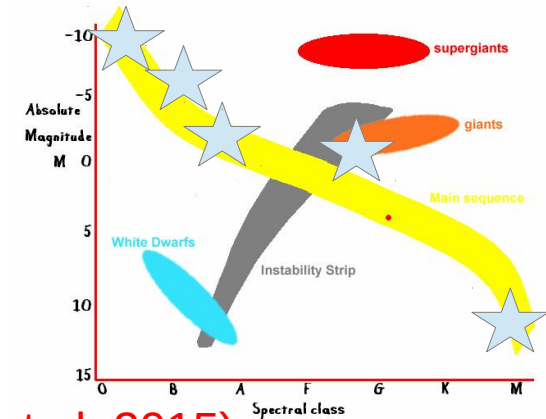




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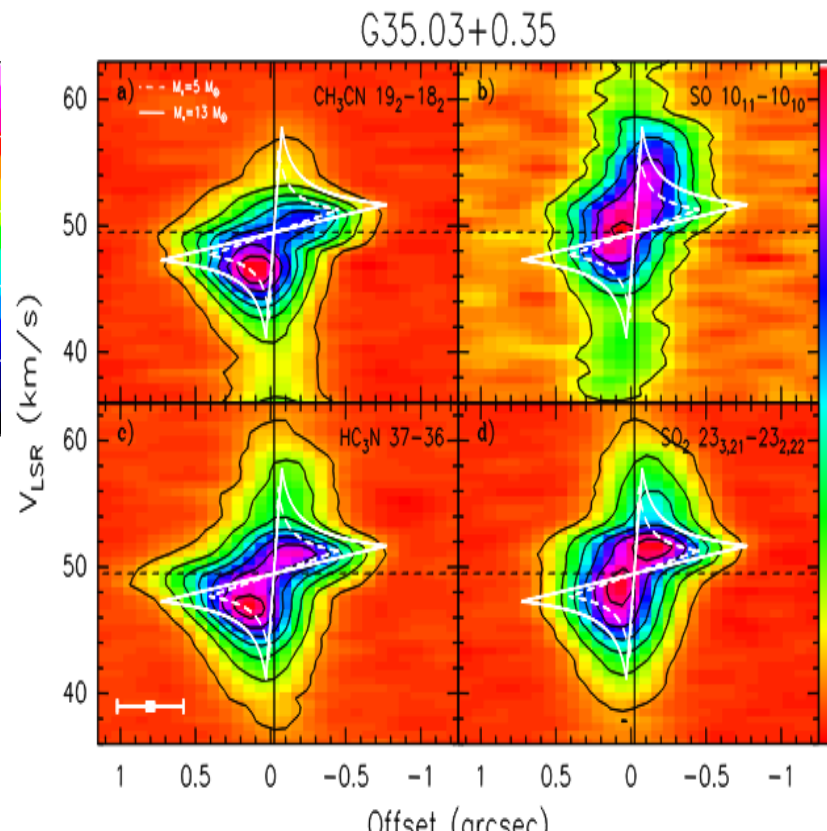
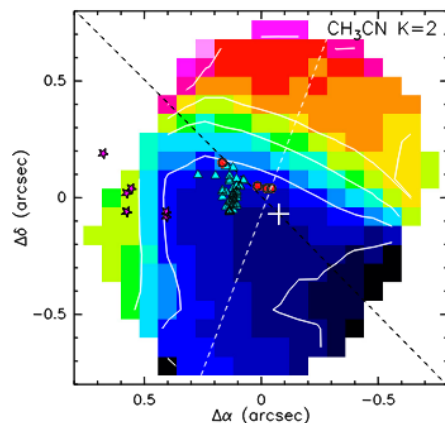
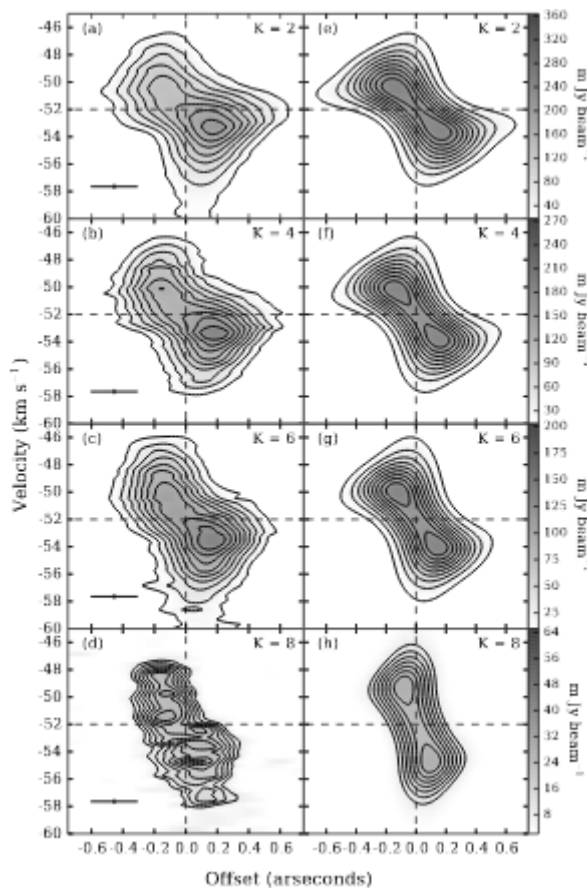
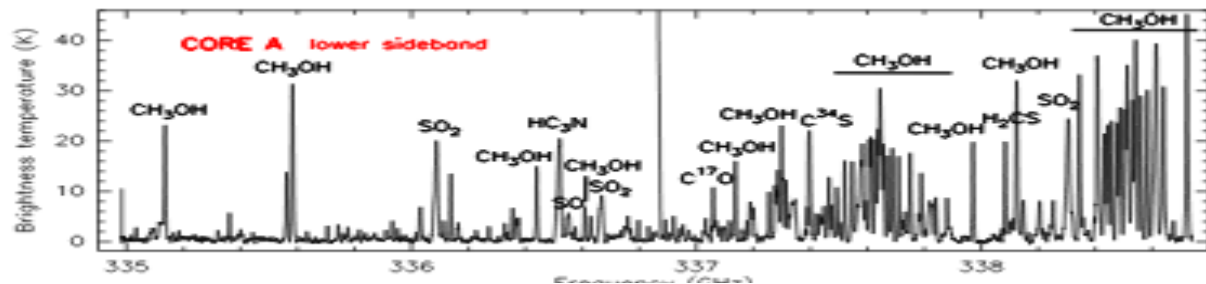
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Disk around O star (Johnston et al. 2015  
 Cesaroni et al. 2017)

Disk around B star (Beltran et al. 2015)

- Cycle 1 – 29 antennas
- Band 6
- Angular resolution  $\sim 0.3''$

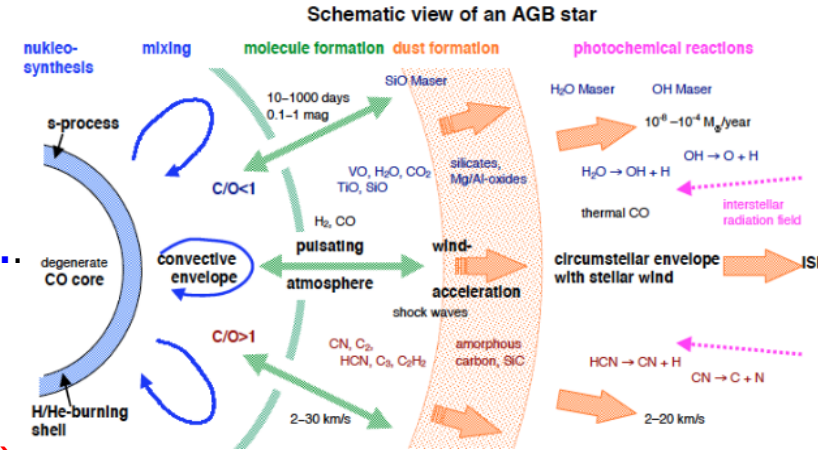


# AGB stars

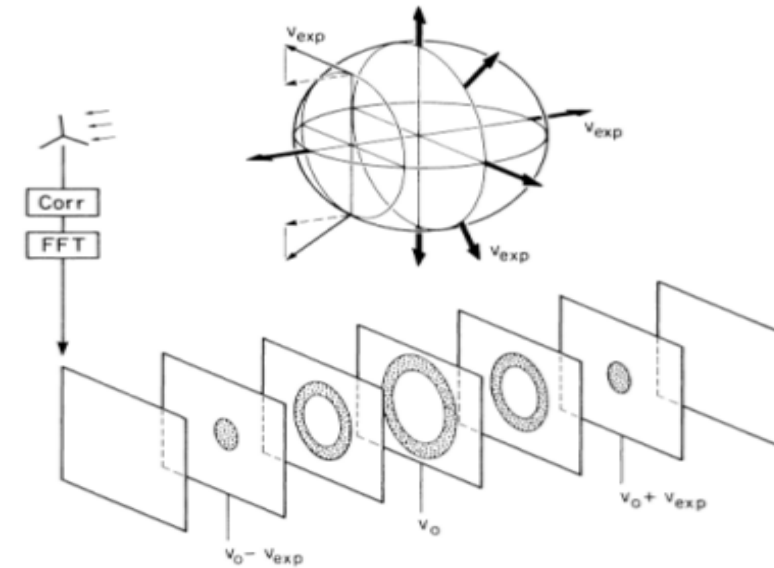
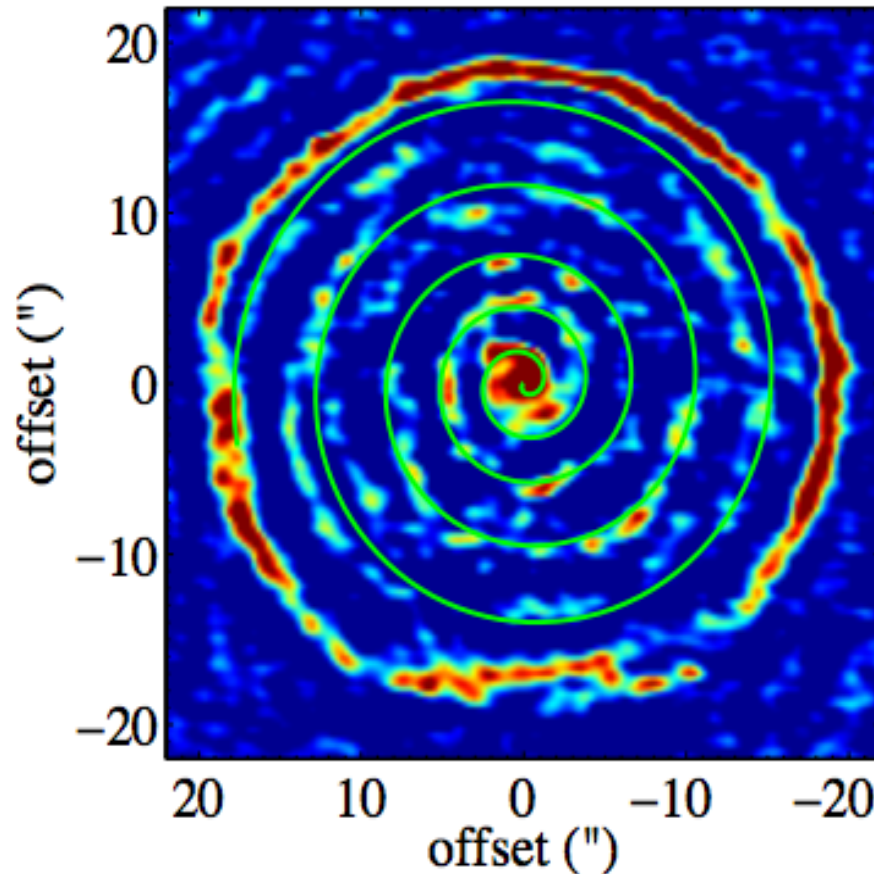
AGB stars (last stages of 0.6-10 Msun stars) are typically long-period variables, and suffer mass loss in the form of a stellar wind.

**Thermal pulses produce periods of even higher mass loss and may result in detached shells of circumstellar material.**

For an envelope expanding with constant velocity the iso-velocity curves are circles



R-Sculptoris (Maercker et al. 2012, Vlemmings et al. 2013)



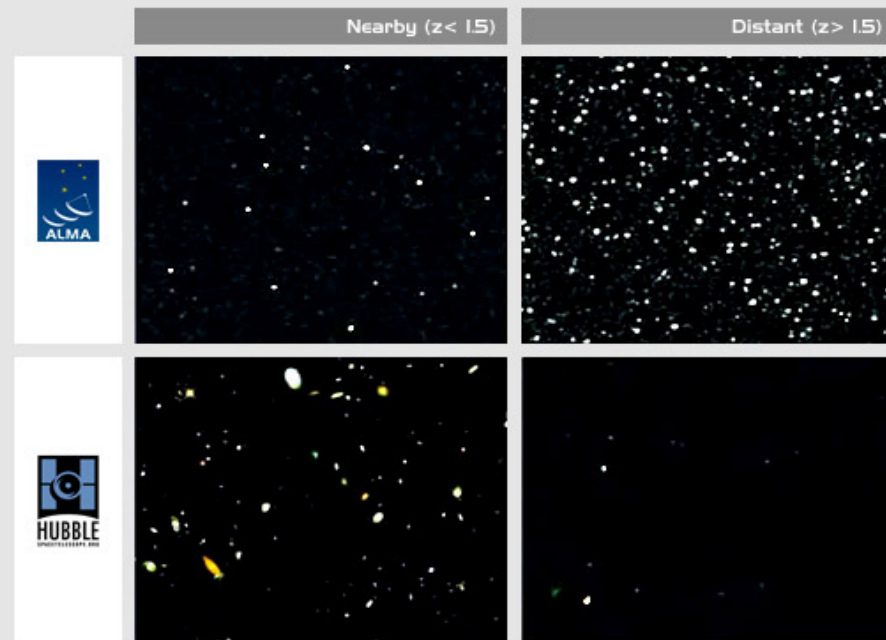
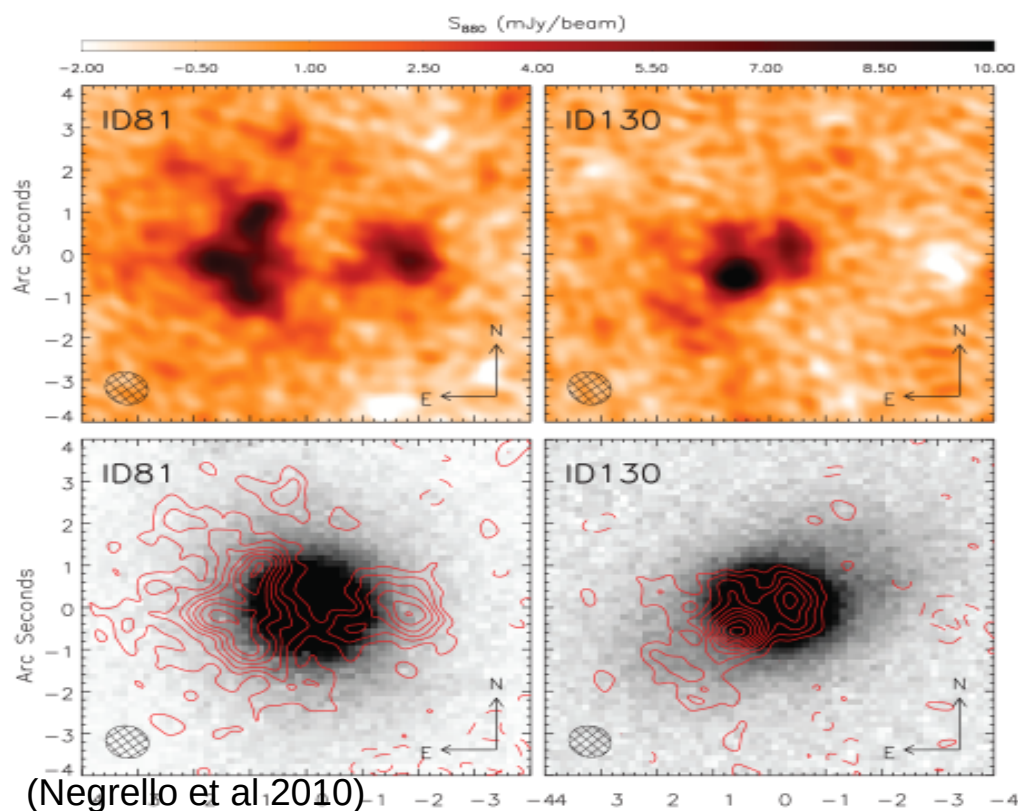
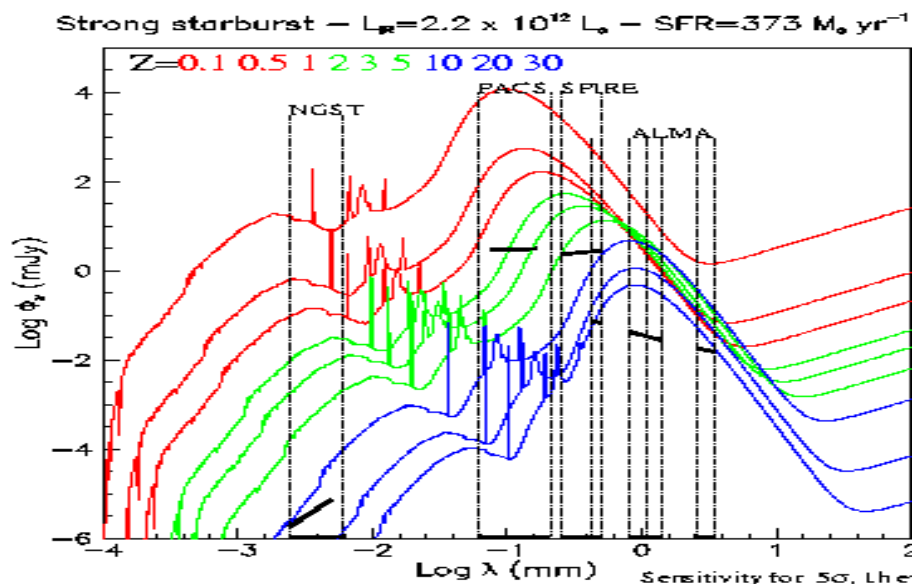
- ~15 antennas, ~4 hrs
- Band 7: CO(3-2),
- **resolution = 1.3"**
- 45 pointed mosaics (50" x 50" field)

# Extragalactic science in (sub)mm

At high redshift the prominent **IR dust thermal bump** (which dominates the SED in starburst galaxies) is shifted into the submm band.

**Negative k correction:** for  $1 < z < 10$  galaxy flux density remain constant for  $0.8 < \lambda < 2 \text{mm}$ . High- $z$  galaxies look brighter than low- $z$  & more high- $z$  than low- $z$  in deep fields.

**Obscuration** is not an issue as in optical bands





# CO

- single gas component
- QSO turnover:  $J > 6-5$
- SMG turnover:  $J \sim 5-4$

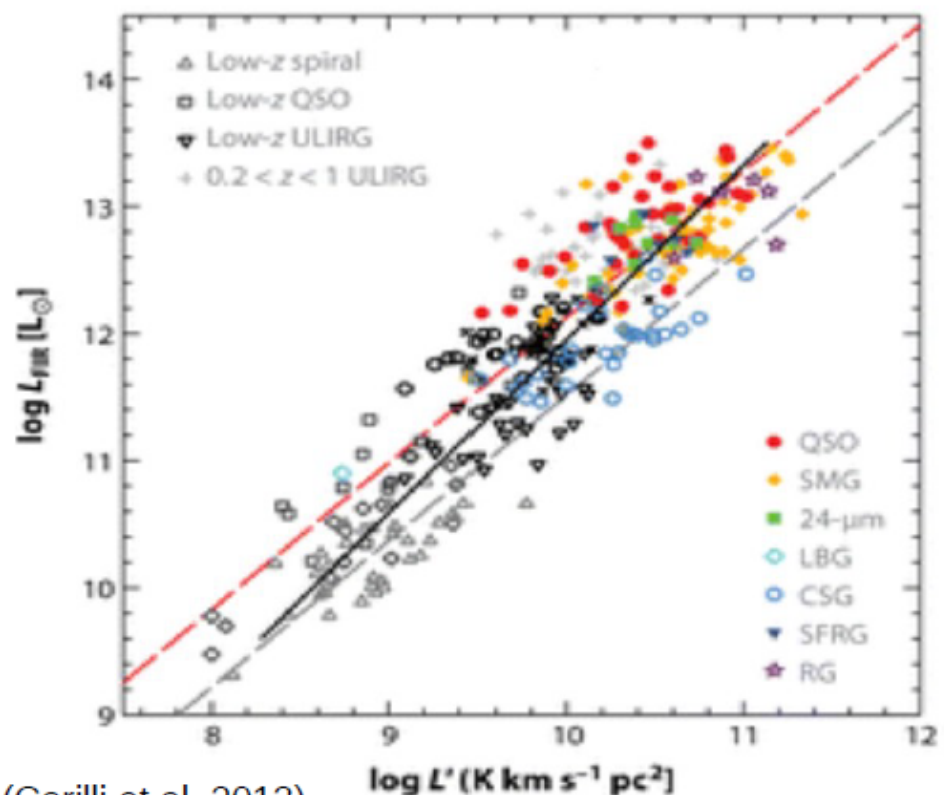
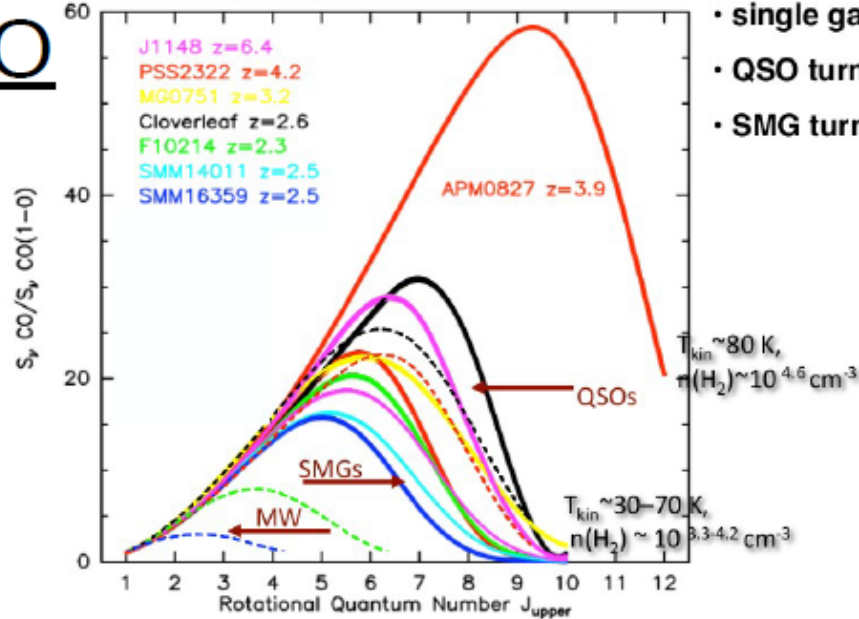
$L_{CO}$  is proportional to the gas mass (via the relation with  $H_2$ ),  $L_{FIR}$  to the SFR.

$$\log L_{FIR} = 1.7 \log L'_{CO} - 5.0$$

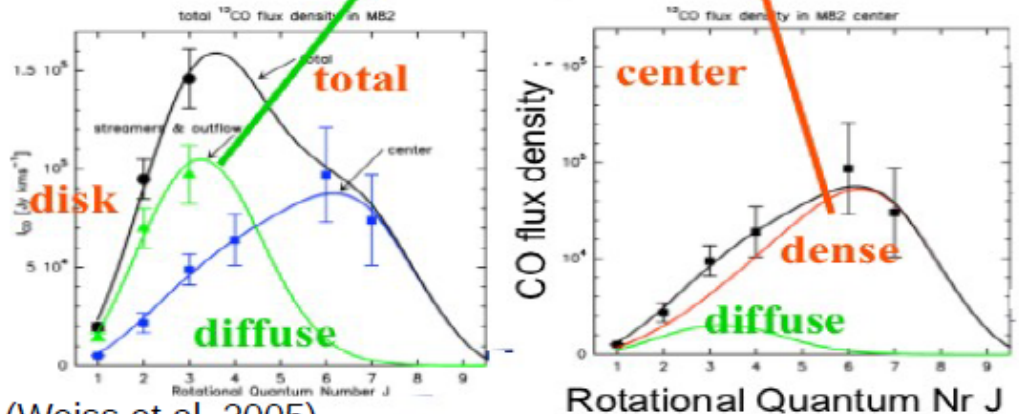
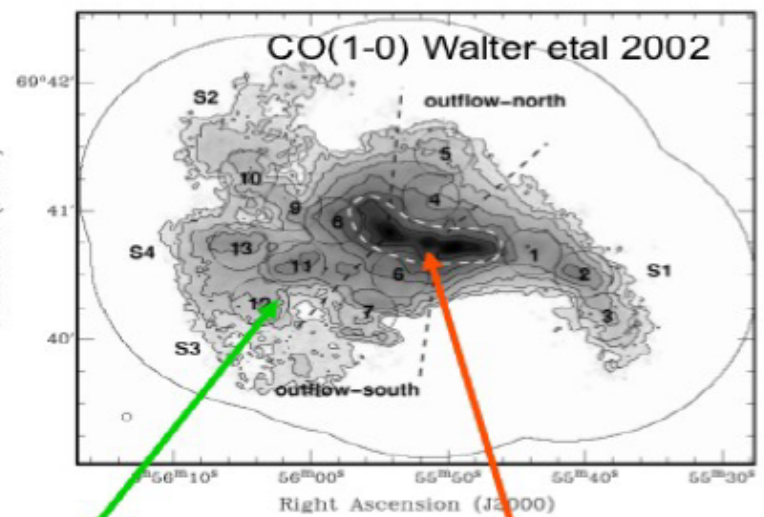
The efficiency of SF grows faster than mass, Hence massive galaxies exhaust their gas faster because of SF.

At high- $z$  the relation is still linear, but with a different slope for SMG and QSO (i.e. different evolution?)

Different CO lines are sensitive to different environment (because of critical density increases with  $J$ )

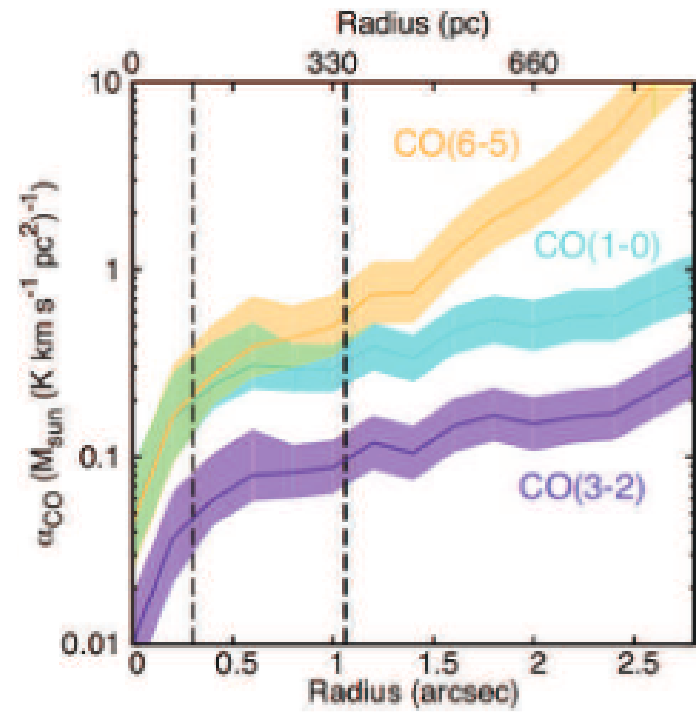
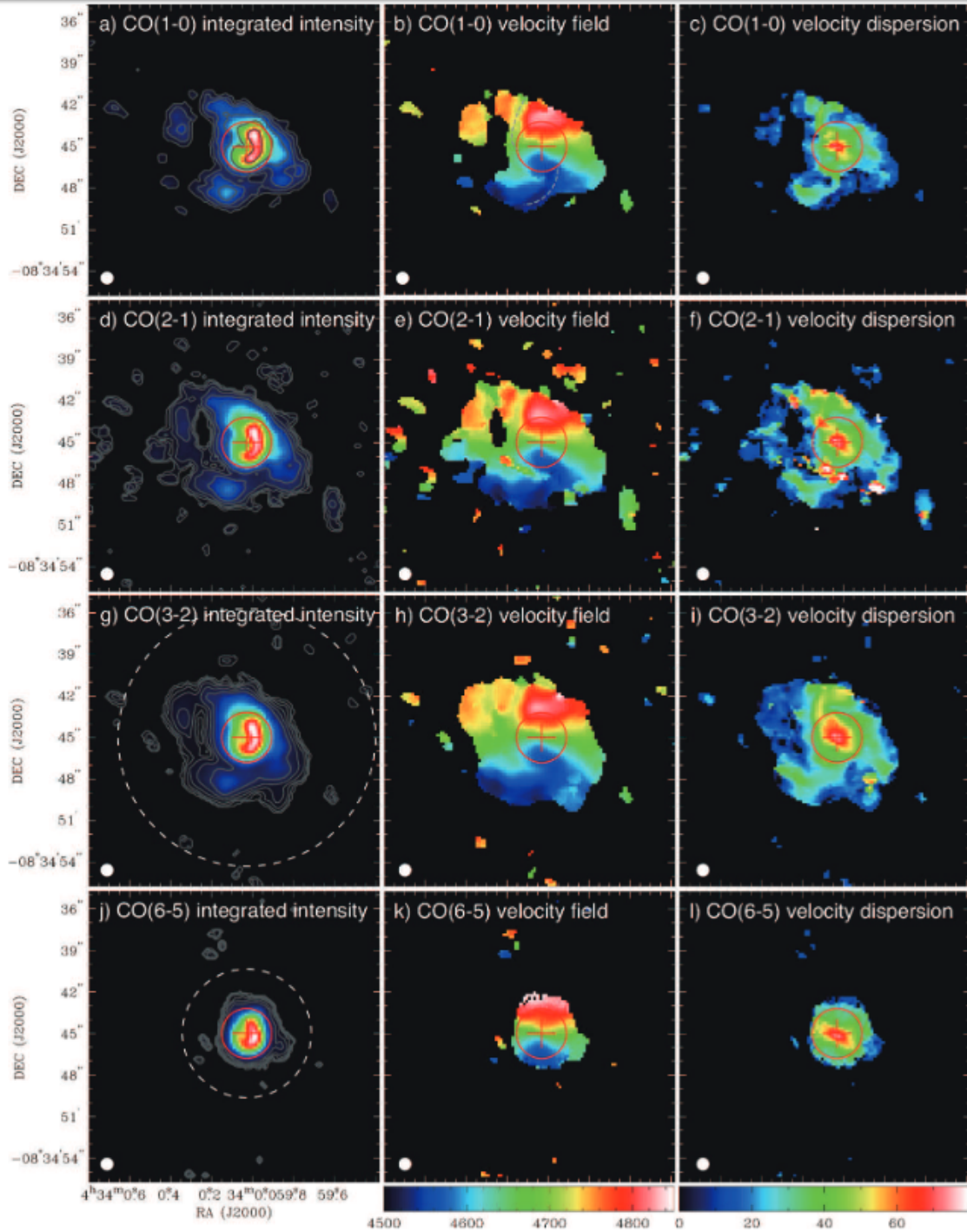


(Carilli et al. 2013)



(Weiss et al. 2005)

# Spatially resolved CO SLED in NGC1614 (Garcia-Burrillo et al 2014)





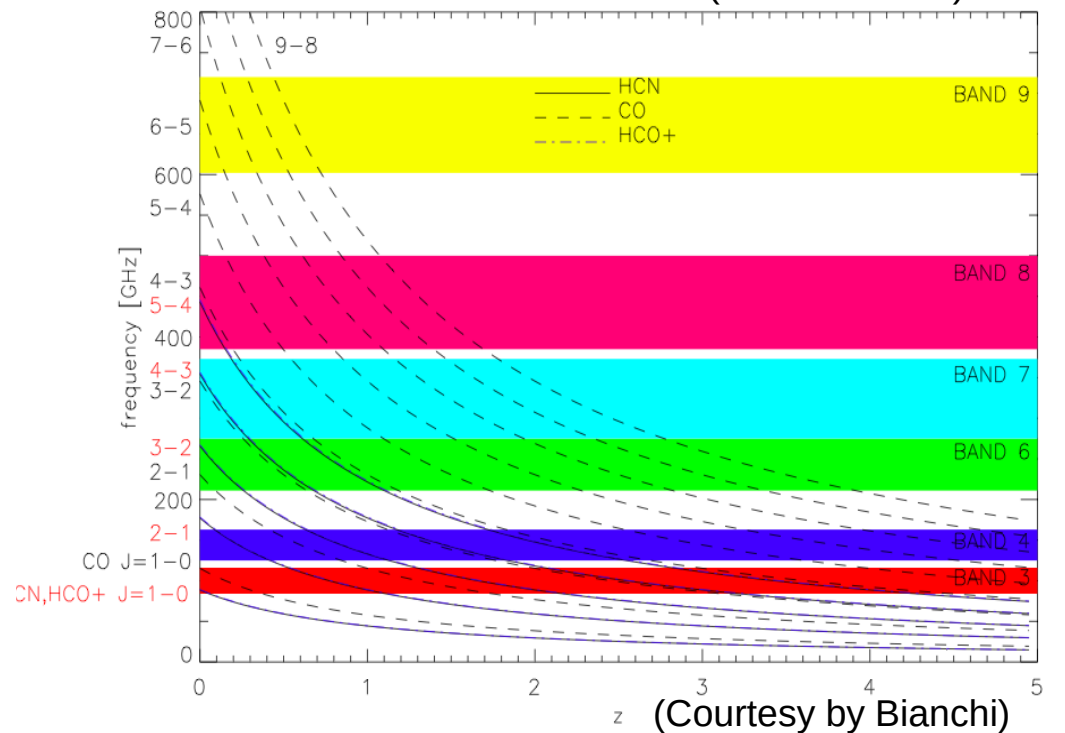
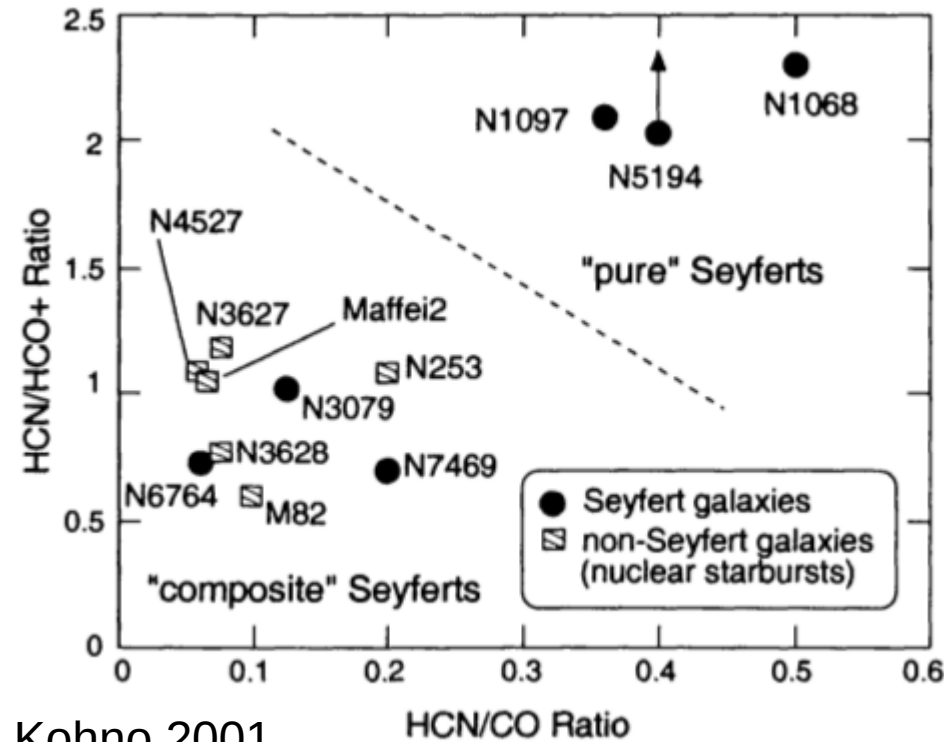
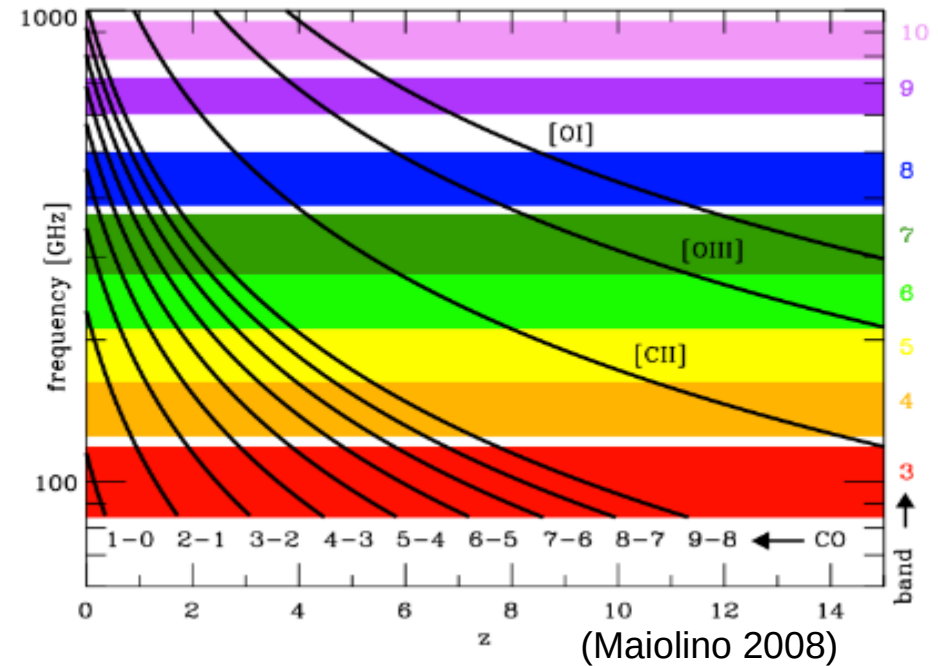
# Molecular lines

CO is a tracer of H<sub>2</sub>

[CII]158  $\mu\text{m}$  and the [OI]63  $\mu\text{m}$  fine structure lines are the two main coolants of the ISM and are redshifted into the (sub)mm bands at  $z > 2-4$

HCN, HCO<sup>+</sup> and other high density tracers are powerful tools to distinguish PDR (associated to SF regions) from XDR (associated to AGN).

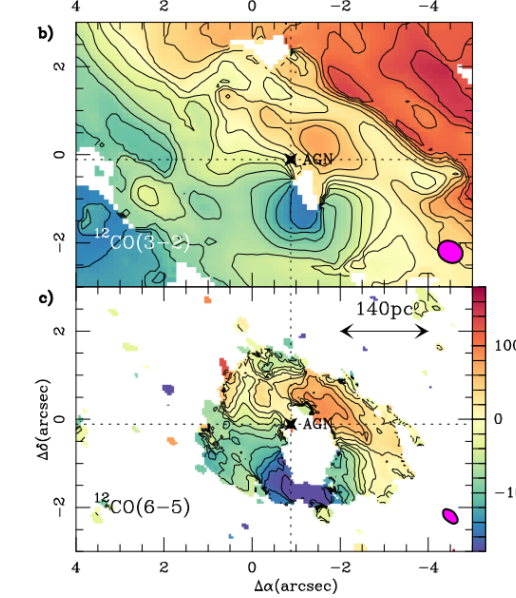
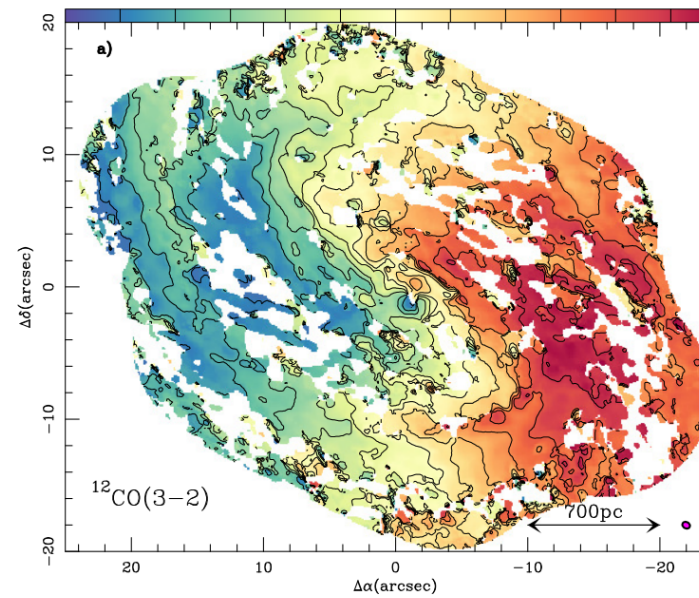
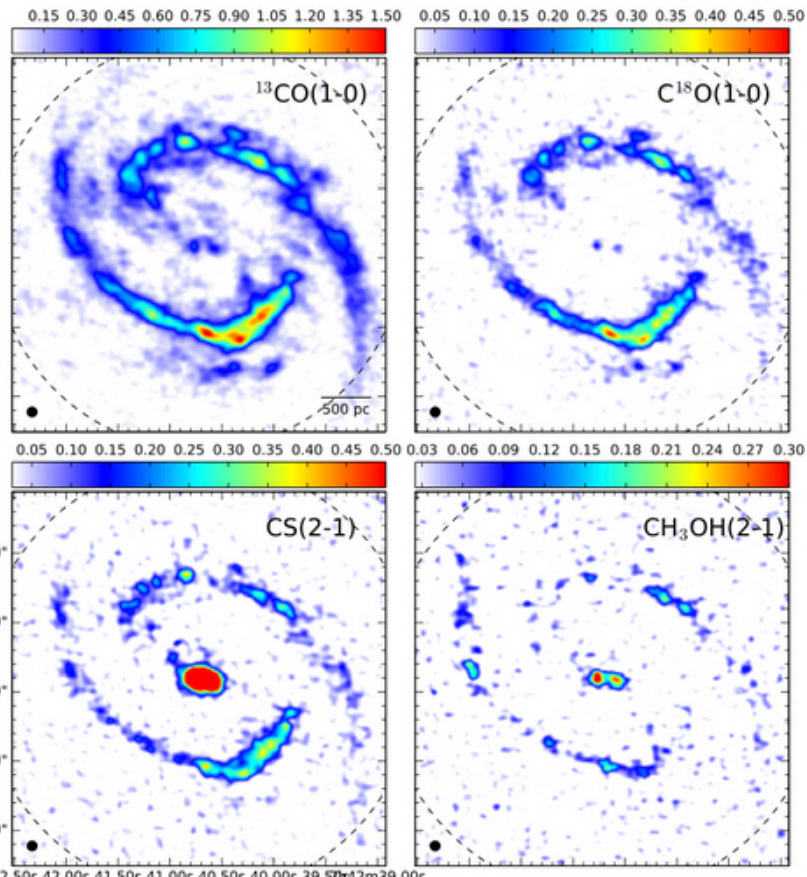
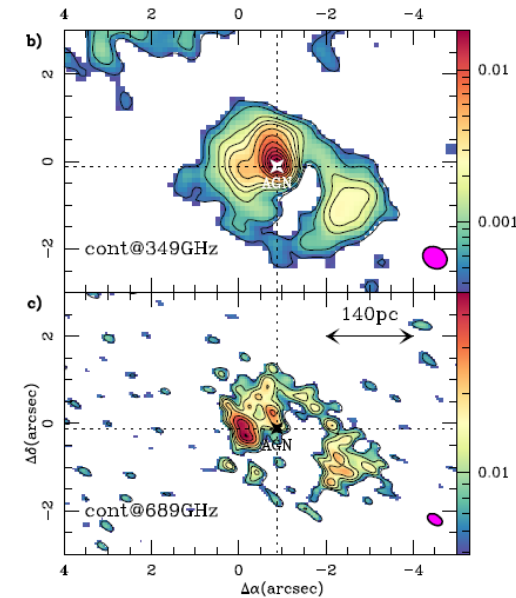
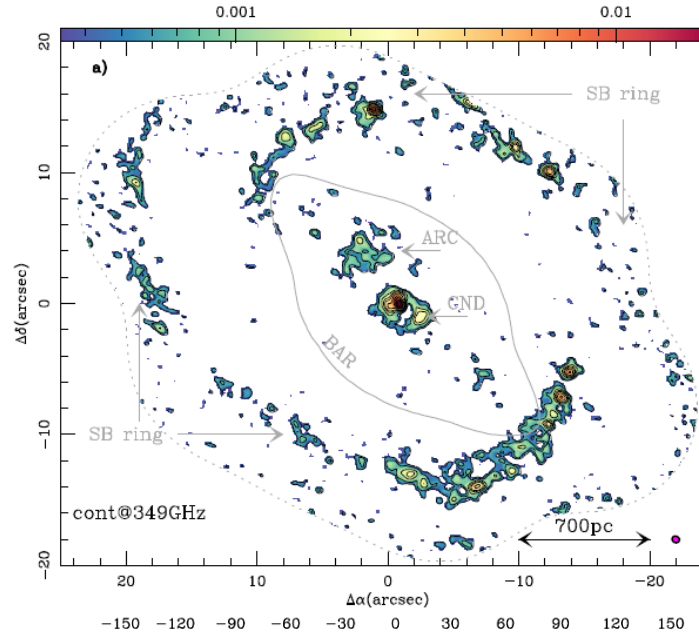
In most of the ALMA band more than one line is observable for the higher redshifts.



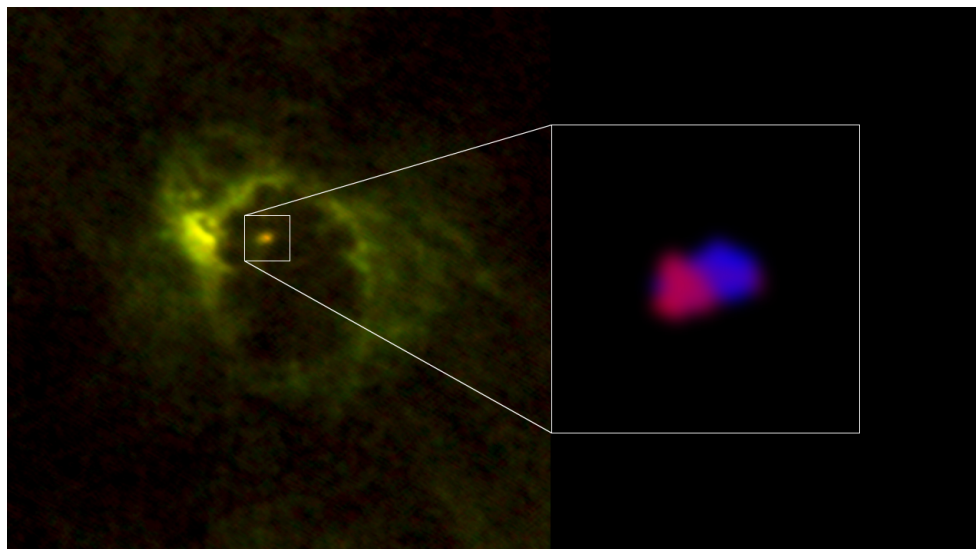
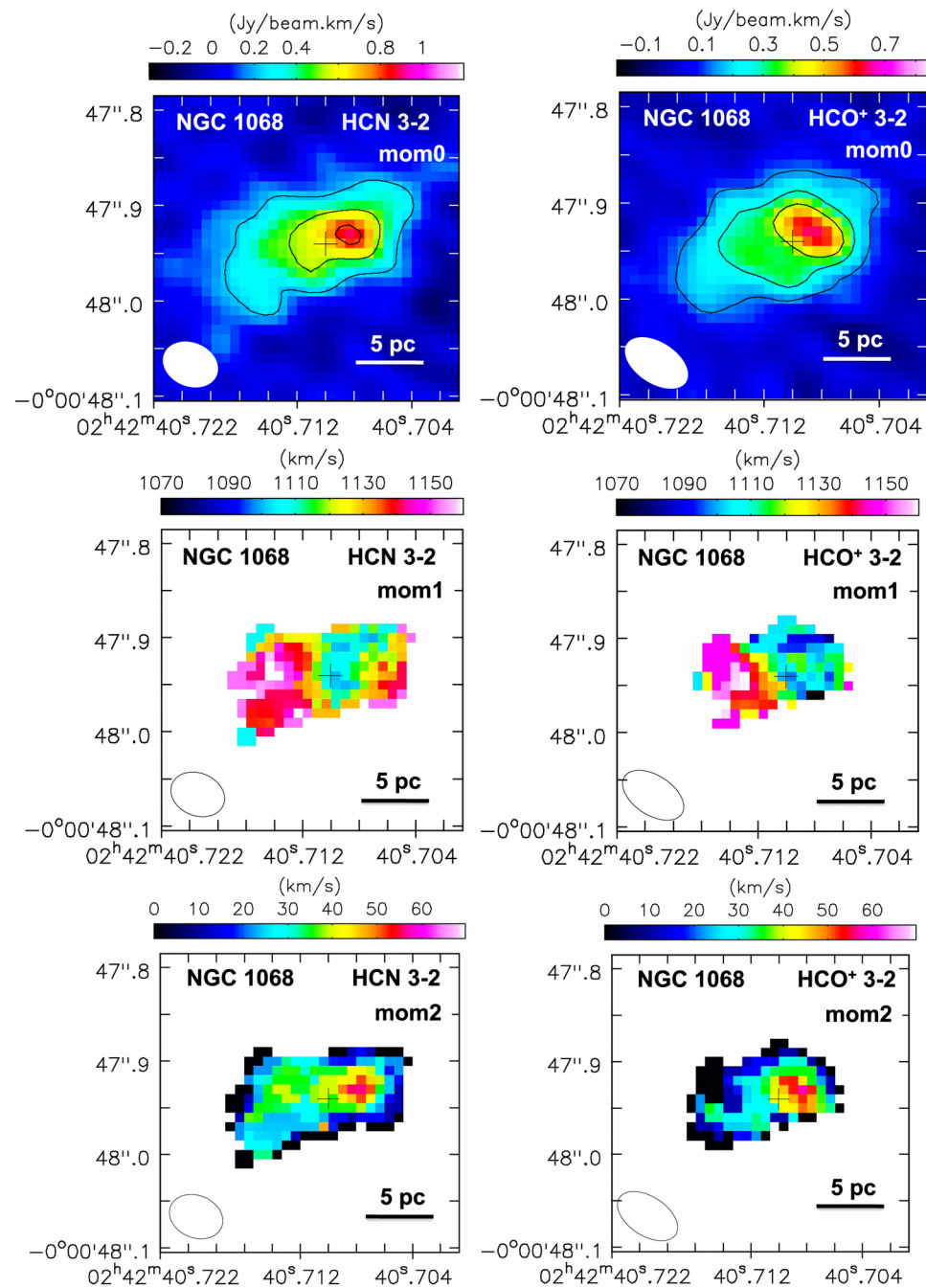
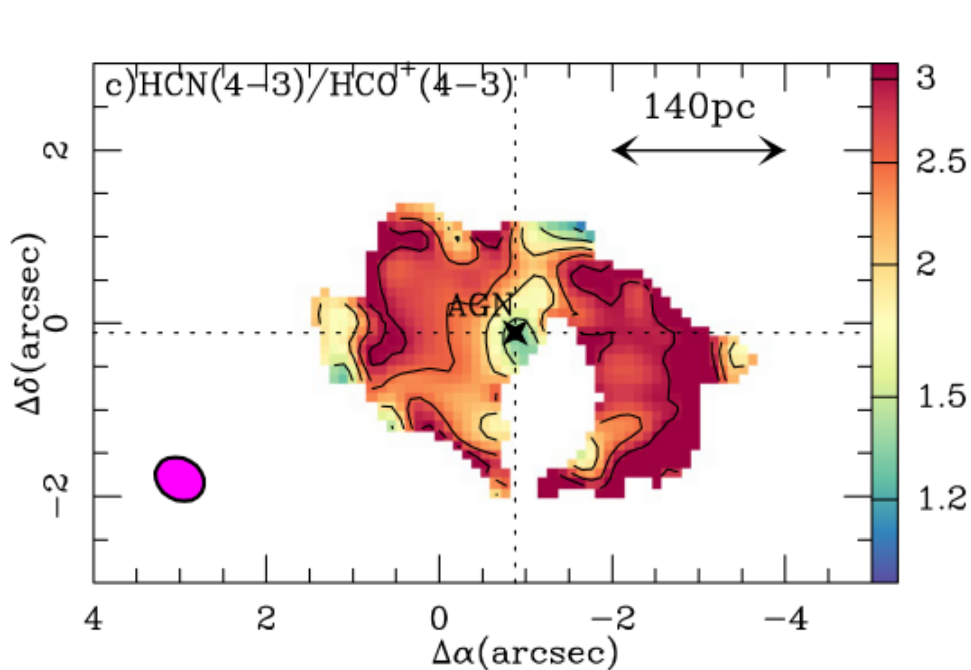
# ALMA observations of NGC1068, a Sy2 @14Mpc (Garcia-Burillo et al 2014 Tosaki et al. 2016, Imanishi et al. 2017)

- Band 7 (350GHz)  
CO(3-2), HCN, HCO+(4-3), CS(7-6)
- ~18-27 antennas,
- ~138min (11 pointing mosaic)
- Resolution  $\sim 0.6'' \times 0.5'' = 35$  pc

- Band 9 (690GHz)  
CO(6-5)
- ~21-27 antennas,
- ~52min (1 pointing)
- Resolution  $\sim 0.4'' \times 0.2'' = 20$  pc

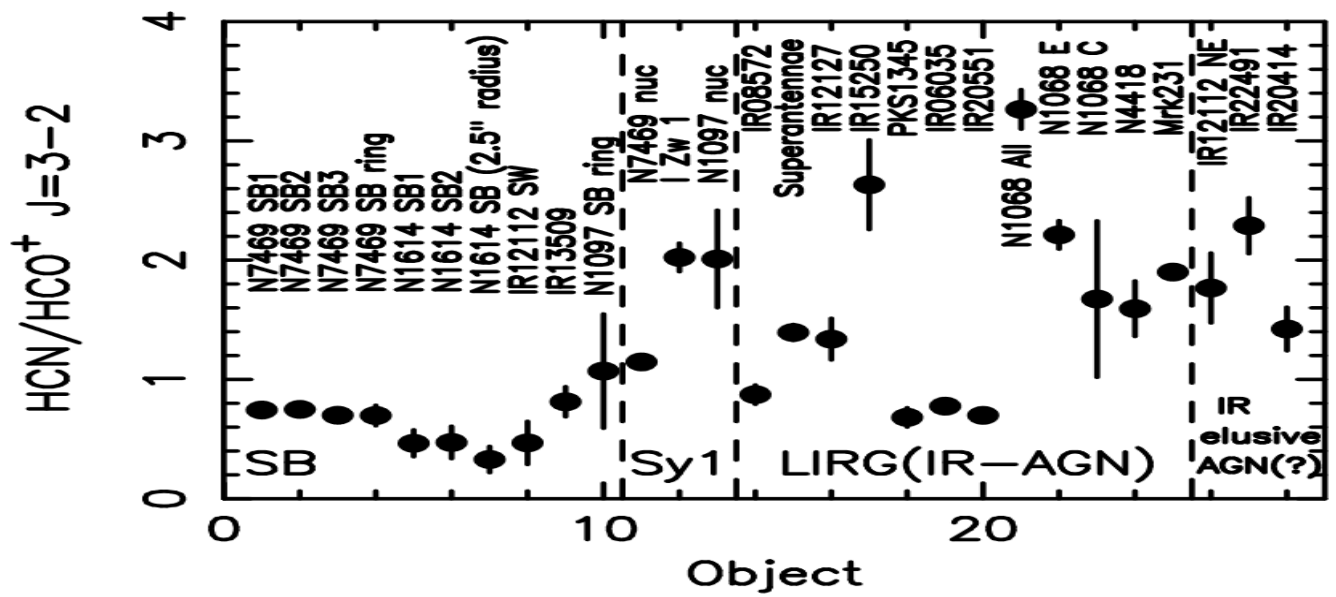
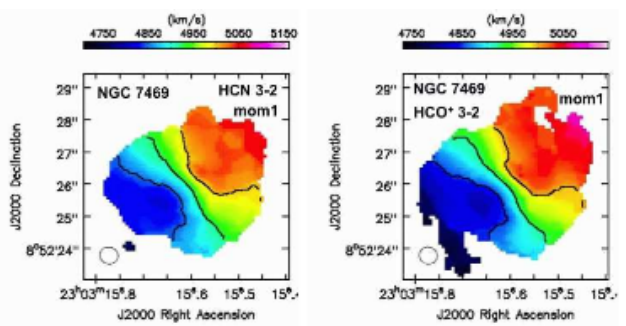
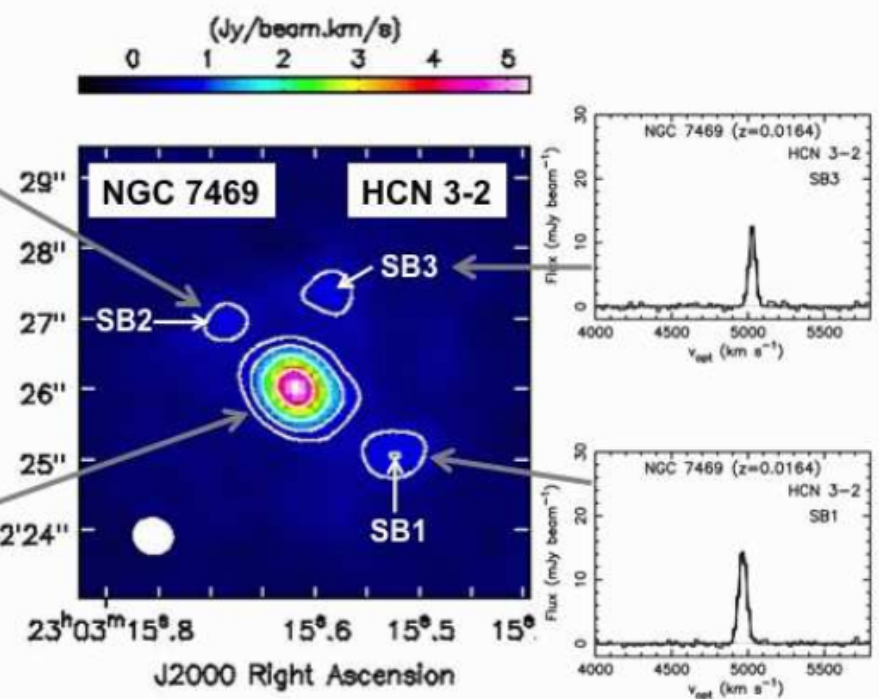
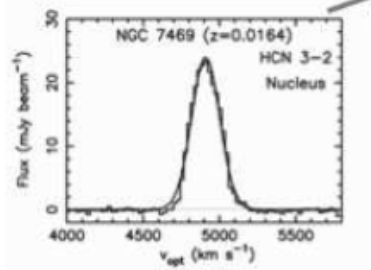
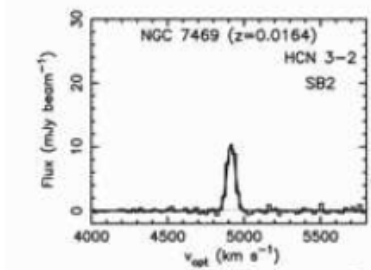
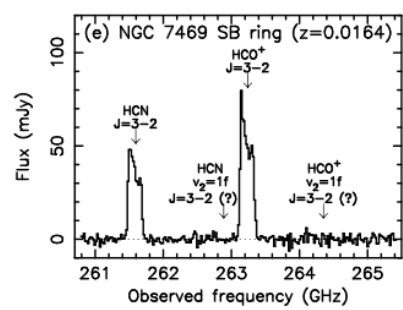
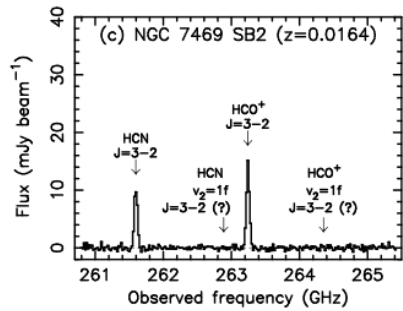
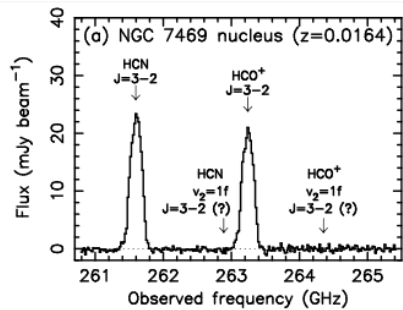


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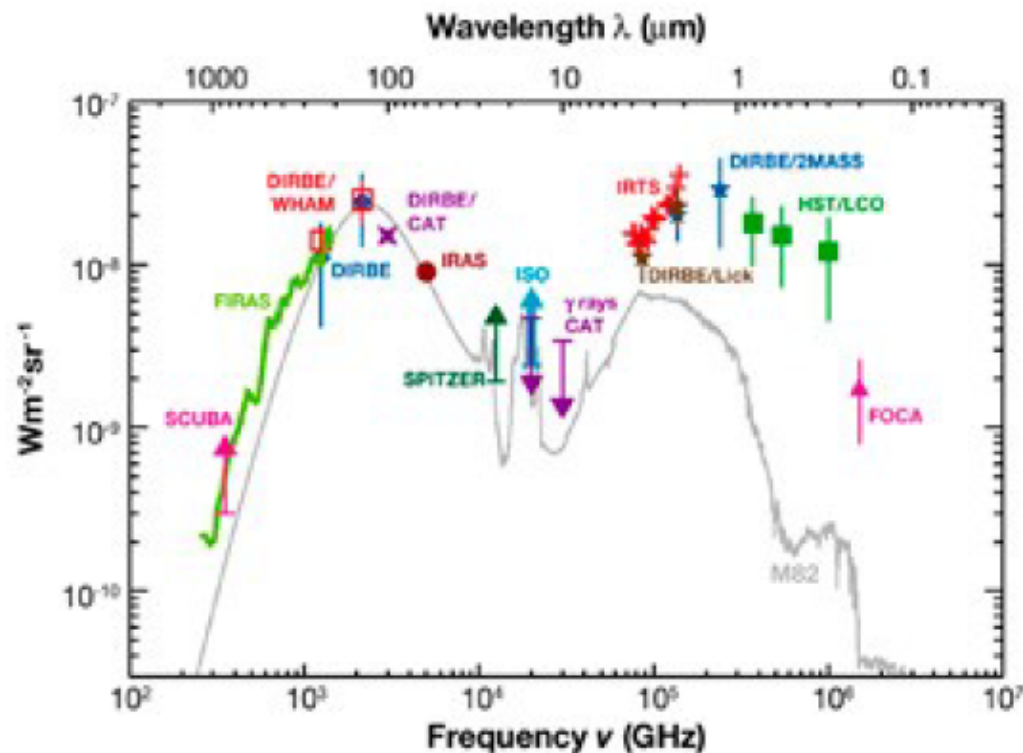




# HCN AND HCO+(3-2) OF OPTICAL 3 Sy AND 11 LIRG @z<0.13 (Imanishi et al 2016)

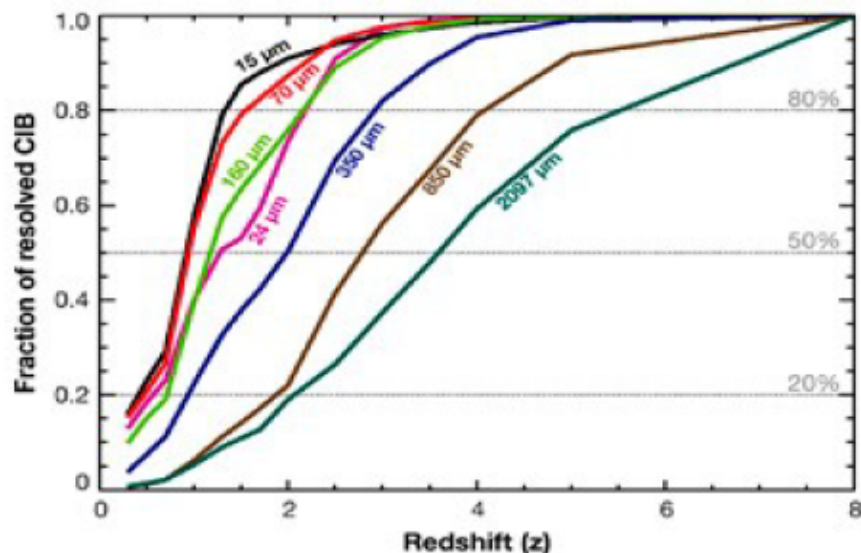


# Cosmic Infrared Background



The power in the infrared is comparable to the power in the optical.  
Locally, the infrared output of galaxies is only one third of the optical output.

This implies that **infrared galaxies grow more luminous with increasing  $z$  faster than optical galaxies.**



The fraction of resolved CIB as a function of  $z$ .  
50% of the CIB is due to galaxies at  $z < 1$  at 15 and 70  $\mu m$ ,  
 $z < 1.3$  at 24 and 160  $\mu m$ ,  
 $z < 2$  at 350  $\mu m$ ,  
 $z < 3$  at 850  $\mu m$   
 $z < 3.5$  at 2 mm  
The CIB at longer wavelengths probes sources at higher redshifts.



# (sub)mm galaxy populations

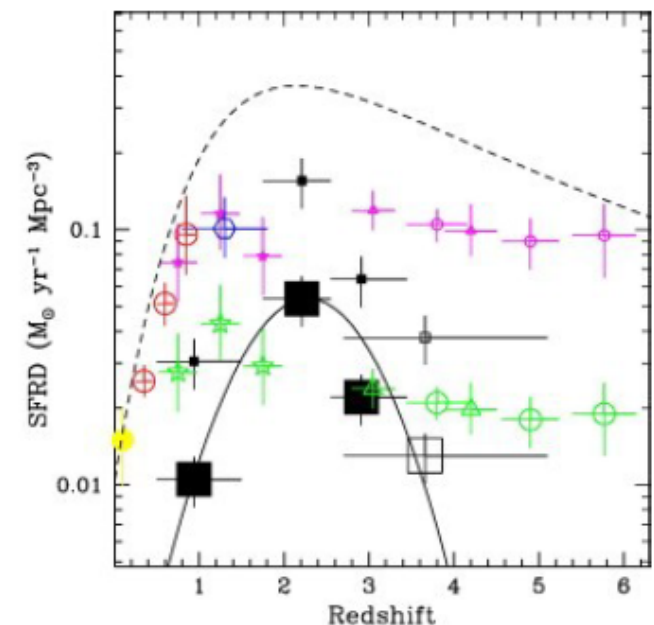
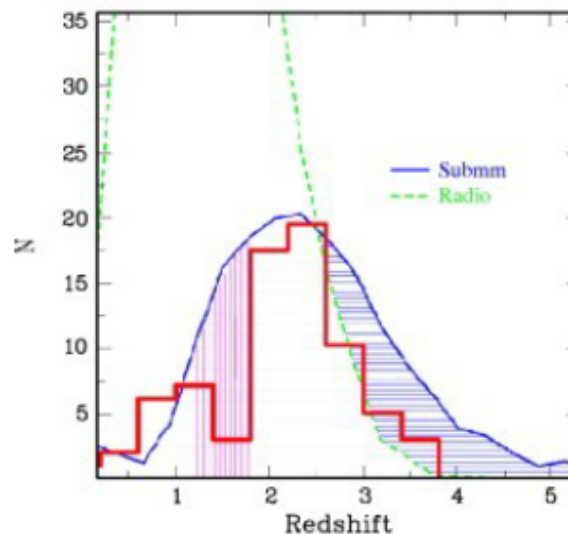
SCUBA surveys (Blain et al. 2000) identified the existence of **a population of highly dusty galaxies with high SFR**. Limits to their classification and observations were mostly due to confusion. They were defined **SubMillimeter Galaxies (SMG)**.

CO observations (Genzel et al. 2003, Greve et al. 2005, Tacconi et al. 2008...) measured masses and redshift for the SMGs, observing that there is a large fraction of massive galaxies at  $z > 2$ .

**These fractions were at odds with hierarchical formation models (larger galaxies are formed through the continuous merging of smaller ones) and were the basics of “downsizing” (most massive galaxies form earlier and faster).**

Chapman et al. (2003,2005) exploited the FIR-radio relation for SMGs to select them in radio bands and found that redshift distribution is similar to those of QSOs and that they contribute to SF history at  $z=2$

In the FIR the dust is predominantly heated by the star-formation activity rather than by the AGN also in QSO (Beelen et al. 2004).

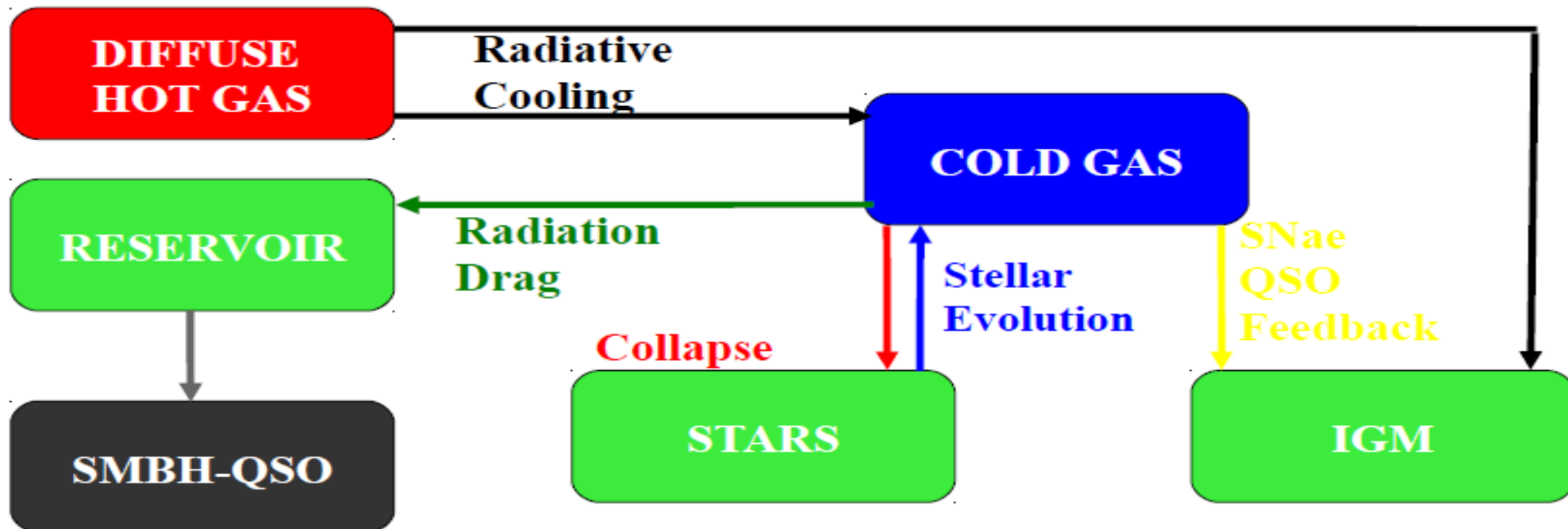


# (sub)mm galaxy populations

SMGs are the high redshift counterparts of local massive elliptical galaxies  
(ULIRGs  $L_{\text{FIR}} > 10^{12} L_{\text{sun}}$ ),  
with AGN activity obscured by the high dust content.

Open issues remain:

- What is the role of starburst or AGN activity in powering the dust heating and associated infrared emission?
- What is the role of merging events?
- What inject the SF events?
  
- Which are the properties of the dusty torus of AGN?
- How does the AGN feed the BH?
- How the AGN interact with the host galaxy?



# (sub)mm galaxy populations

The power in the infrared is comparable to the power in the optical.

Locally, the infrared output of galaxies is only one third of the optical output.

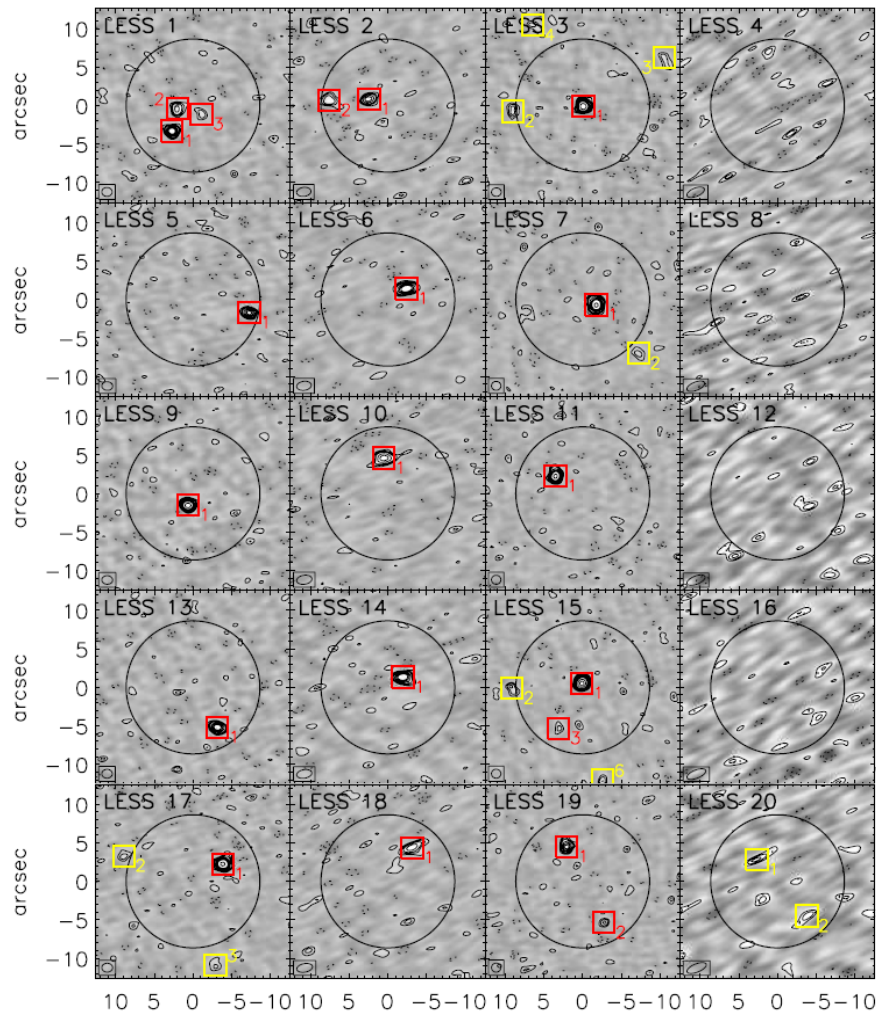
This implies that **infrared galaxies grow more luminous with increasing  $z$  faster than optical galaxies.**

**SMGs are the high redshift counterparts of local massive elliptical galaxies**

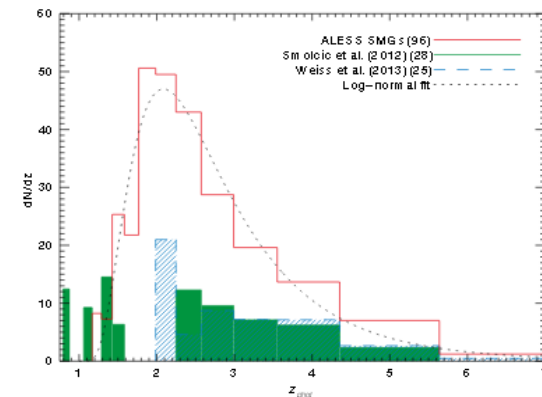
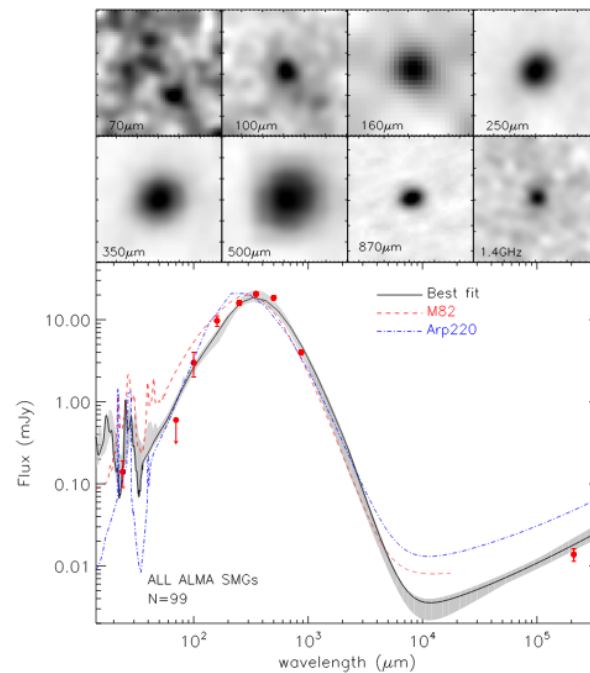
**(ULIRGs  $L_{\text{FIR}} > 10^{12} L_{\text{sun}}$ ), with AGN activity obscured by the high dust content.**

**An ALMA survey of submm in the Extended Chandra Deep Field South**

**Smal et al. 2015, Hodge et al 2013; Karim et al. 2013; Simpson et al. 2013, Swinbank et al. 2014....)**

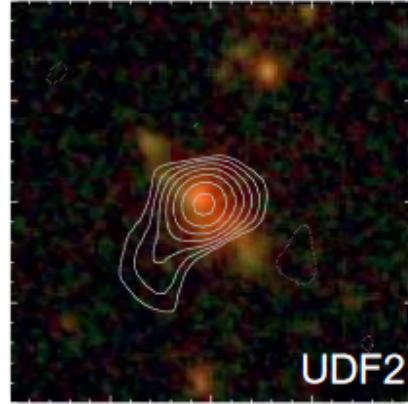
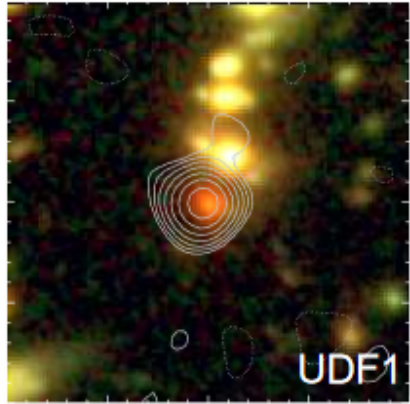
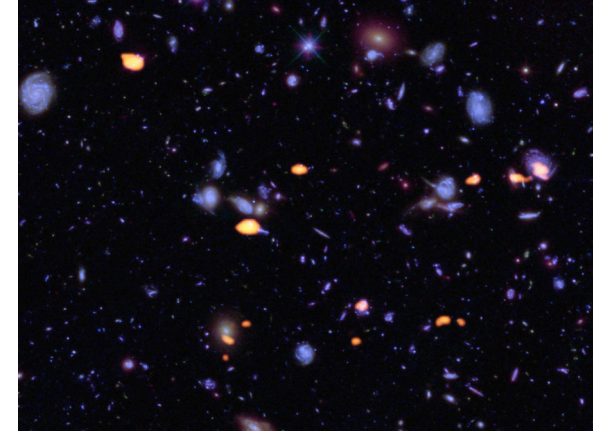


- 870  $\mu\text{m}$  (Band 7) follow-up of a LABOCA Extended Chandra Deep Field South Submm Survey (LESS)
- **122 submm sources**
- ~15 antennas, FOV = 17", **2 min/source**
- rms < 0.6 mJy/beam (**x3 deeper than LABOCA**)
- Resolution ~1.5" (**x10 better than LABOCA**)



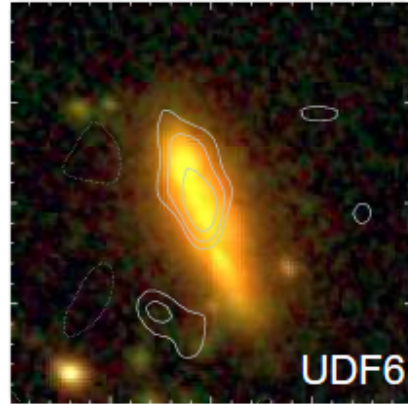
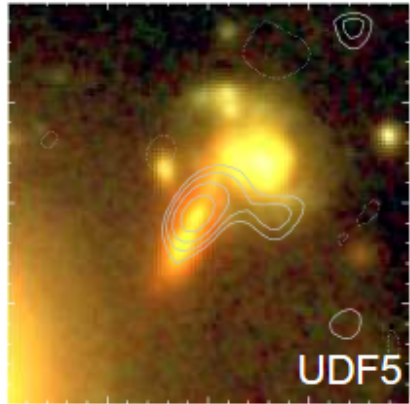


# An ALMA survey of submm in the HUDFS (Dunlop et al. 2016)

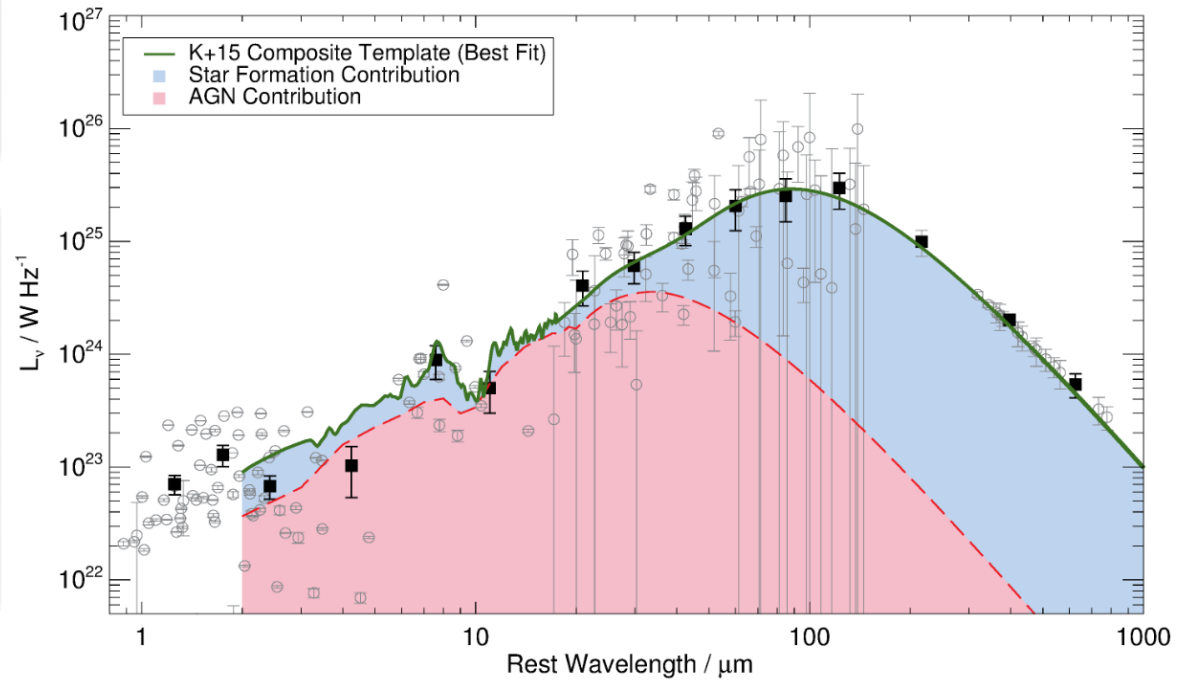
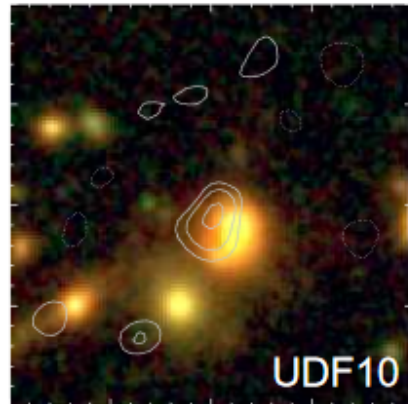
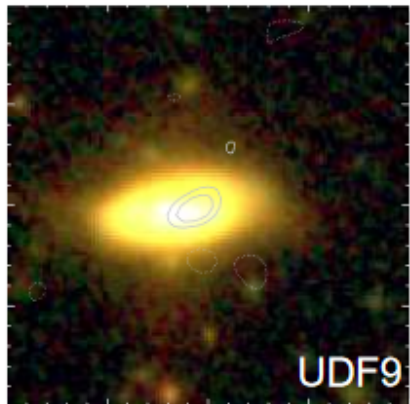


- 1.3mm (Band 6) survey of 4.5sqarcmin
- **16 submm sources**
- rms < 35 uJy/beam
- Resolution ~0.7"

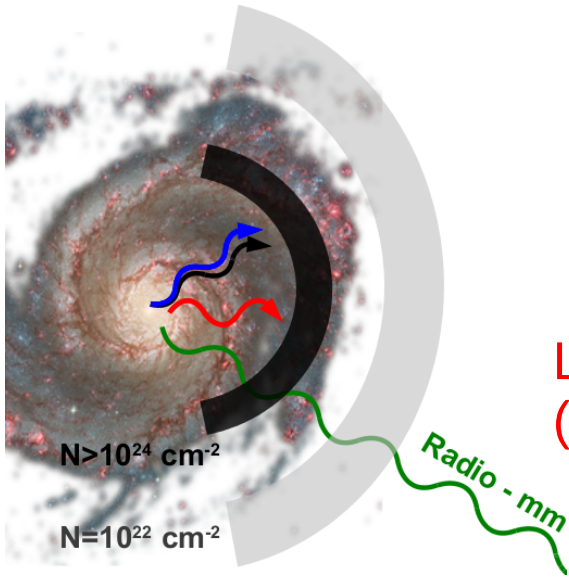
About 85% of SF at  $z=2$  is enshrouded in dust, with 65% occurring in high-mass galaxies ( $>10^{10}M_{\text{sun}}$ ).



Obscured/unobscured SF=200  
SF peaks at  $z=2$



# Observations in highly obscured galactic cores

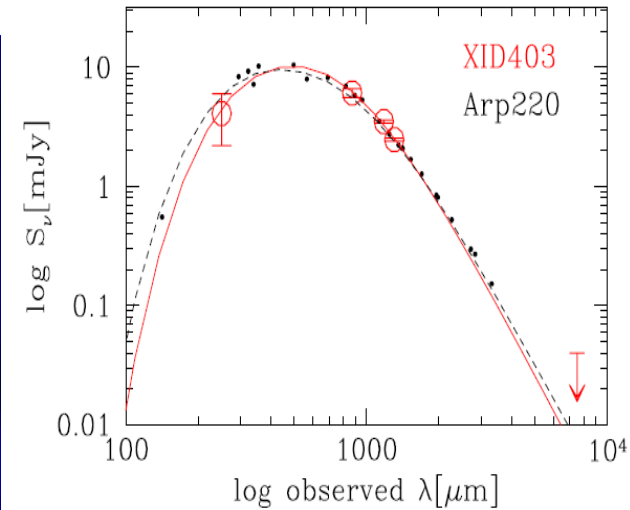
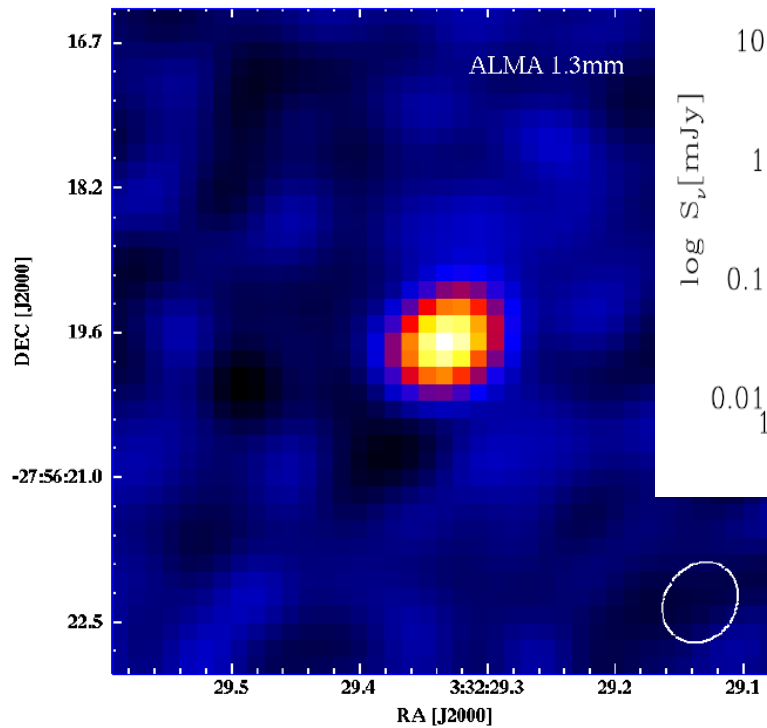
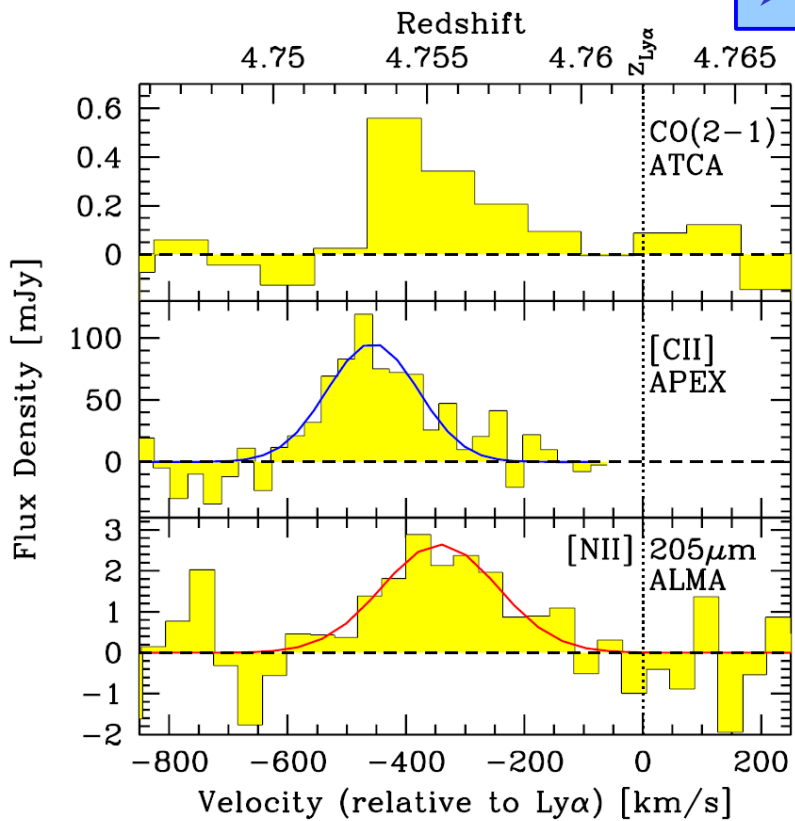


In highly obscured systems, only radio and mm-wave radiation can penetrate large columns of dust and gas and is the only tracer of the obscured regions of compact luminous infrared galaxies

LESS J033229.4-275619: an obscured SMG at  $z = 4.76$   
(Gilli et al. 2013, Nagao et al. 2013, De Breuck et al. 2014)

- Band 6 - line
- 18 antennas,
- 3.6 hrs,
- 1.5" res

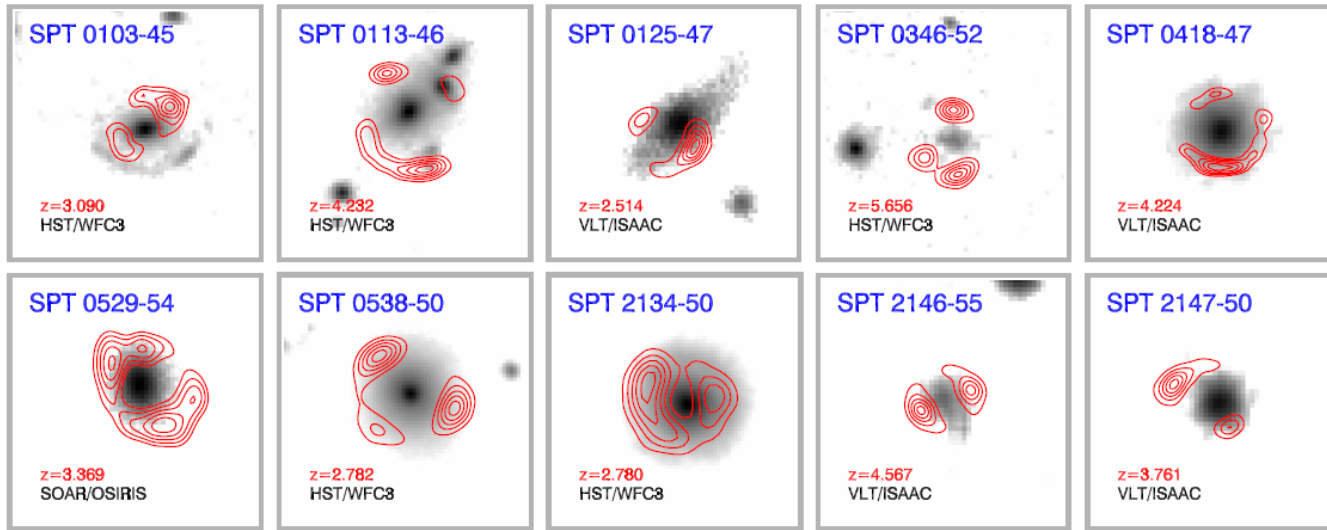
- Band 6 -continuum
- 17 antennas,
- 23 min,
- 0.75" res



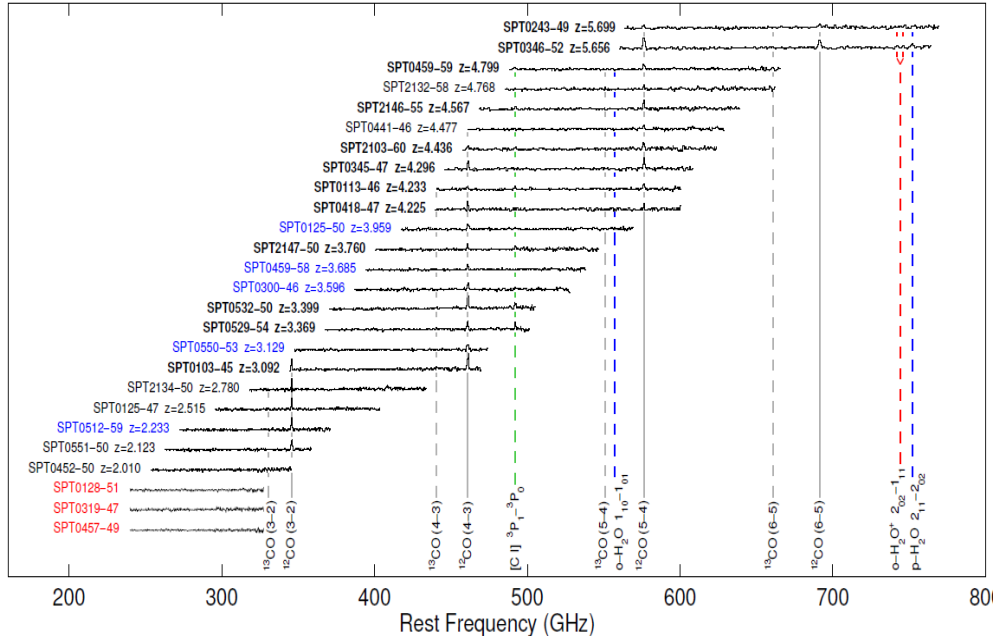
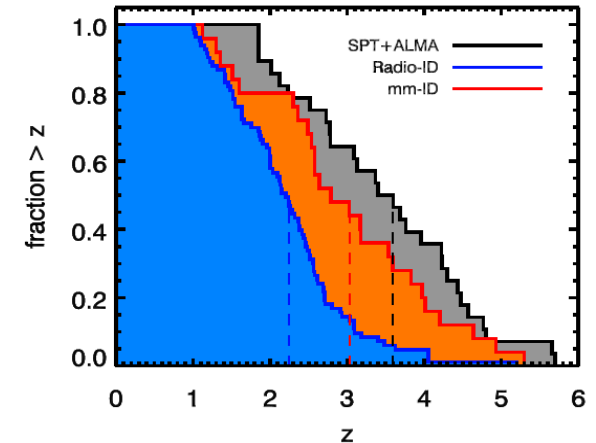




# ALMA Observations of SPT Discovered, Strongly Lensed, Dusty, star-forming Galaxies (Hezaveh et al. 2013, Vieira et al. 2013, Spilker et al. 2014)



- ~15 antennas,
- ~4 hrs (~80 sec/source)
- Band 3 (spectroscopy)
- Band 7 (imaging)
- Resolution ~ 1.5''

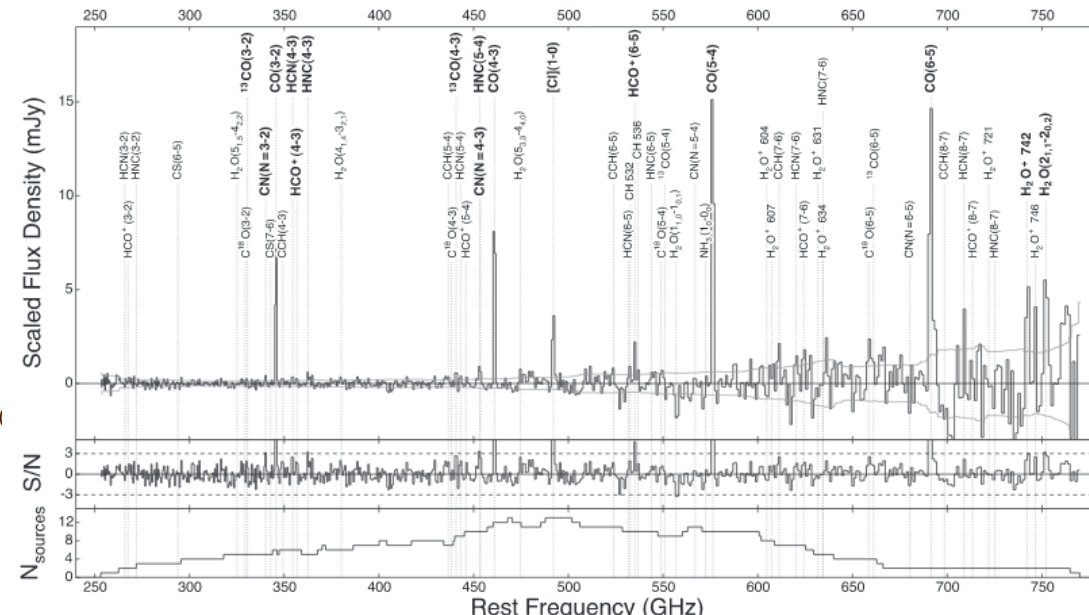


**Bold** = unambiguous redshift from ALMA

**black** = single lines with ALMA, confirmed with C+ or CO(1-0) with APEX or ATCA

**blue** = single line detected with redshift, most likely redshift from photo-z

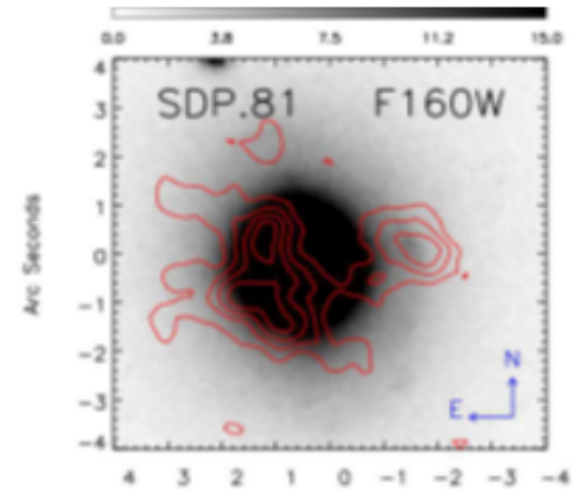
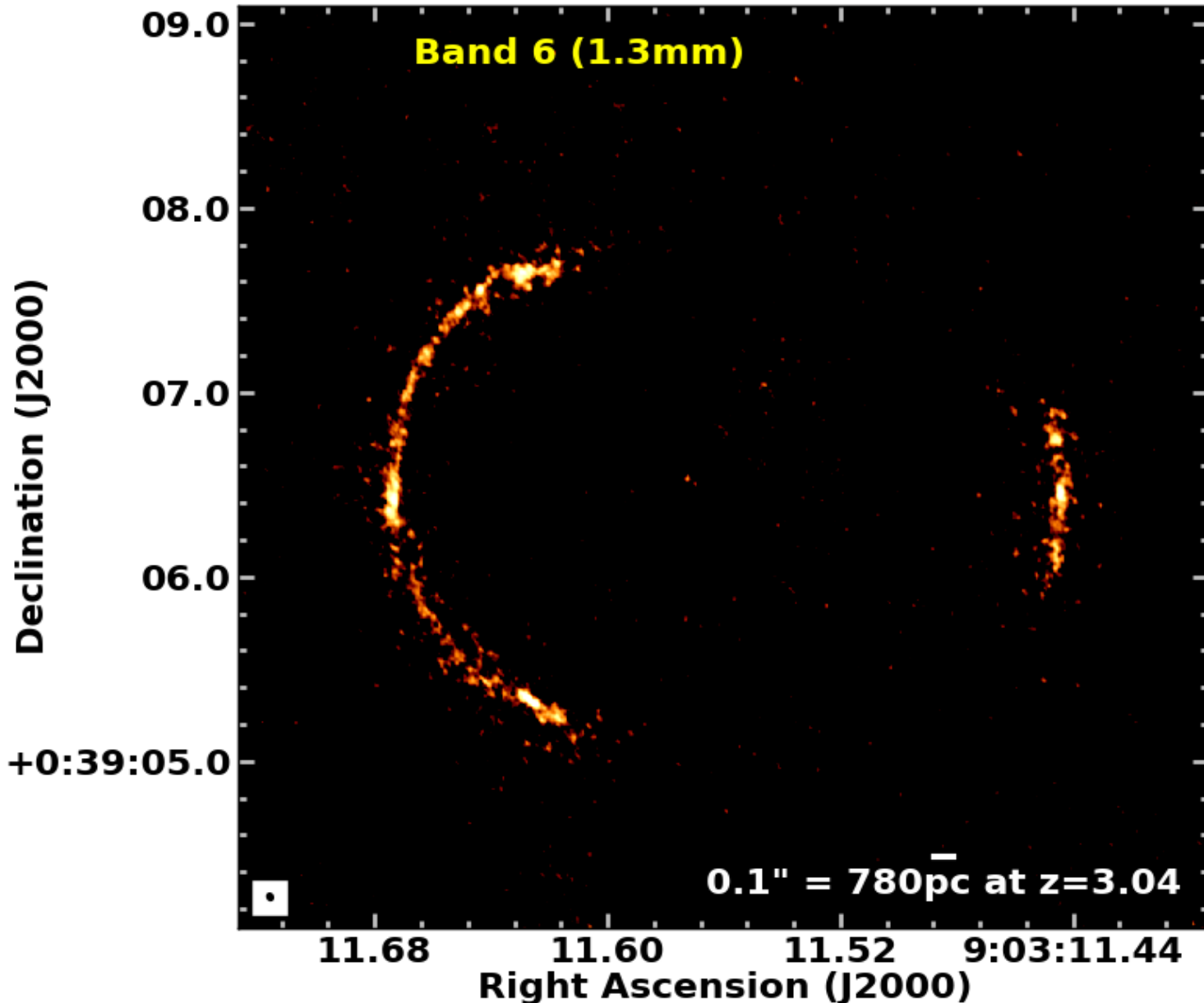
**red** = no line detected



# Sdp.81 (ALMA Partnership 2015)

Lensed submm galaxy at  $z=3.042$  lensed by an elliptical galaxy at  $z=0.299$

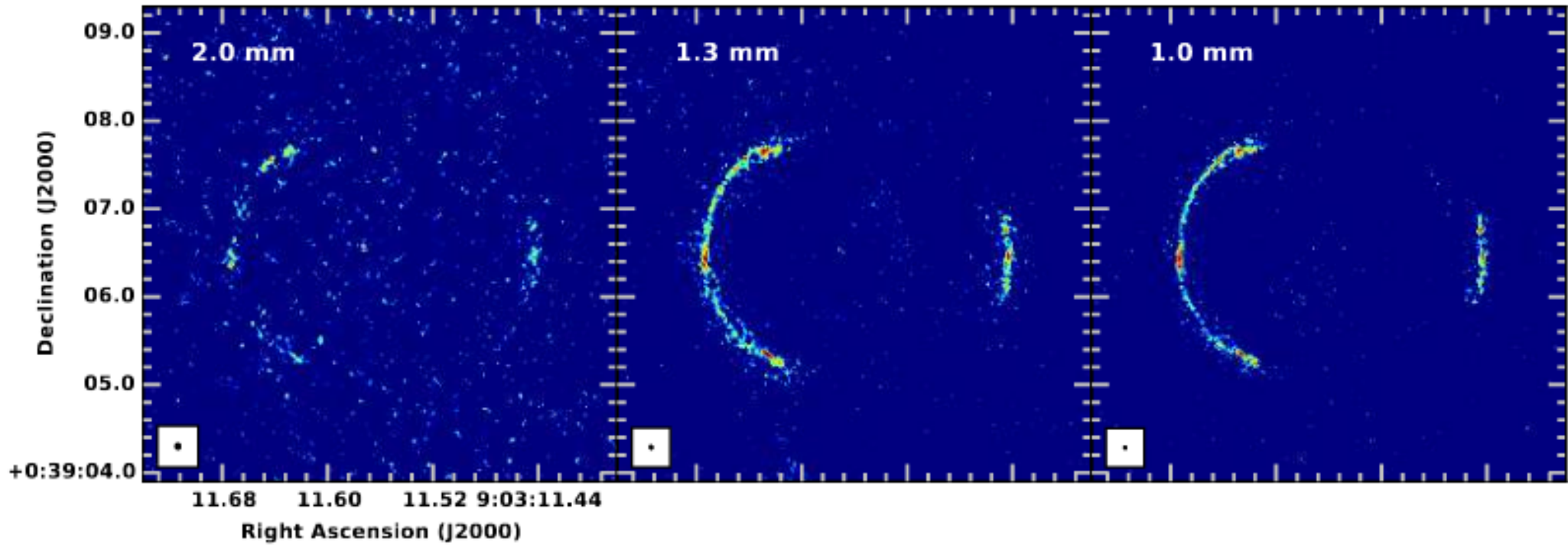
Resolution  $60 \times 54$  mas,  $39 \times 30$  mas and  $31 \times 23$  mas in Bands 4, 6, and 7  
(20-80x better than SMA and PdBI) corresponding to few tenths of pc in source plane



- Science Verification
- ~22-35 antennas,
- ~9-12hrs/band
- Band 4,6,7 (CO5-4, H<sub>2</sub>O, CO8-7, CO10-9)

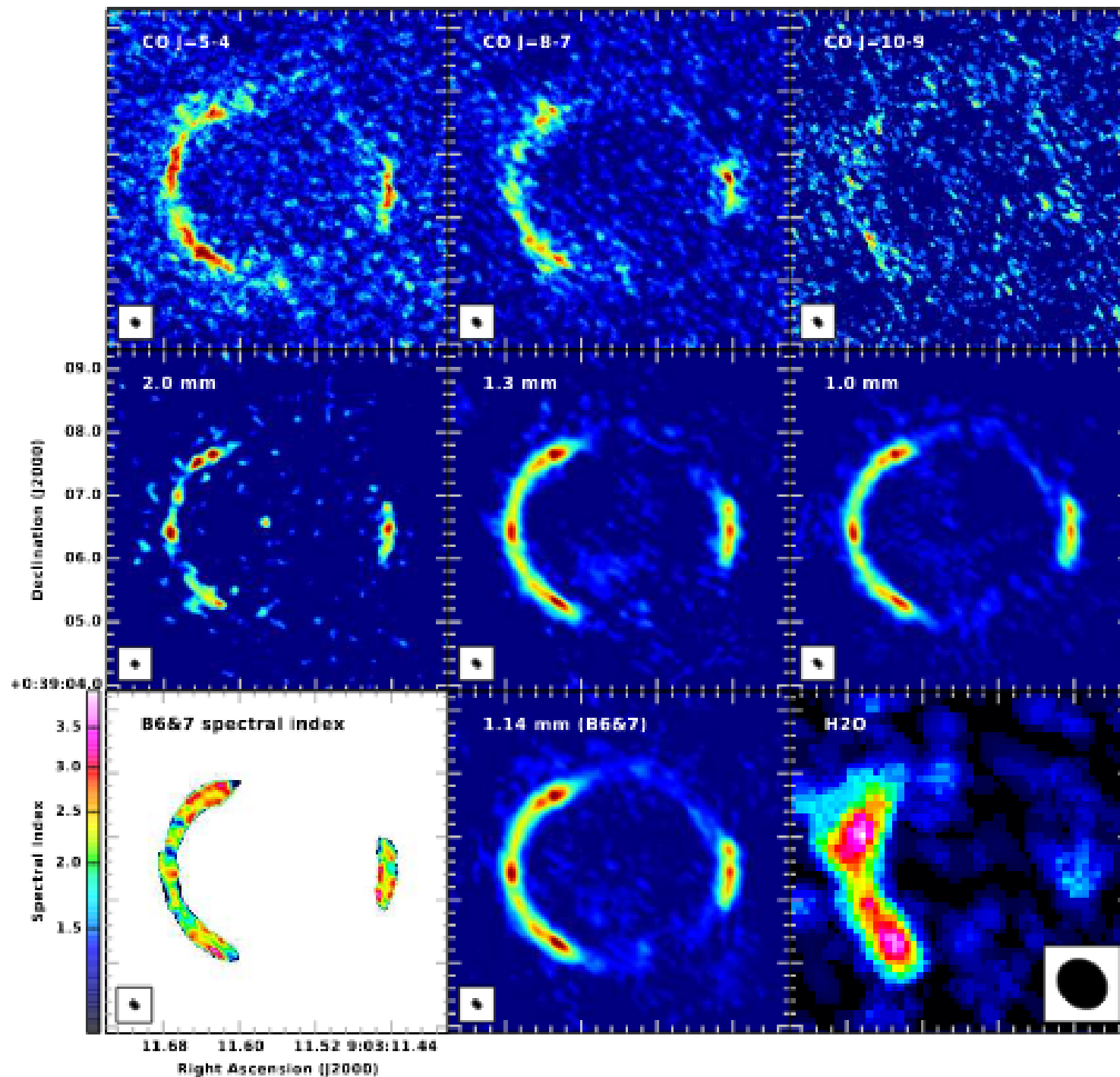
# Sdp.81 (ALMA Partnership 2015)

Continuum emission

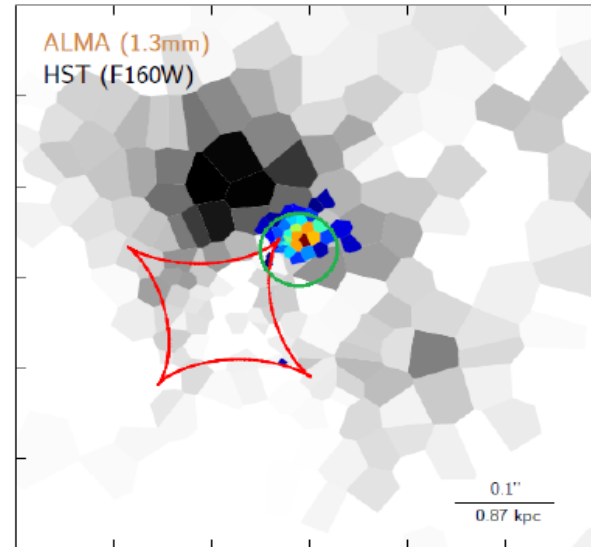
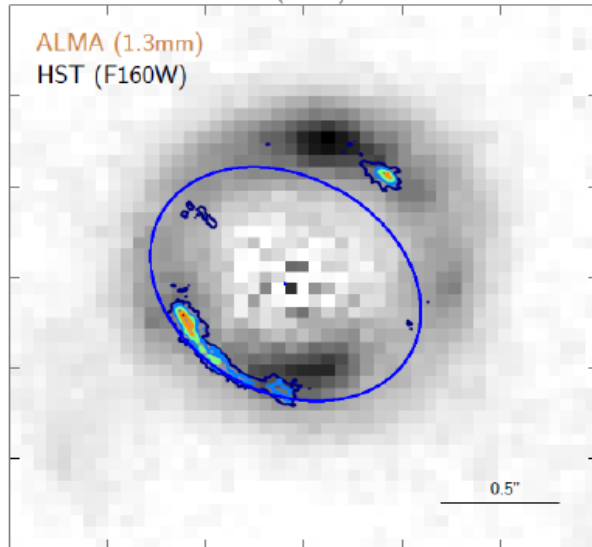
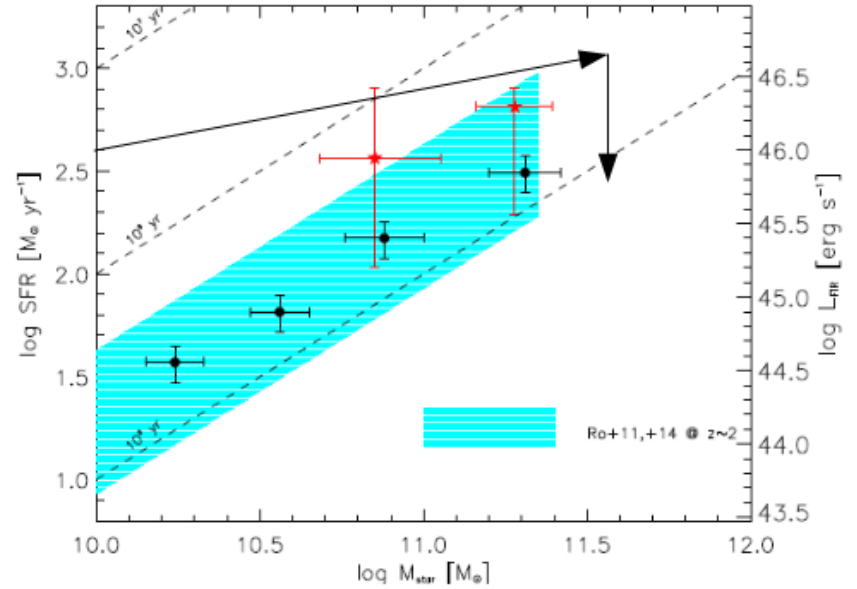
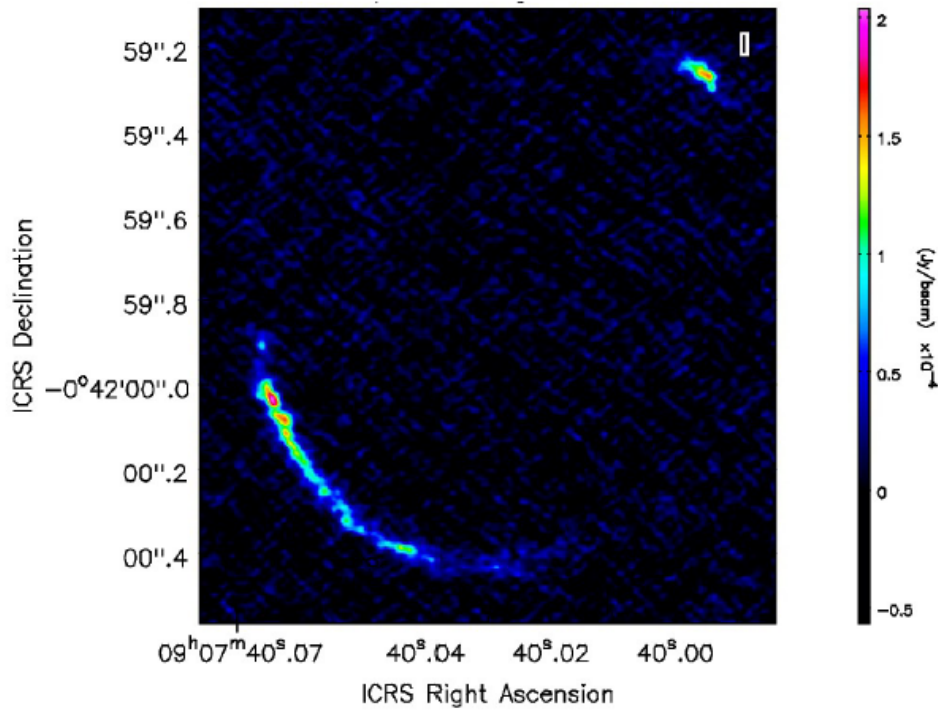




# Sdp.81 (ALMA Partnership 2015)



# Sdp.9 (Massardi et al. 2017)



	$\mu_{3\sigma}$	$\mu_{5\sigma}$	$A_{3\sigma}$ kpc <sup>2</sup>	$A_{5\sigma}$ kpc <sup>2</sup>	$r_{3\sigma}$ kpc	$r_{5\sigma}$ kpc
HST 1.6 $\mu$ m	$7.80 \pm 0.44$	$8.32 \pm 0.49$	$20.43 \pm 1.8$	$11.45 \pm 1.6$	$2.550 \pm 0.117$	$1.909 \pm 0.144$
ALMA 1.3 mm	$17.39 \pm 3.86$	$18.73 \pm 4.43$	$0.82 \pm 0.34$	$0.44 \pm 0.16$	$0.510 \pm 0.098$	$0.375 \pm 0.064$

# General words & ALMA pros

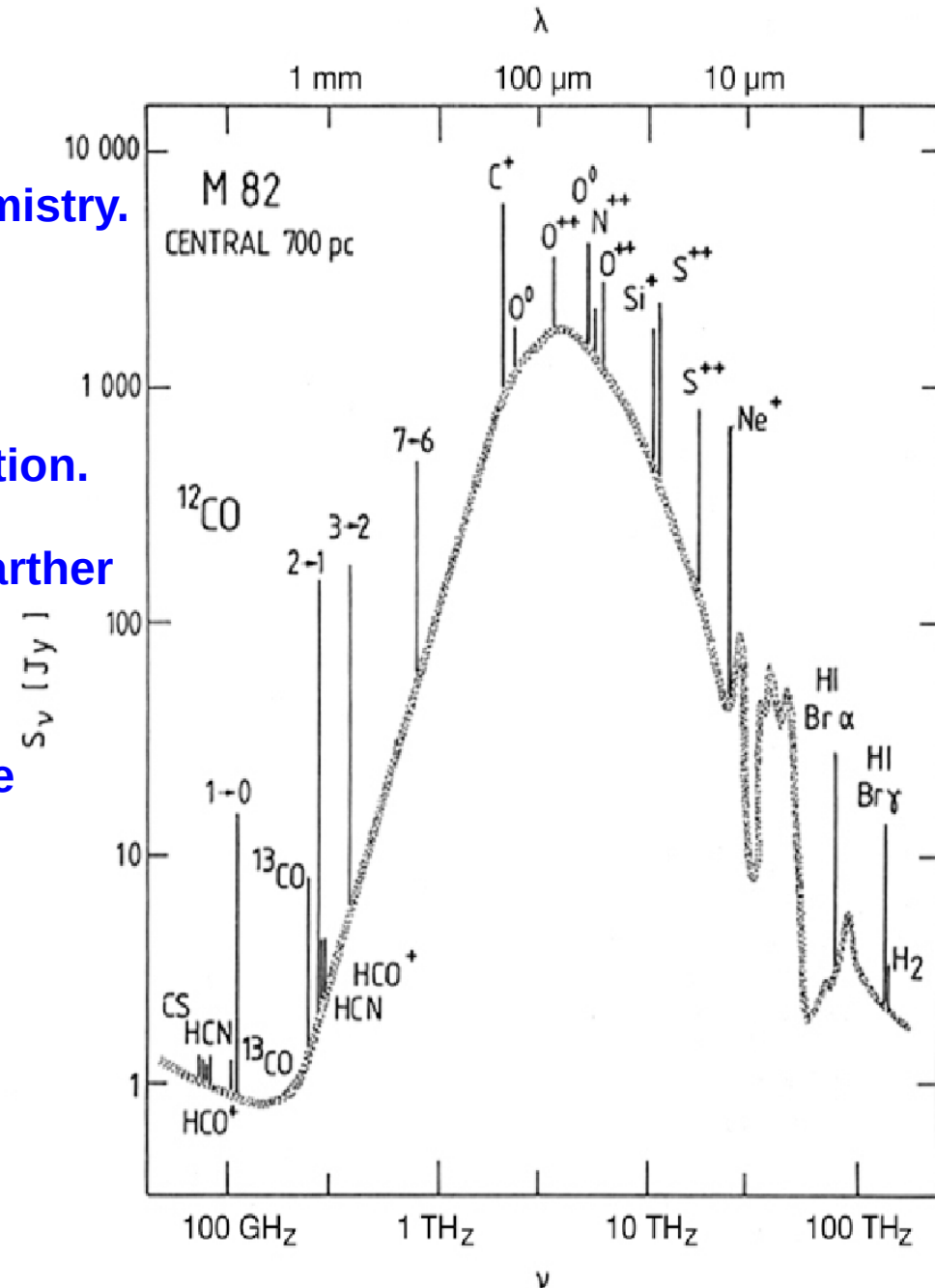
**Sub(mm) is characterized by dust and rich chemistry.**

Dust and molecules are mostly (but not only) associated with forming structures.

Hence **sub(mm) helps studying structure formation.**

**Higher resolution and sensitivity allows to go farther** so to investigate a deeper sky region, getting more sources and more statistics on populations.

**Higher spectral resolution allows to detect more narrow lines and more details from broad lines,** and hence investigate chemical compositions, source dynamics and pressure and temperature structures.



# Tips to write a proposal



# A project lifetime: phase 1 Proposal submission

PI has a good idea!

PI estimates **feasibility**

PI splits project in **Science Goals**

PI writes the science case in pdf and register to the Science Portal

**PHASE I – Proposal submission**

TAC evaluation

Simulations are not compulsory  
(Sensitivity Calculator, OST, CASA)

**Minimum proposed observational unit including targets in the same sky region that roughly share the same calibration and spectral setup**

Max 4 page, font no smaller than 12, all included (<20MB)  
[www.almascience.org](http://www.almascience.org)

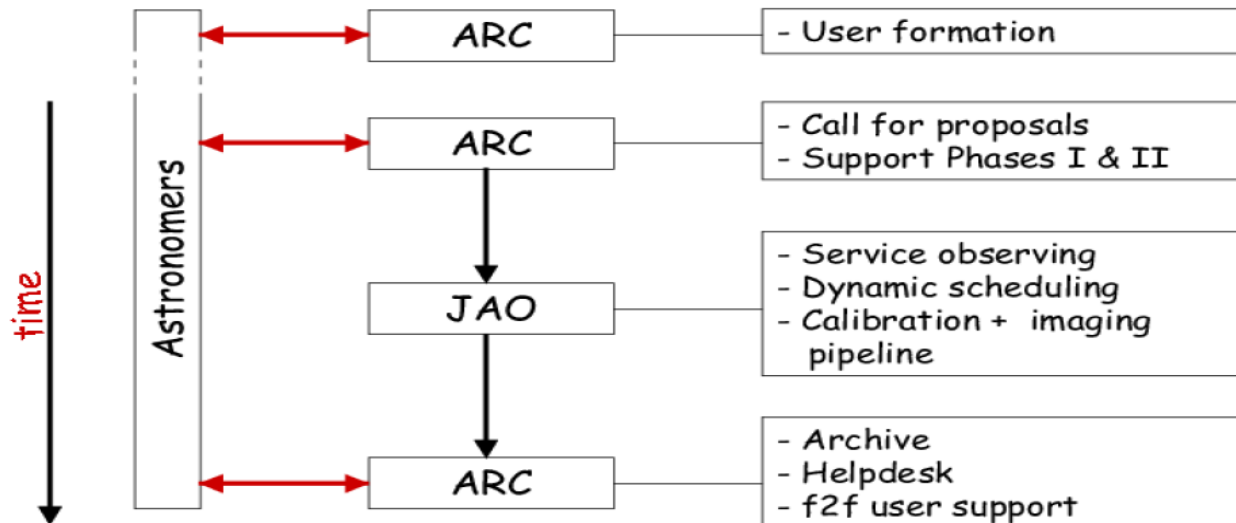
With the ALMA Observing Tool (OT)

A copy of the project with the project ID must be saved and should be used for any resubmission within the deadline

**A**=high ranked pass to Cycle 4 if not finished

**B**=high ranked but not passed over

**C**=maybe filler (depends on time shares and ranking)



# The proposal review process

## **Proposals will be reviewed by an international peer review committee.**

The peer review by committee is a group of hopefully well informed peers examines your proposal, ranks it against other proposals, and then allocates resources to the highest ranked proposals.

There will at least one Review Panel for each of the **main themes**:

Cosmology and the High Redshift Universe

Galaxies and Galactic Nuclei

ISM, Star Formation/protoplanetary Disks and their Astrochemistry, Exoplanets

Stellar Evolution, the Sun and the Solar System

The ranked proposals from the different panels and sub-panels will be merged into a single ranked list in the ALMA Proposal Review Committee (APRC) and assigned a letter grade A through D:

A the proposal will be carried over to the following cycle if it is not finished

B the proposal should be finished during the current cycle but will not be carried over to the next cycle.

C are 'filler' programs observed when no A or B can be scheduled

D proposals will not be observed.

Now, this process is NOT perfect,

**BUT it is NOT a lottery, or fundamentally flawed and/or fixed.....**

**DO NOT let that idea impact on how you write ..**

**Everything you can do to give your proposal a broader context, make it easier to read, more enjoyable, more clear, ... all will help your chances**

# What should a proposal look like?

- Should have a good, readable **“Executive Summary”** that sets the research in context, sets out the big issues in a field, says what you will do, and how the results from that will address the big issues.
- Should have a **well set out background** that expands on the context and big questions in the field.
- Should clearly **explain why the observations you propose are critical** for answering those questions
- Should clearly **demonstrate the observations / research is technically feasible**, that the time / resources requested are appropriate
- Should clearly **demonstrate that your team will be able to do the work**, and/or has a track-record for having done similar work in the past.
- Should include **“only” useful figures**
- **Must be readable and should be pleasurable to read.**

# The technical justification

**The Technical Justification** should fully justify the technical aspects of the requested observations and should address the following aspects:

- **sensitivity**
- **angular resolution**
- **largest angular scale**
- **array configuration**
- **correlator setup (spectral windows, frequency, spectral resolution, averaging)**
- **calibration**
- **scheduling/time constraints**
- **special constraints**
- **any non-standard choices**

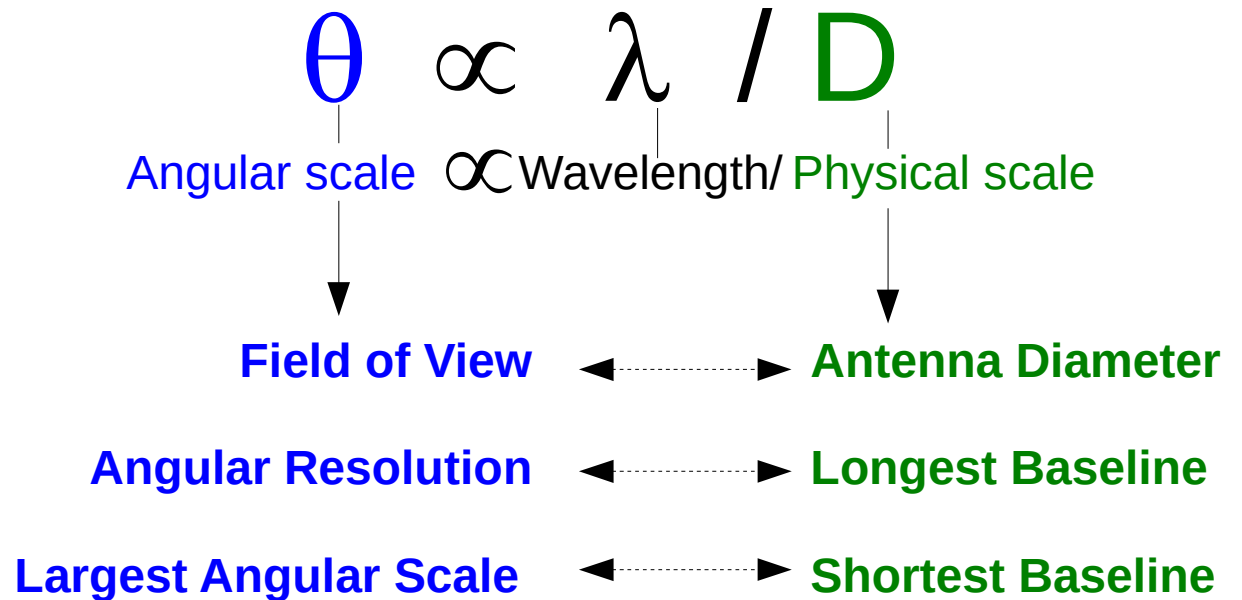
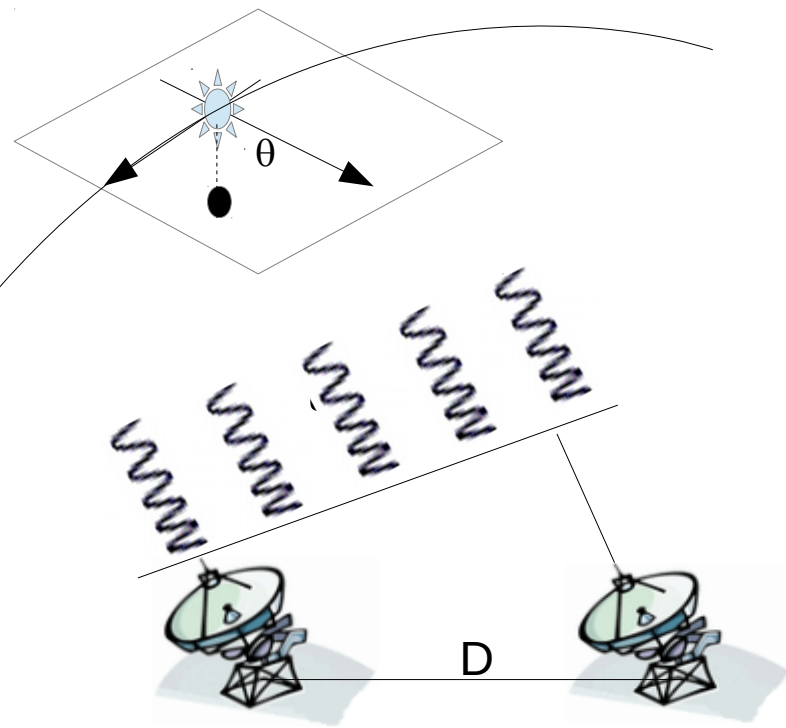
The technical justification must be very, very clear – say what your assumptions, required S/N, number of pointings etc are, so your reasoning can be reproduced by the technical assessors.

Try to know/understand the telescope or ask to someone who knows it



# Angular scales

An interferometer reconstructs an image of the sky at fixed spatial scales corresponding to the projection of the distances among each couple of antennas (=baselines) on a plane centered in the target position.



Angular scales not sampled by the available couples of antennas are filtered out:  
Signal on smaller scales is smoothed,  
Signal on larger scale is not collected.

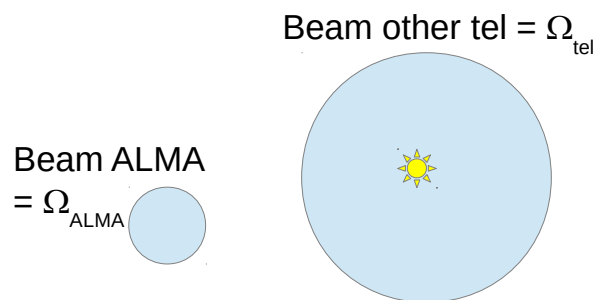
# Source Peak Flux Density

In the OT you should indicate the Peak Flux densities and sensitivity at the requested frequency and resolutions.

What to do if the literature data you have come from an observation with different resolutions?

## 1) The source is smaller than the ALMA beam

Flux density in Jy/beam is independent from the beam area

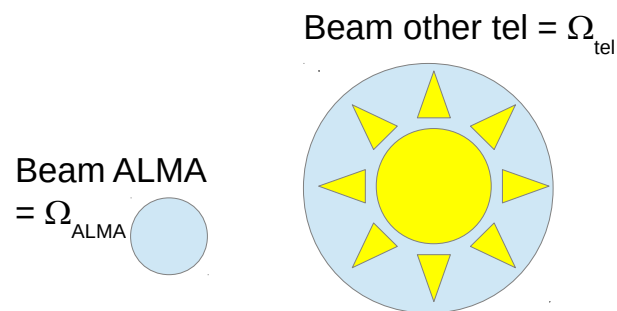


$$F_{tel} = 2 k T_{tel} \Omega_{tel} / \lambda^2$$

$$F_{ALMA} = F_{tel}$$

## 2) The source is larger than the ALMA beam

Flux density in Jy/beam depends on the beam area (i.e. on the beam FWHM  $\theta$ )



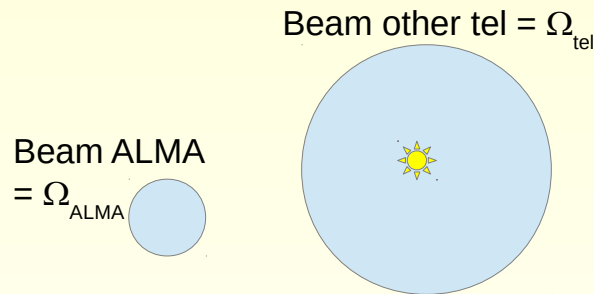
$$F_{tel} = 2 k T_{tel} \Omega_{tel} / \lambda^2$$

$$F_{ALMA} = F_{tel} (\Omega_{ALMA} / \Omega_{tel}) = F_{tel} (\theta_{ALMA} / \theta_{tel})^2$$

# Source Peak Flux Density in time

A source is observed with a single dish with  $\theta_{\text{tel}} = 10''$  and has  $T_{\text{tel}} = 1$  K at 300 GHz  
 Which is the sensitivity required for ALMA observations at  $\theta_{\text{ALMA}} = 1''$  resolution ?

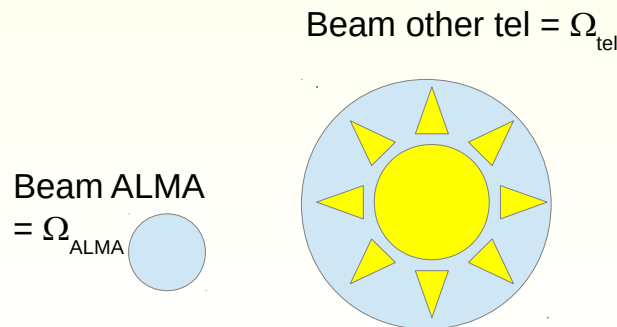
## 1) The source is smaller than the ALMA beam



$$F_{\text{tel}} = 2 k T_{\text{tel}} \Omega_{\text{tel}} / \lambda^2$$

$$F_{\text{ALMA}} = F_{\text{tel}} = 7.36 \text{ Jy/beam}$$

## 2) The source is larger than the ALMA beam



$$F_{\text{tel}} = 2 k T_{\text{tel}} \Omega_{\text{tel}} / \lambda^2$$

$$F_{\text{ALMA}} = F_{\text{tel}} (\theta_{\text{ALMA}} / \theta_{\text{tel}})^2 = 0.0736 \text{ Jy/beam}$$

A factor 10000 in time  
 Corresponds to  
 A factor 100 in flux

Choose carefully your resolution!!!

# Sensitivity

The rms noise in the signal for a radiometer is given by:

$$\Delta S_\nu = 2k \frac{T_{\text{sys}}}{A_e \sqrt{2t \Delta\nu} N(N-1)}$$

Boltzmann k

Brightness temperature corresponding to all the signals collected including source, atmosphere and instrument

Effective collecting Area per antenna

Time on source

# of polarizations

Bandwidth

Number of baselines

The diagram shows the equation  $\Delta S_\nu = 2k \frac{T_{\text{sys}}}{A_e \sqrt{2t \Delta\nu} N(N-1)}$ . Arrows point from text labels to the corresponding variables in the equation: 'Boltzmann k' to 'k', 'Brightness temperature corresponding to all the signals collected including source, atmosphere and instrument' to ' $T_{\text{sys}}$ ', 'Effective collecting Area per antenna' to ' $A_e$ ', 'Time on source' to ' $t$ ', '# of polarizations' to ' $2$ ', 'Bandwidth' to ' $\Delta\nu$ ', and 'Number of baselines' to ' $N(N-1)$ '. A red circle is drawn around the terms ' $2t \Delta\nu$ ' in the denominator.

Sensitivity can be increased by increasing the bandwidth and/or the integration time



# Sensitivity Calculator

<https://almascience.eso.org/proposing/sensitivity-calculator>

Common Parameters	
Dec	00:00:00.000
Polarization	Dual
Observing Frequency	345.00000 GHz
Bandwidth per Polarization	0.00000 GHz
Water Vapour Column Density	<input checked="" type="radio"/> Automatic Choice <input type="radio"/> Manual Choice
tau/Tsky	0.913mm (3rd Octile)
Tsys	tau0=0.158, Tsky=39.538 157.027 K

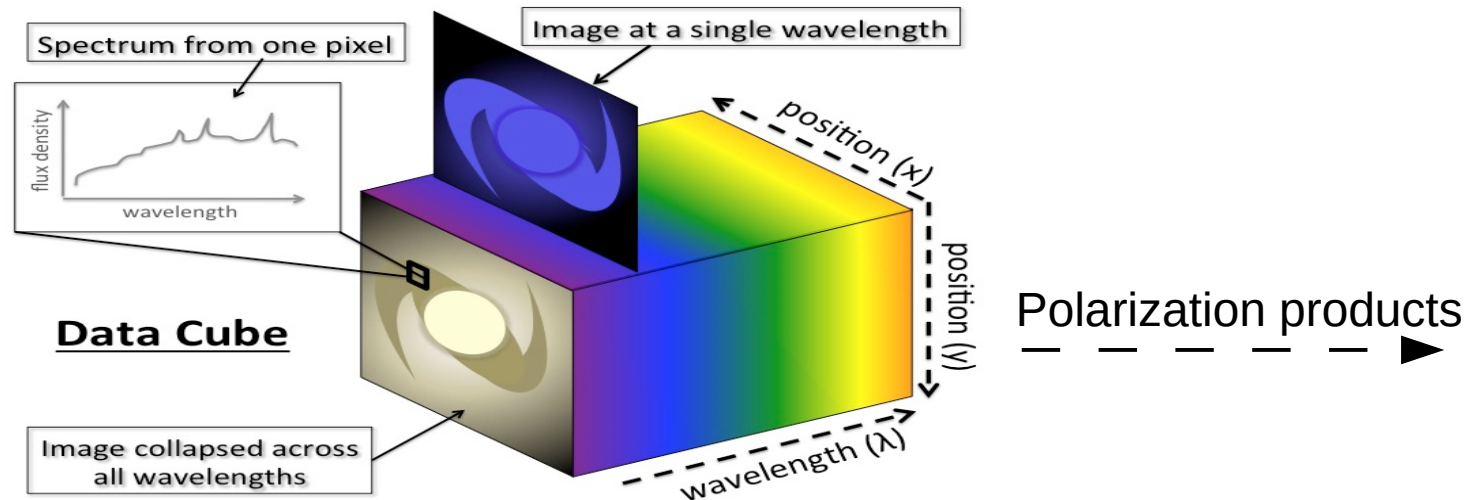
  

Individual Parameters			
	12m Array	7m Array	Total Power Array
Number of Antennas	34	9	2
Resolution	0.00000 arcsec	5.974554 arcsec	17.923662 arcsec
Sensitivity(rms)	0.00000 Jy	0.00000 Jy	0.00000 Jy
(equivalent to)	Infinity K	0.00000 K	0.00000 K
Integration Time	0.00000 s	0.00000 s	0.00000 s

Integration Time Unit Option: Automatic

# Spectral Resolution

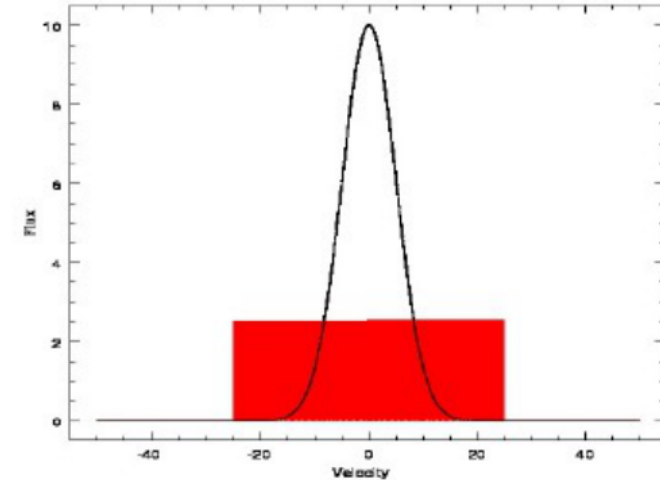
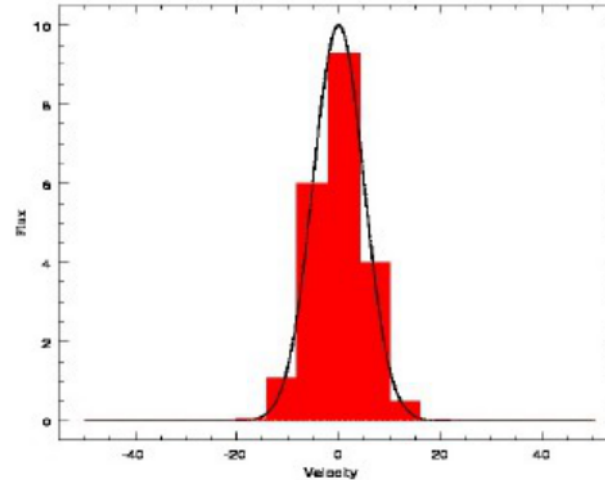
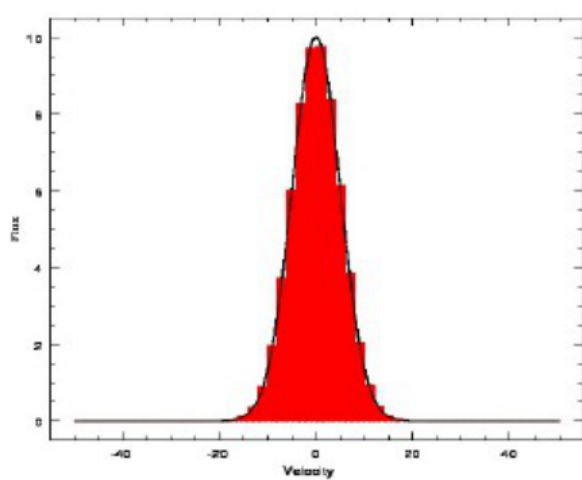
**The Spectral resolution** is the minimum separation in frequency whereby adjacent features can be distinguished. It depends on how the correlator is set.



Continuum bandwidth is as large as 7.5GHz/pol  
The finest spectral detail you want to observe determines your resolution in the ranges from 0.1-111 km/s at 84 GHz to 0.01 - 10 km/s at 950 GHz.

ALMA data are always Hanning smoothed (i.e. resolution is almost half the requested).  
Smoothing at data reduction stage is possible (e.g. to increase sensitivity for broad lines)  
Channel averaging smooths data at acquisition stage  
(i.e. finest resolution cannot be recovered later) but it is sometimes needed to reduce data rate.

# *Spectral resolution: lines*

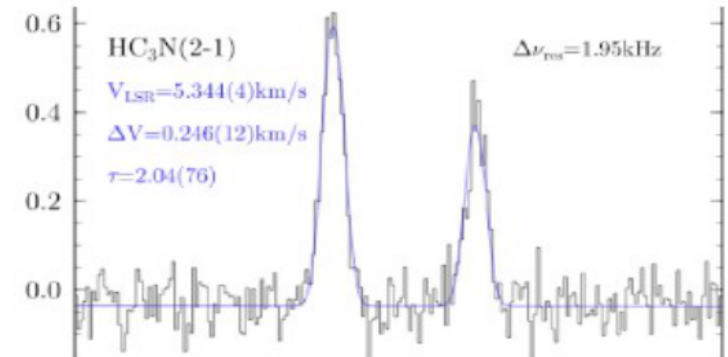


- If channel width < FWHM the peak flux is independent of channel width
- If the channel width is too large you lose in line details and eventually in sensitivity
- Choose at least 3 resolution elements per FWHM  
But In OT spectral resolution > channel spacing !!  
Channel spacing < 2 x resolution element because of Hanning smoothing  
→ Hence leave the default averaging=2 and choose 3 ch/line width
- Remember that sensitivity depends on spectral resolution as  $\text{rms}(\text{Jy}) \propto 1/\Delta\nu^{1/2}$
- $\Delta\nu [\text{Hz}] = \nu [\text{Hz}] \Delta v [\text{m/s}] / c [\text{m/s}]$

# Sensitivity: spectral line

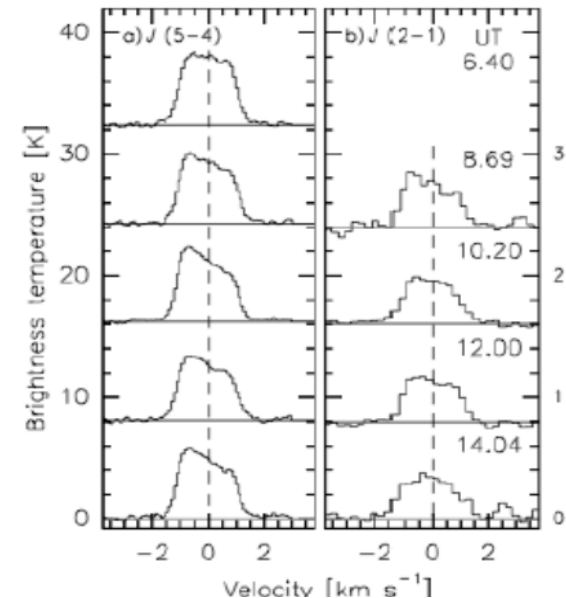
- Gaussian profile
  - SN on the peak

$$rms(Jy) = \frac{Area(Jy \cdot kms^{-1})}{FWHM(kms^{-1}) \cdot SN}$$



- Undefined profile
  - SN on the area

$$rms(Jy) = \frac{Area(Jy \cdot kms^{-1})}{N_{chan}^{1/2} \cdot \Delta v(kms^{-1}) \cdot SN}$$





# What to never do

- Do not ignore the grading or funding criteria.
- Don't submit proposals that are badly written – if English is not your first language, get a collaborator to proof read or rewrite it for you.
- Don't ask for the wrong instrument, the wrong amount of time, or the wrong semester.
- Don't rage at the panels - its not their fault they didn't have enough money or telescope time last time
- Don't waffle - less is more
- **Don't use jargon & acronyms**
- **Don't assume everyone knows this scientific area is the most compelling thing ever done.**

## Few tips

- **Tell a story.** Make your proposal and enjoyable narrative that leads the reader from point to point.
- **“Close the Loop”**
- **Frame your project as an experiment** (“Hypothesis and Testing”) rather than data gathering.
- Think seriously about the risks of a “new class of object” discovery project.
- Avoid the evil “Constrain”
- **The more you “quantify” the better you get the point** (i.e. avoid generic “more, much, less, few” but give numbers to give the idea that you have already dirty hands on the matter)

RS

RV

VLM

SMBH

AGN

FIR

FRII

ULIRG

ERO

SMG

CDFS

PCCS

EMU

WALLABY

POSSUM

DINGO

APEX

SCUBA

WTHDIM

# Ask yourself...

- Would you want to read this proposal? Late at night? On a plane? Along with 80 others just like it?
- Would you be able to read and understand this proposal in under 5m per page?
- Can you FIND the main points in the proposal without reading the whole thing in all its gory detail?
- Imagine its your hard earned money, would you pay for this project?

**It's not the reader's job to understand your proposal  
... its your job to make them understand it.**

**Readers are looking for enjoyable,  
understandable proposals to read that  
present innovative ideas for new research**

# The ALMA Observing Tool

- Home
- About ALMA
- ALMA Science
- Call for Proposals
  - Capabilities
  - Road Map
  - Proposers Guide
  - Technical Guide
  - Observing Tool**
  - Webstart Download Page
  - Tarball Download Page
  - OT Video Tutorials
  - Troubleshooting
  - Sensitivity Calculator
  - Notice of Intent
- ALMA Data
- Documents & Tools

Home ▶ Call for Proposals ▶ Observing Tool

## Observing Tool

The ALMA Observing Tool (OT) is a Java application used for the preparation and submission of ALMA Phase I (observing proposal) and Phase II (telescope runfiles for accepted proposals) materials. The current *Cycle 0* release of the OT is configured for the Early Science Capabilities of ALMA as described in the [Cycle 0 Call For Proposals](#). Note that in order to submit proposals you will have to register with the ALMA Science Portal beforehand.

## Webstart Download Page

### Download & Installation

The OT will run on most common operating systems, as long as you have Java installed (and no major system problems). The ALMA OT is available in two flavours: WebStart and tarball.

The **WebStart** application has the advantage that the OT is automatically downloaded and installed. Note that the WebStart does not work with the OpenJDK Linux installations. If this is the case, the tarball installation of the OT should be used.

The **tarball** must be installed manually, however it has the advantage of being able to run on older versions of Java 6. For Linux users we also provide a download of the tarball. Please use this if you have any problems running the OT tarball installation.

**First Time Users:** When you use the ALMA OT Webstart for the first time, it will download a large amount of shared resources (on the order of 130 MB) to your host, taking a few minutes to do so. This will only happen the first time, or when a revised version of the OT is released. Subsequent use of the OT will be much faster.



Click the OT Logo to bring up a download window, which should give you the option of saving the OT to your Desktop if you will be using it regularly.

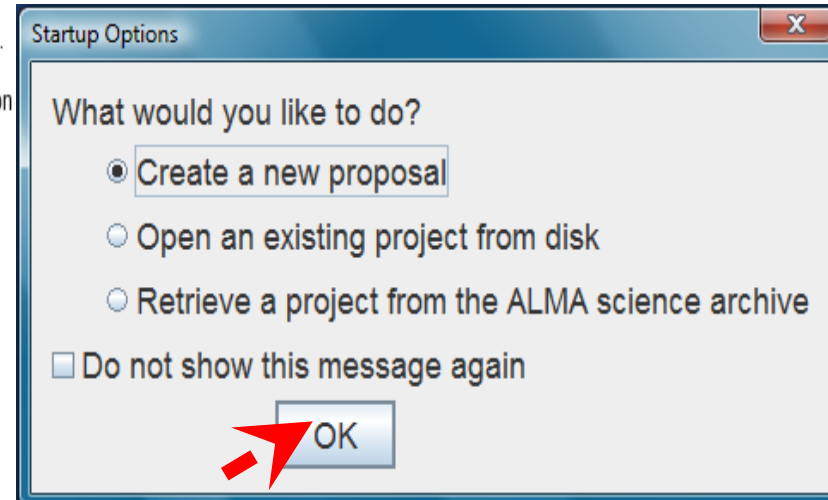


### Documentation

Extensive documentation is available to help you work with the OT and optimally prepare your proposal:

**OT is a java-based client program,** runs on Linux (various distr.), MacOS (10.5-10.6), Windows (>XP).

The graphic interface allows one to get help/feedback and hints even with small knowledge of the system.



# Proposals with the ALMA Observing Tool

The screenshot displays the ALMA Observing Tool interface. At the top, the title bar reads "My new idea - Observing Tool for ALMA (Early Science), version R8.0.1". Below the title bar is a menu bar with "File", "Edit", "View", "Tool", "Search", and "Help". A toolbar with various icons is located below the menu bar. The main interface is divided into several panels:

- Project Structure:** A tree view on the left showing the hierarchy of the project. The "Proposal" folder is selected, and its contents are listed: "Planned Observing", "Science Goal ()", "Description", "Field Setup", "Calibration Setup Parameters", "Spectral Setup", and "Control and Performance Parameters".
- Editors:** A central panel with tabs for "Spectral", "Spatial", "Proposal", and "Catalog". The "Proposal" tab is active, showing "Proposal Information" with fields for "Proposal Title" (My new idea) and "Proposal Cycle" (9999.4). Below this is an "Abstract" field with a "(max. 300 words)" limit.
- Template library:** A panel below the Project Structure showing a list of templates for "Science Goal" with various parameters like frequency and sampling.
- Feedback:** A panel at the bottom with tabs for "Problems", "Information", and "Log". It contains a table with columns for "Description" and "Suggestion".

Proposal panel

Tab menu for viewer



Editors Panel

Template panel

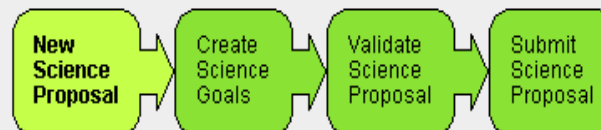
Feedback Panel

## Project Overview Panel

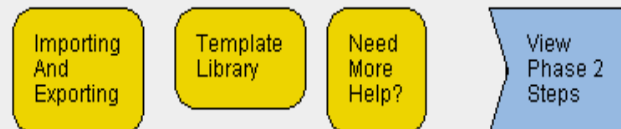
Contextual Help

1. Please ensure you and your co-Is are registered with the [ALMA user portal](#)
2. Create a new proposal by either:
  - Selecting *File > New Proposal*
  - Clicking on the  icon in the toolbar
  - Or clicking on this [link](#)
3. Click on the  [proposal](#) tree node and complete the relevant fields.

Phase I: Science Proposal



Click on the overview steps to view the contextual help





# A project lifetime: phase 2 observing process

## PHASE II – Observing process Scheduling Block

Each SG is converted into a **Scheduling Block**, an observational unit including targets in the same sky region and their **Calibrators to be observed with the same instrumental setup**. They are the minimum set of instructions to perform an observation.

## Observations

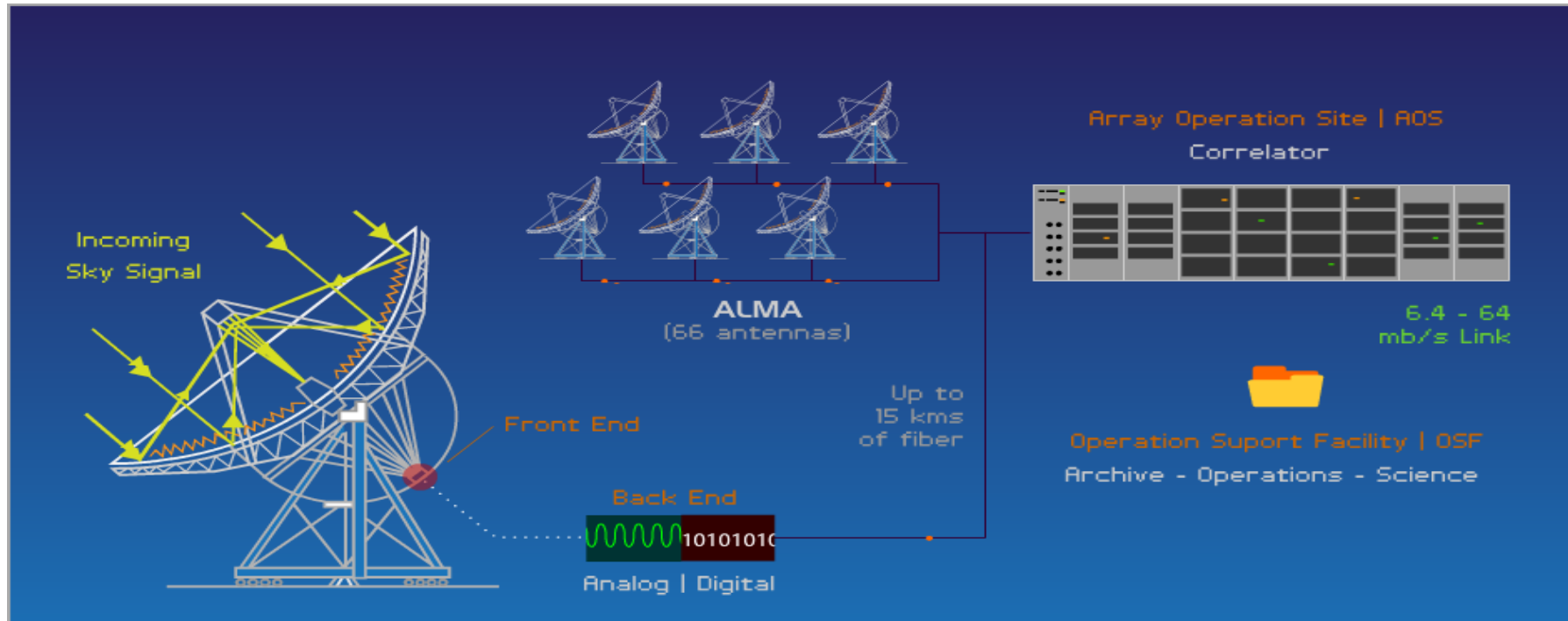
Projects are **dynamically scheduled** according to telescope configuration, weather, ranking, project status...

## Quality assessment

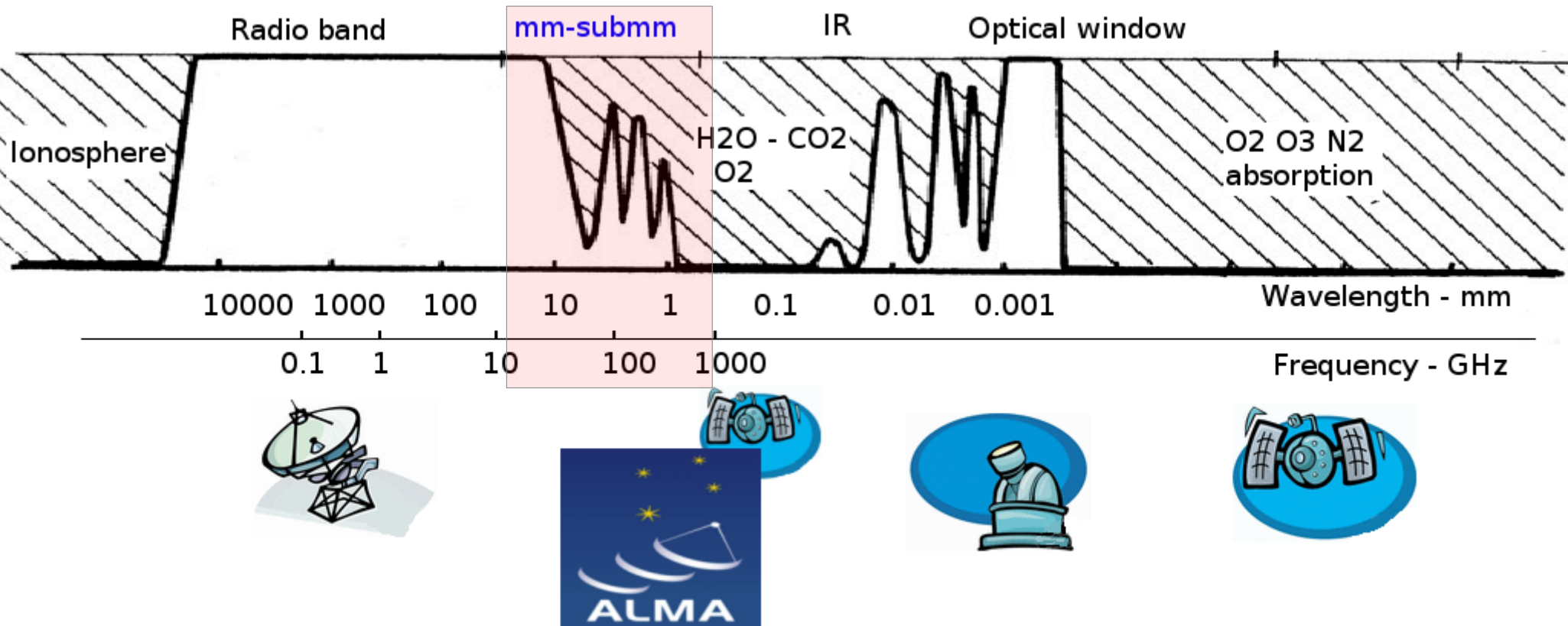
QA0 and 1 = telescope conditions  
QA2 = Check for PI sensitivity requests performed by ARC staff

## Data archival and delivery

1 yr of proprietary period before data are public through the archive



# Outline



Signals in the (sub)mm bands

Observing instruments: Interferometers (ALMA)

Science cases parade and proposals

Observing processes: archives & images  
(with hands-on tutorial)